

CS660: Intro to Database Systems

Class 8: Hash Indexing

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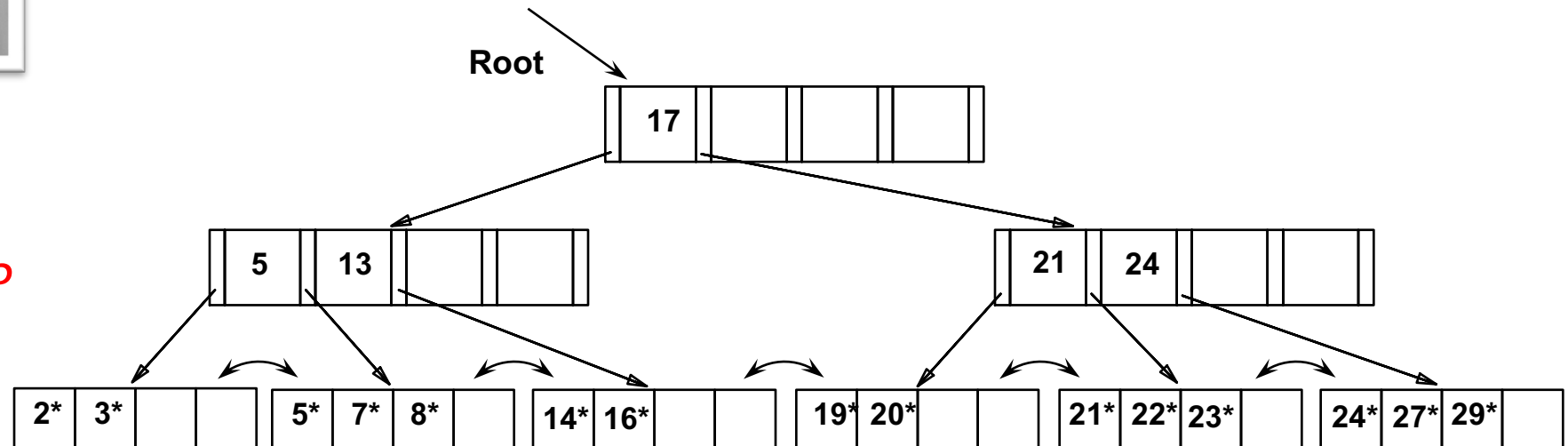
<https://bu-disc.github.io/CS660/>

Last time: B⁺ Trees



“It could be said that the world’s information is at our fingertips because of B-trees”

Other forms of indexing?



Hash Indexing

Static Hashing

Extendible Hashing

Linear Hashing

Reminder: Alternatives of Data Entries

1. $\langle k, \text{entire data record} \rangle$
2. $\langle k, \text{rid of exactly-one-at-a-time matching data record} \rangle$
3. $\langle k, \text{list of rids of matching data records} \rangle$

Choice is orthogonal to the indexing technique

Hash-based indexes \rightarrow *equality selections*
Cannot support range searches

Static and dynamic hashing techniques exist

Hash function

a **function** that **maps** a **search key** to an **index** between **[0 .. M-1]**

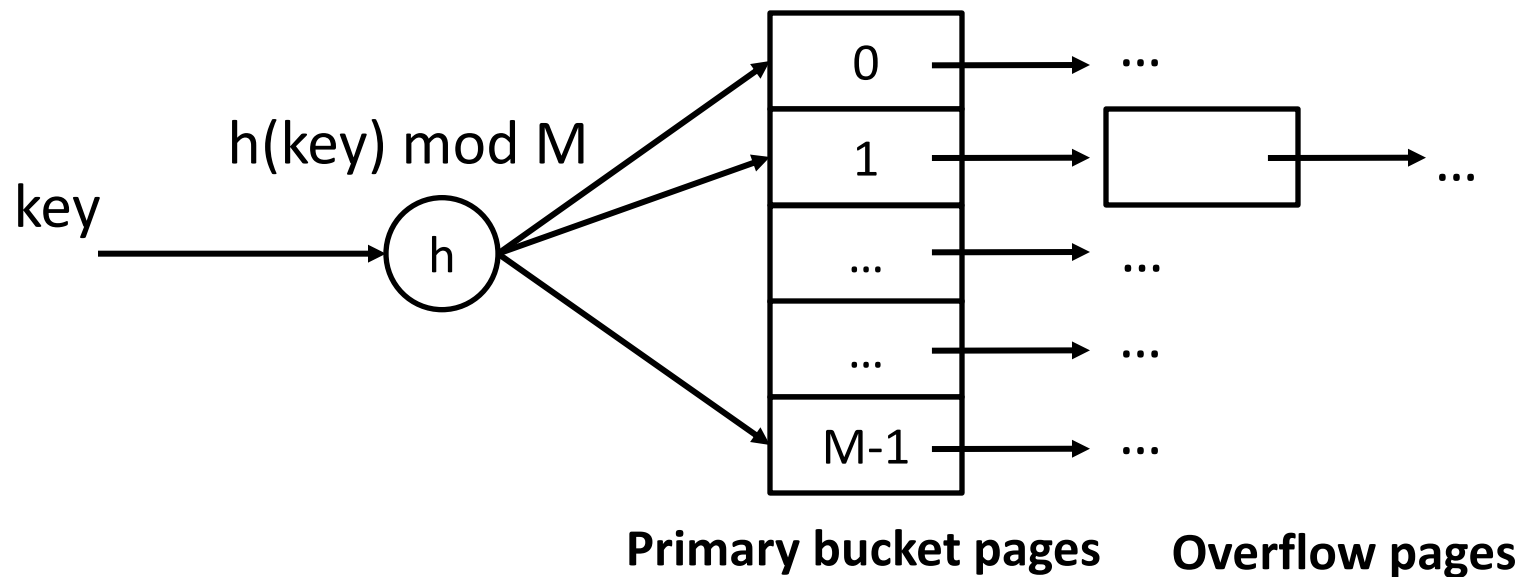
where M is the number of **buckets** (pages) available to our index

- ideally a hash function maps the search keys uniformly in $[0, \dots, M-1]$
- in practice simple hash functions are used (fast to compute)
- different keys might be mapped to the same bucket

Static Hashing

#primary bucket pages fixed, allocated sequentially, never de-allocated; overflow pages if needed

$h(k) \bmod M =$ bucket to insert data entry with key k (M : #buckets)



Static Hashing (Contd.)

Buckets contain **data entries**

Remember, data entries:
<k, record>
<k, rid>
<k, rid-list>

Hash function on *search key* field of record r

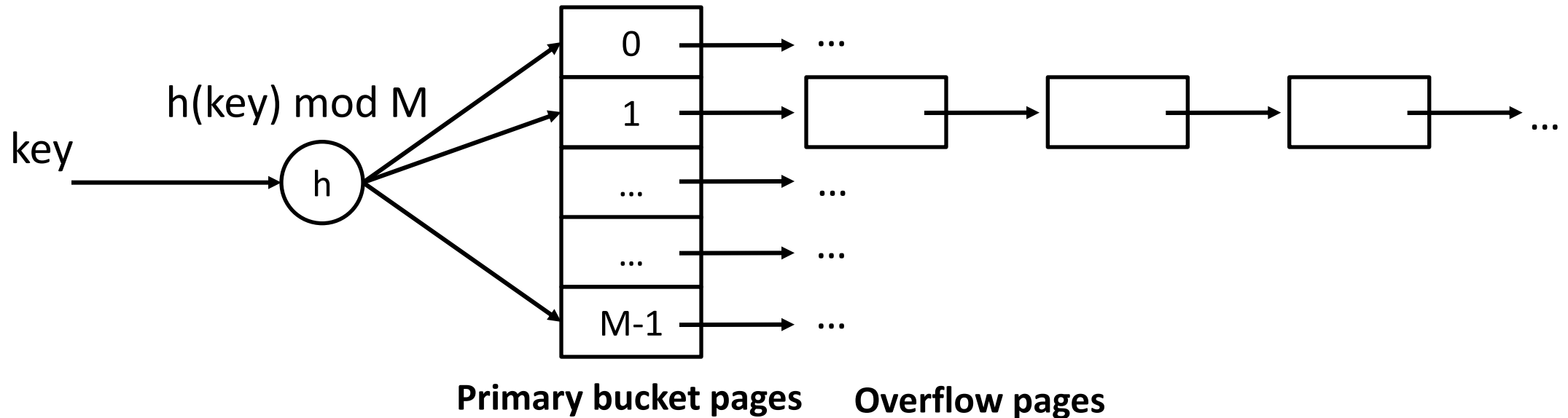
Must distribute values over range $0 \dots M-1$

What is a good hash function?

$h(key) = (a * key + b)$ usually works well

a and b are constants; lots known about how to tune h

Static Hashing – Problems?



What does that do to performance?



Instead of $O(1)$ we may go as bad as $O(N)$

Static Hashing – Solutions

Long overflow chains can develop and degrade performance



Ways to solve?

- Reorganization (re-hashing) is expensive and may block queries
- *Extendible* and *Linear Hashing*: Dynamic techniques to fix this problem

Hash Indexing

Static Hashing

Extendible Hashing

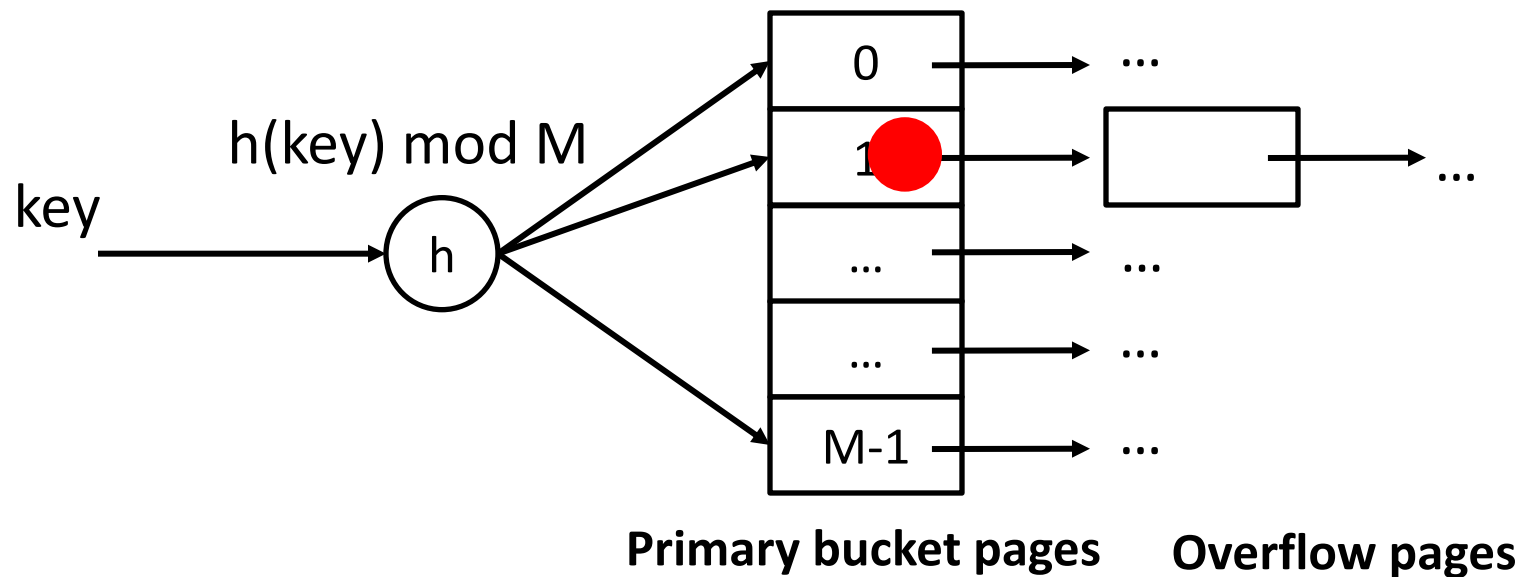
Linear Hashing

Let's start from Static Hashing

What else we can do instead of adding an overflow page?



$h(k) \bmod M =$ bucket to insert data entry with key k (M : #buckets)



Extendible Hashing



Why not **double** the **number** of buckets?

Note that reading and writing all pages is expensive!

Idea:

Use **directory of pointers** to buckets

On overflow, **double only the directory** (not the # of buckets)

Why does this help?

Directory is much smaller than the entire index file

Only one page of data entries is split

No overflow page! (caveat: duplicates w.r.t. the hash function)

Trick lies in how the hash function is adjusted!

Extendible Hashing

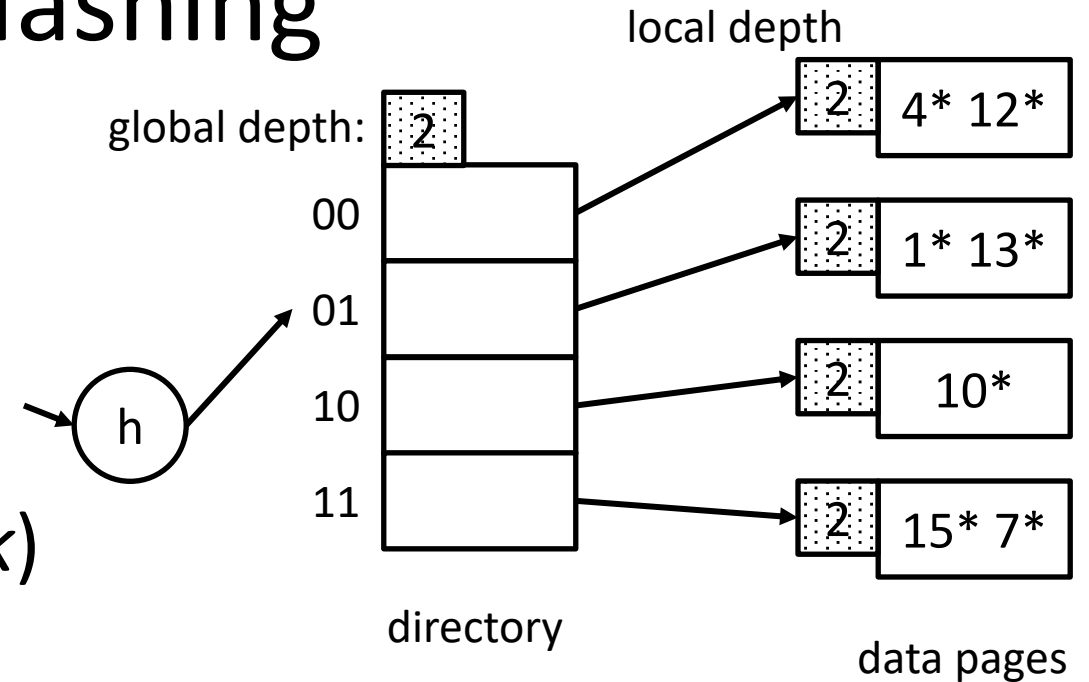
Directory: an array

Search for k :

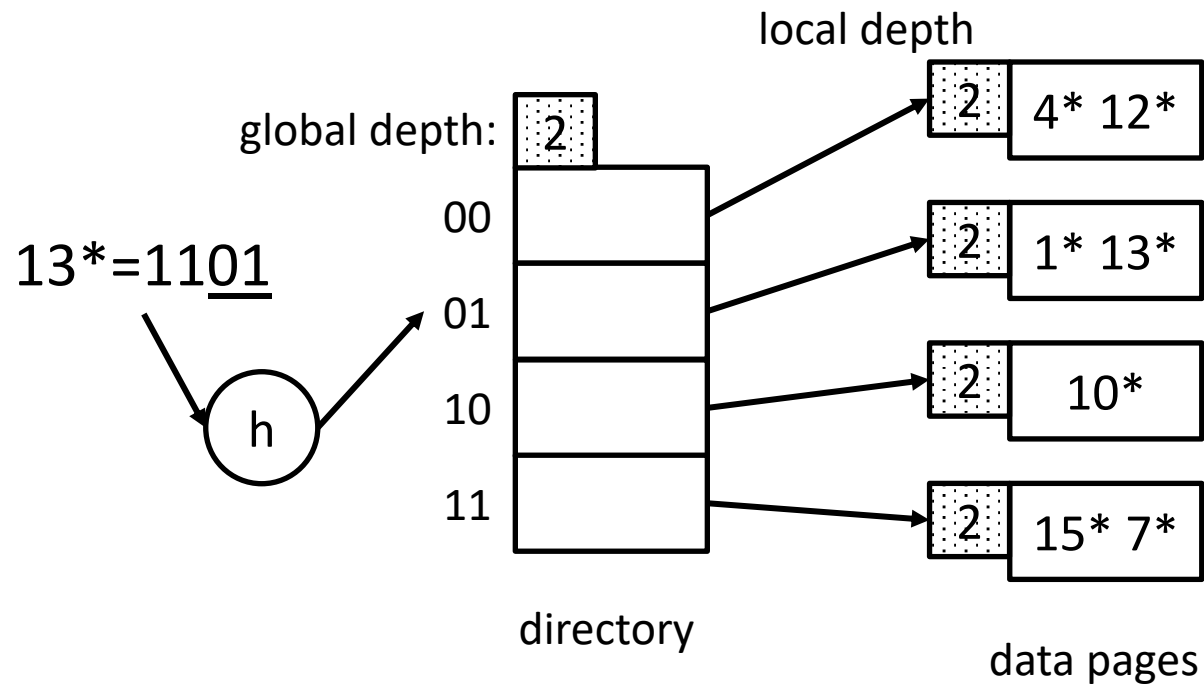
- Apply hash function $h(k)$
- Take last **global depth** # bits of $h(k)$

Insert:

- If the bucket has space, insert, done
- If the bucket is full, **split** it, re-distribute – If necessary, double the directory



Example

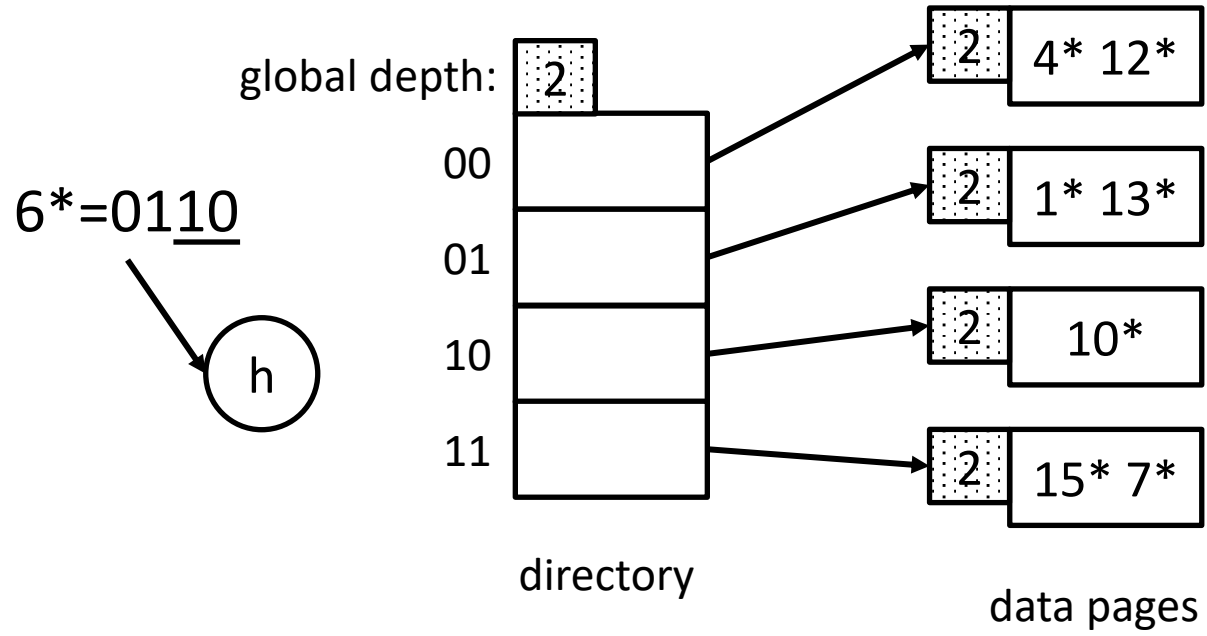


what is the hash function?

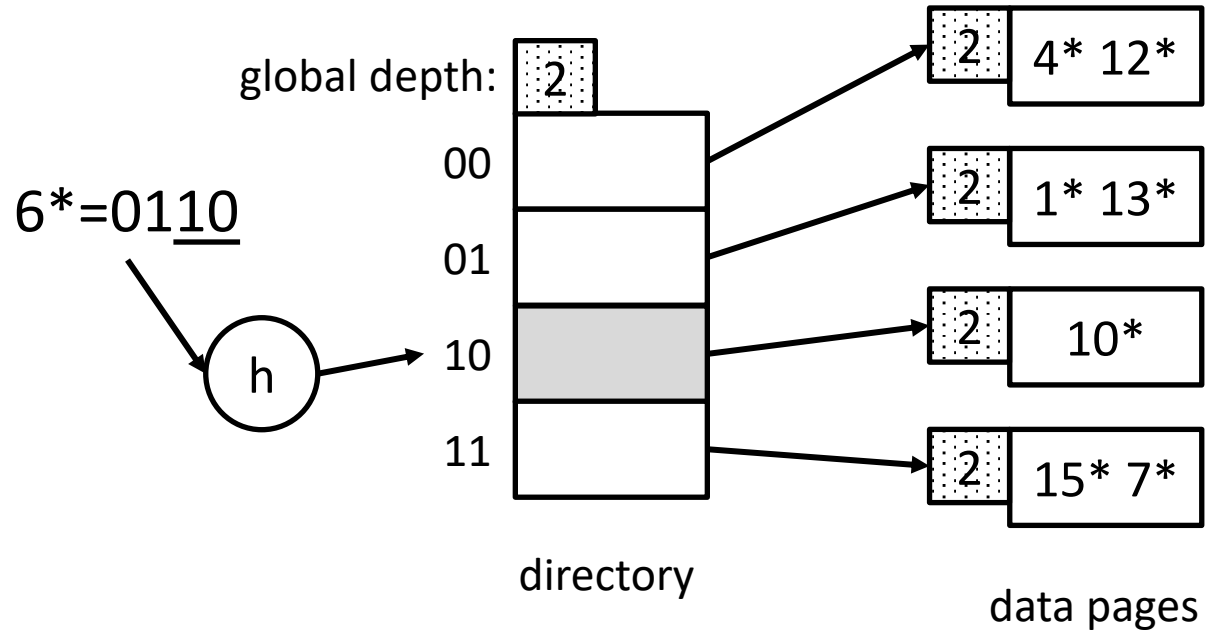


the last two bits! so: $k \bmod 4$

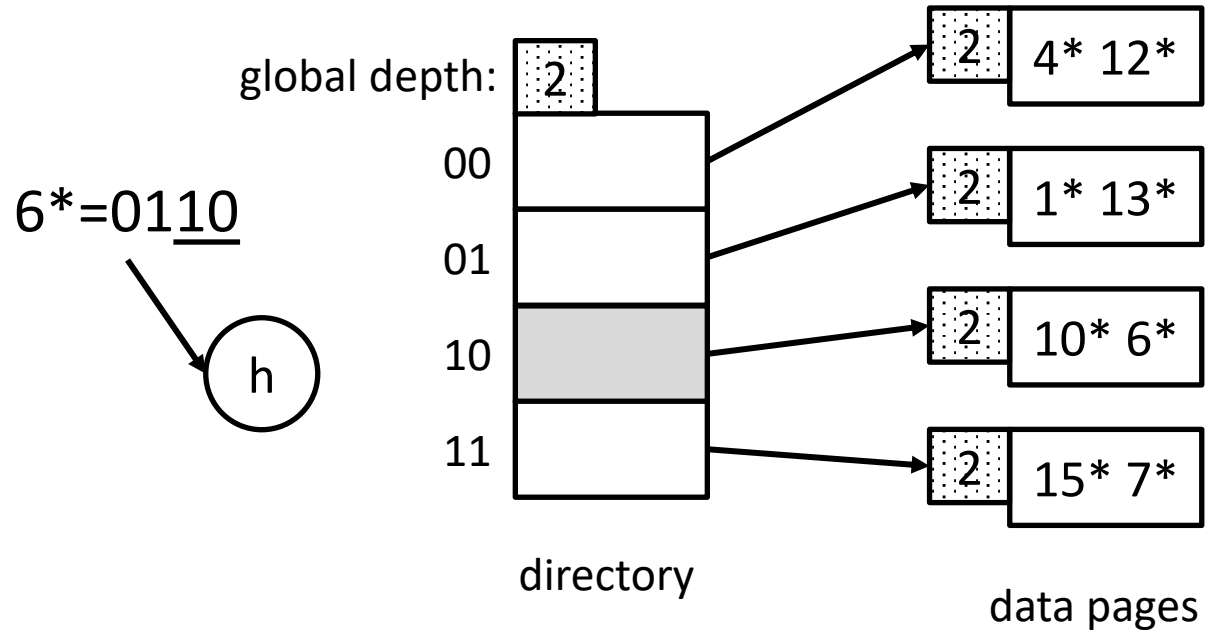
Example: Insert 6



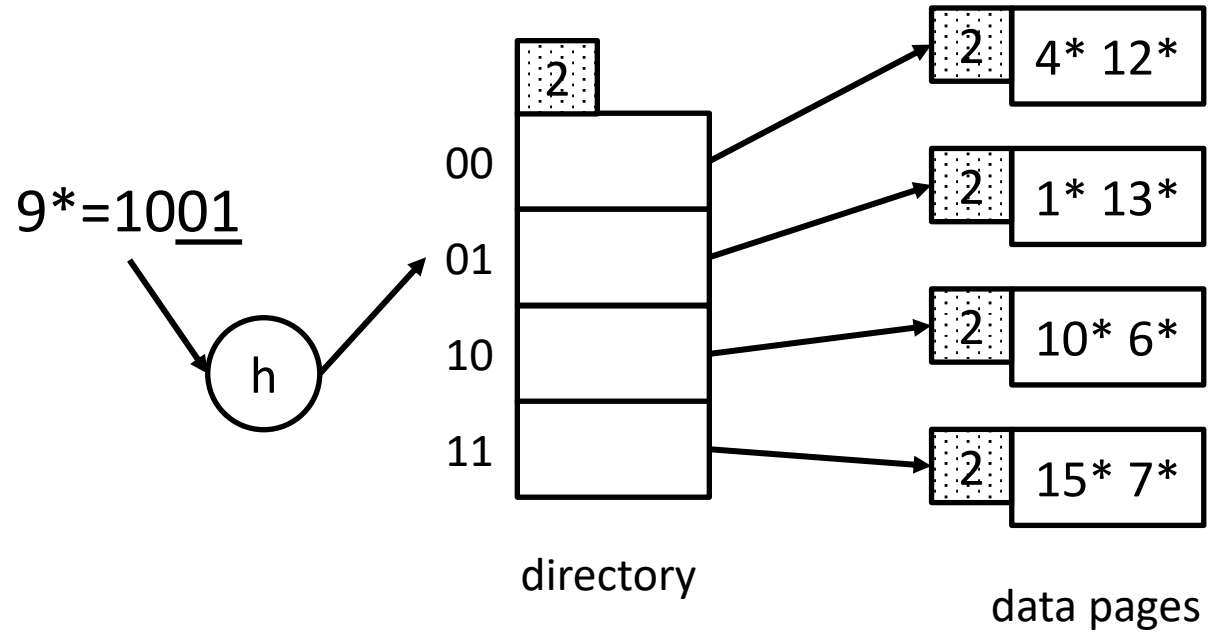
Example: Insert 6



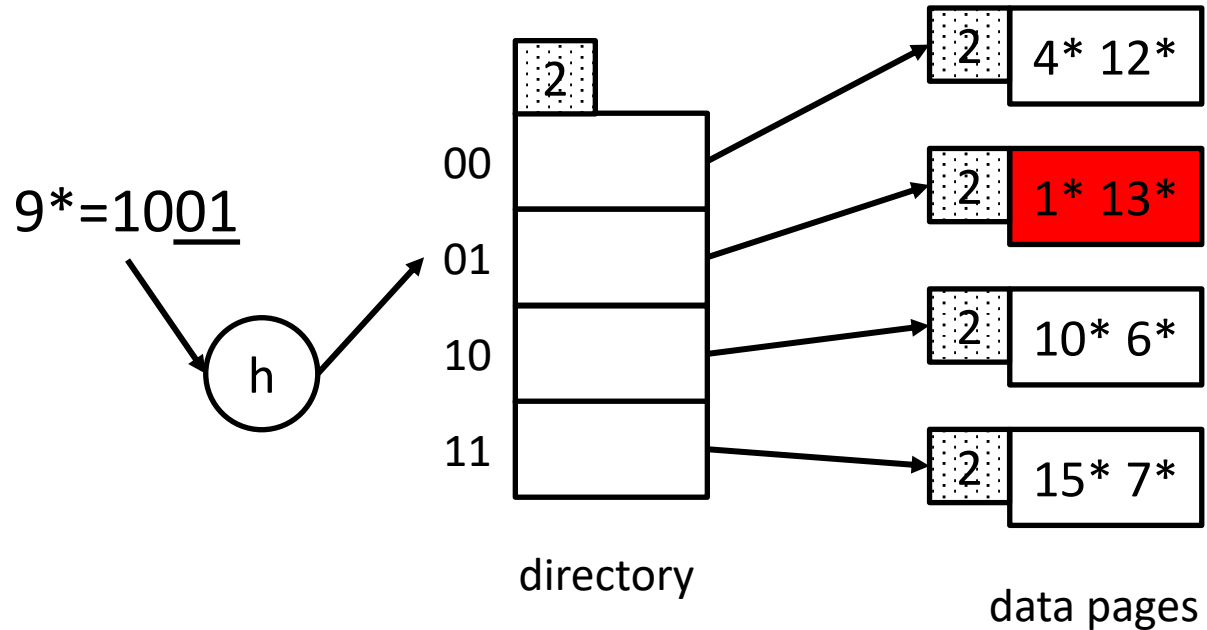
Example: Insert 6



Example 2: Insert 9



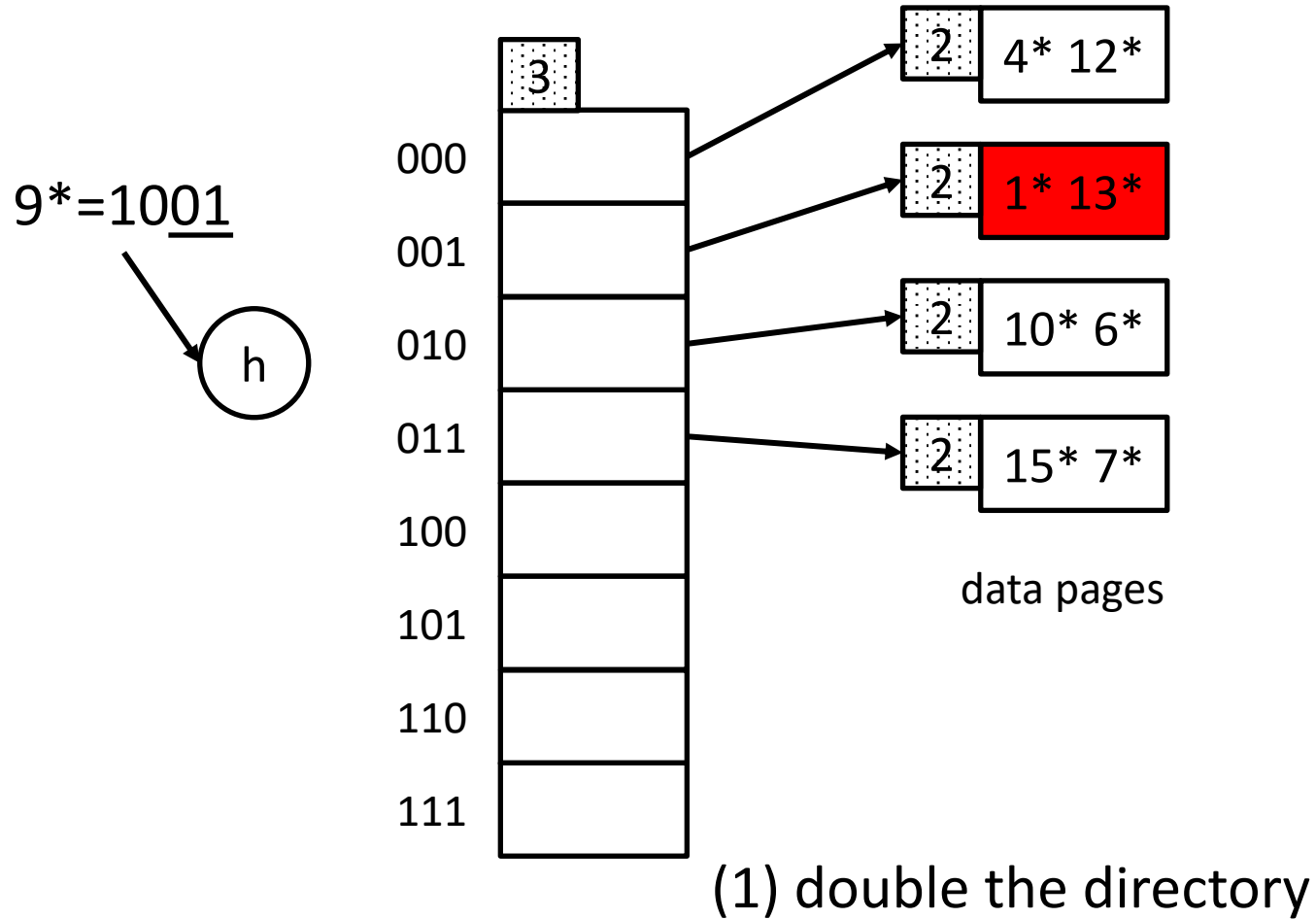
Example 2: Insert 9



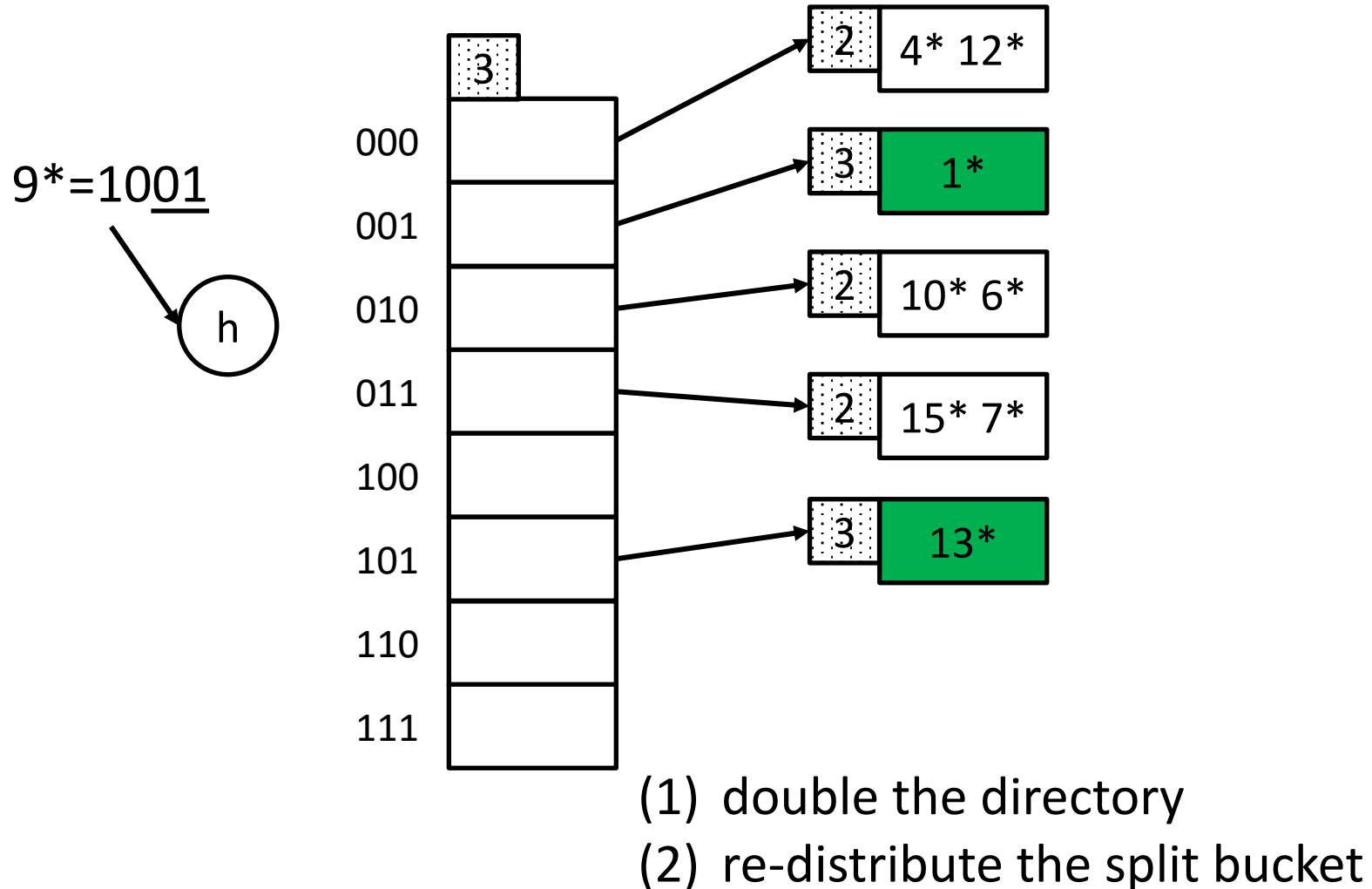
now what??



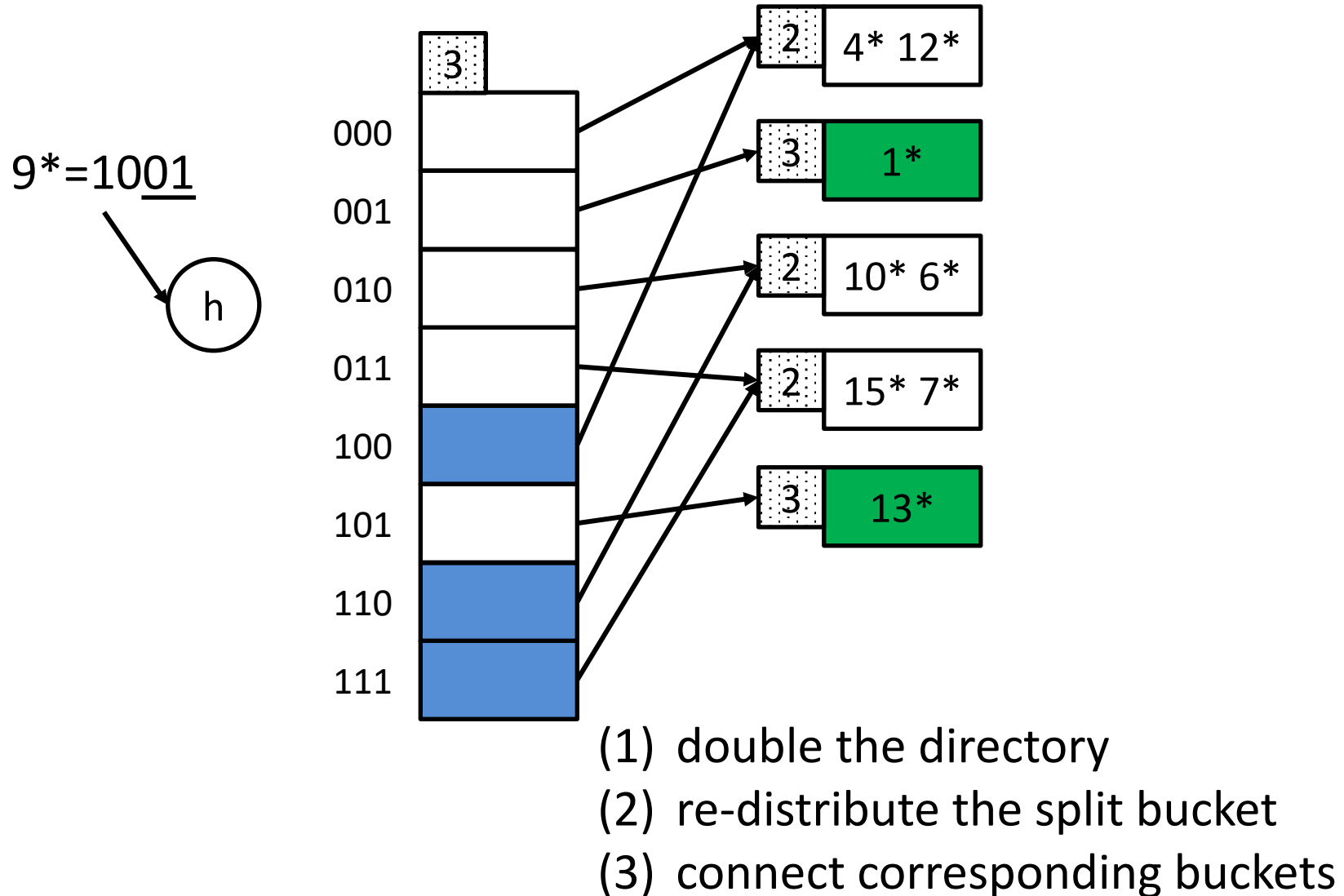
Example 2: Insert 9



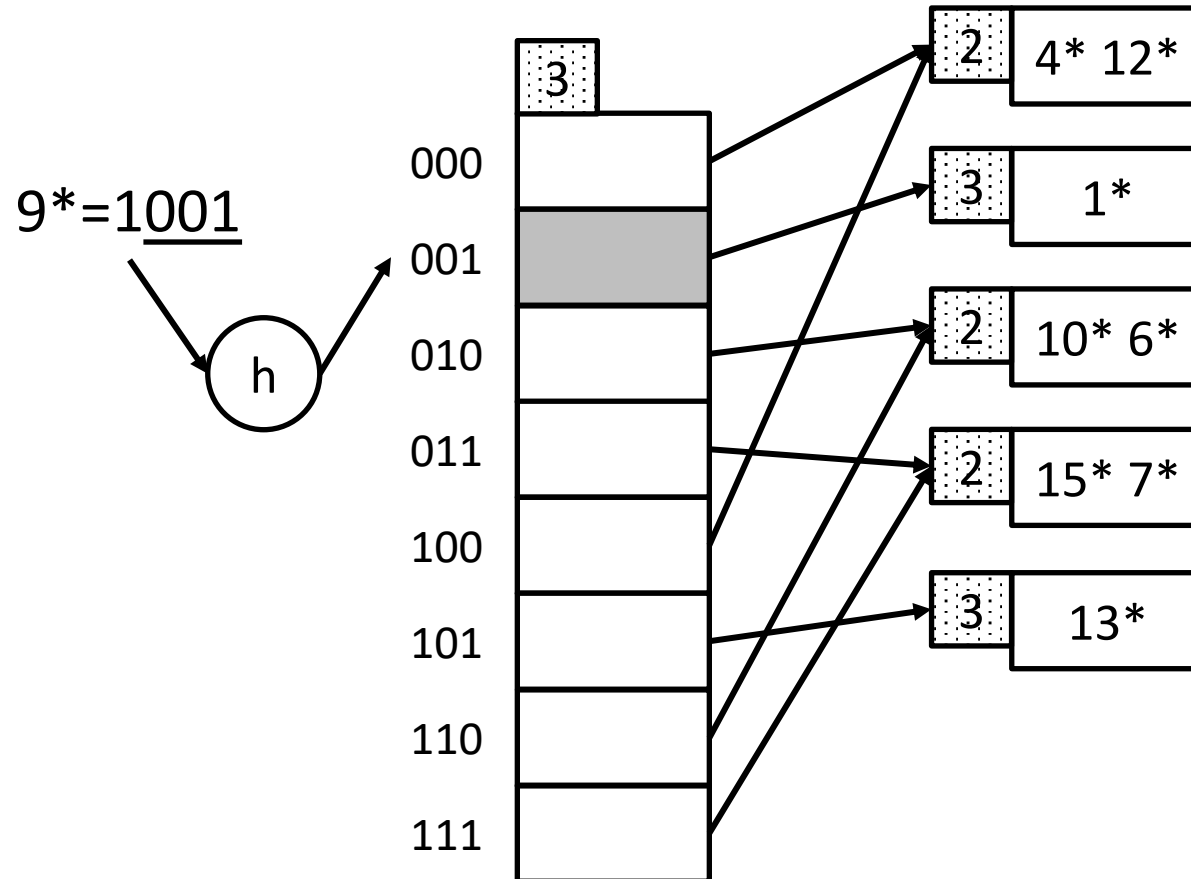
Example 2: Insert 9



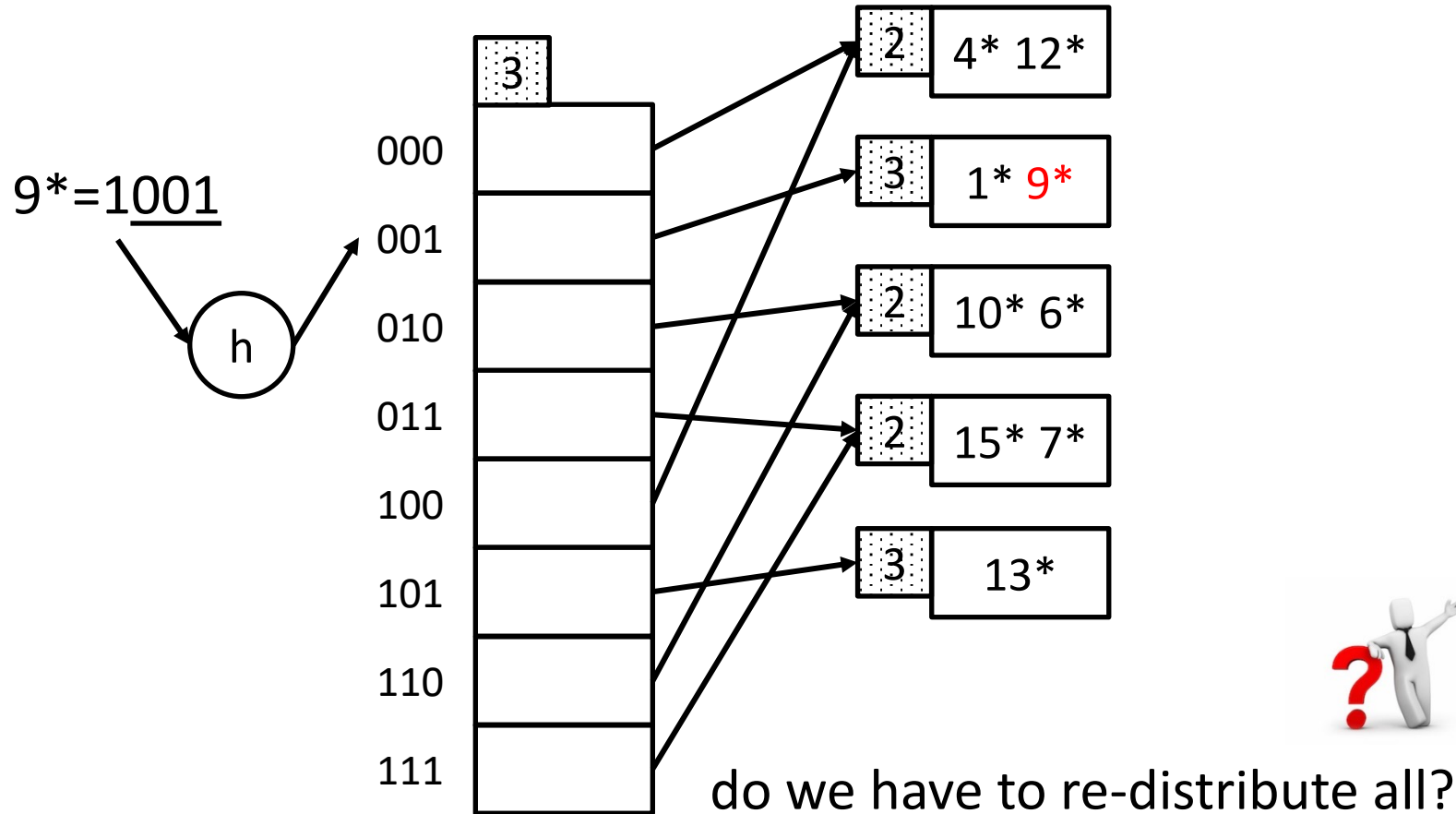
Example 2: Insert 9



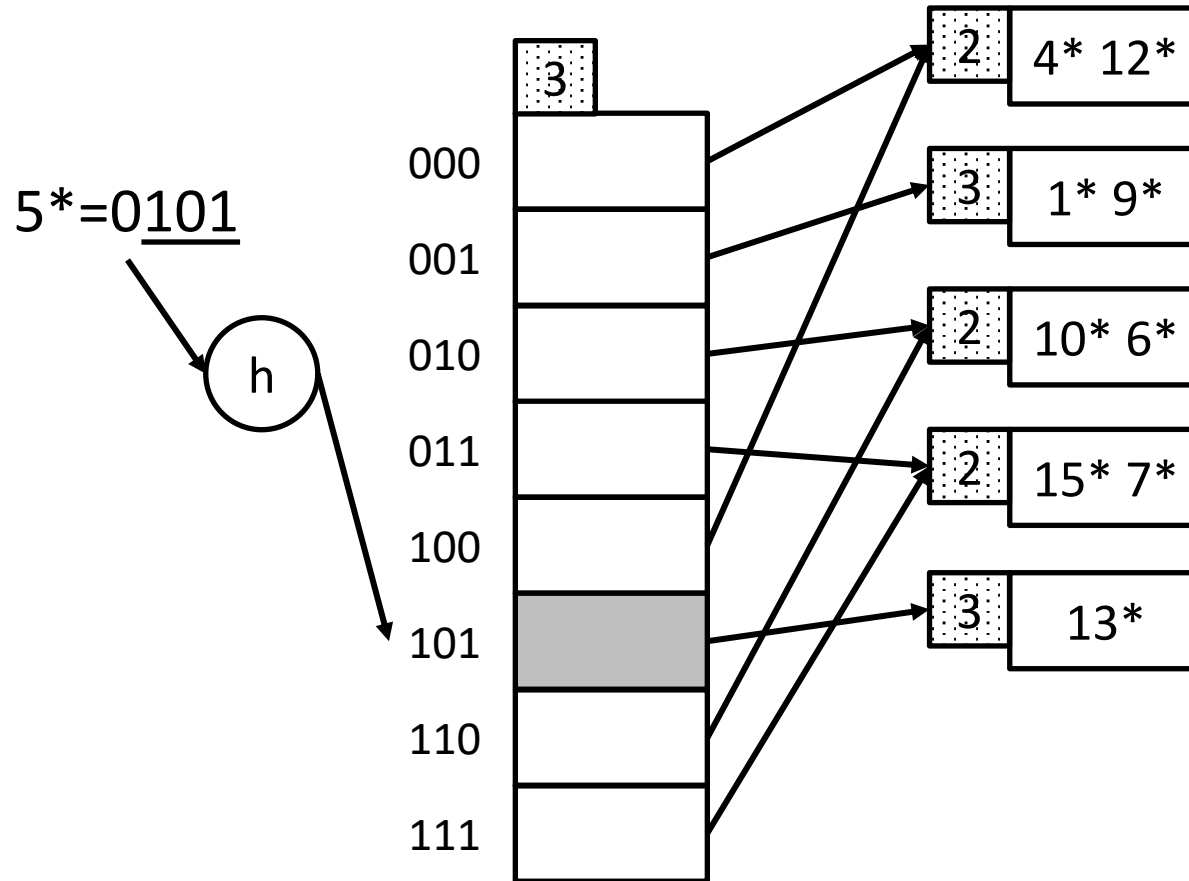
Example 2: Insert 9



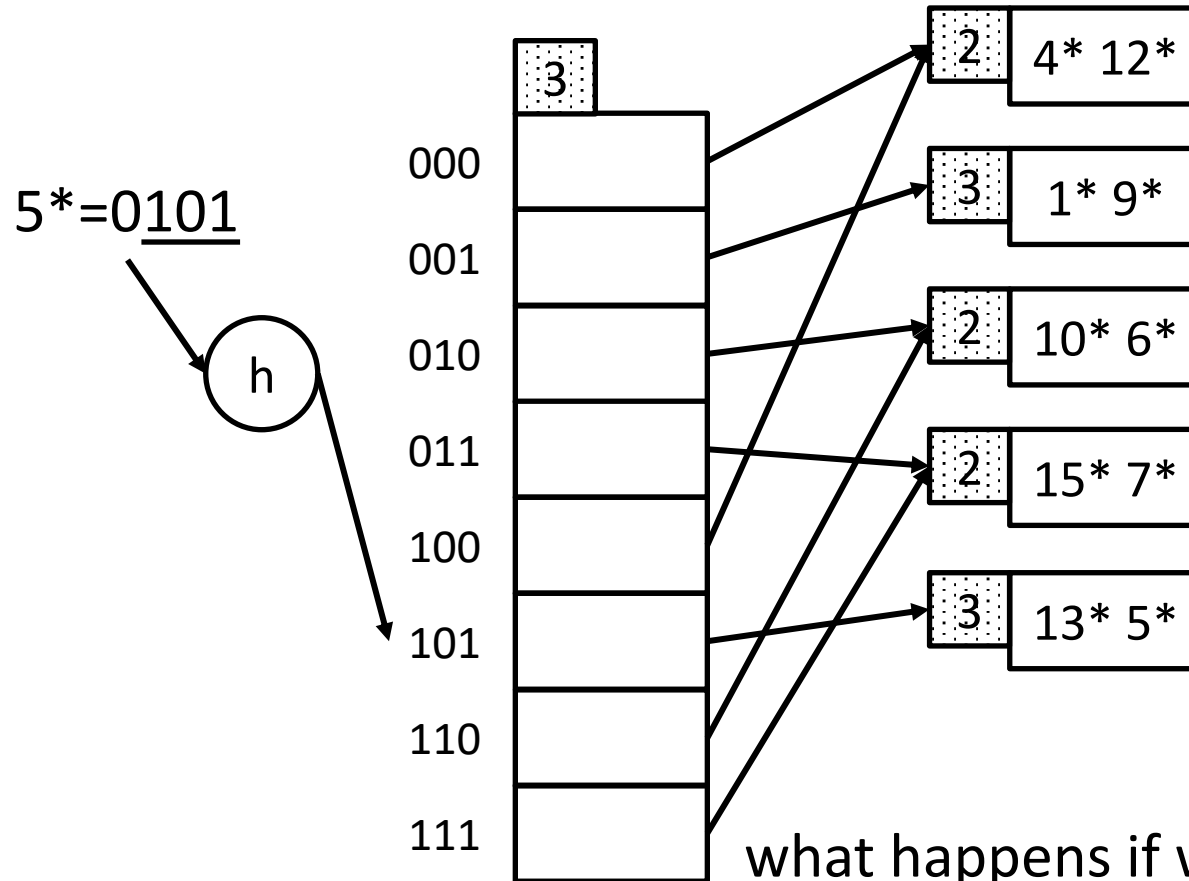
Example 2: Insert 9



Example 3: Insert 5



Example 3: Insert 5

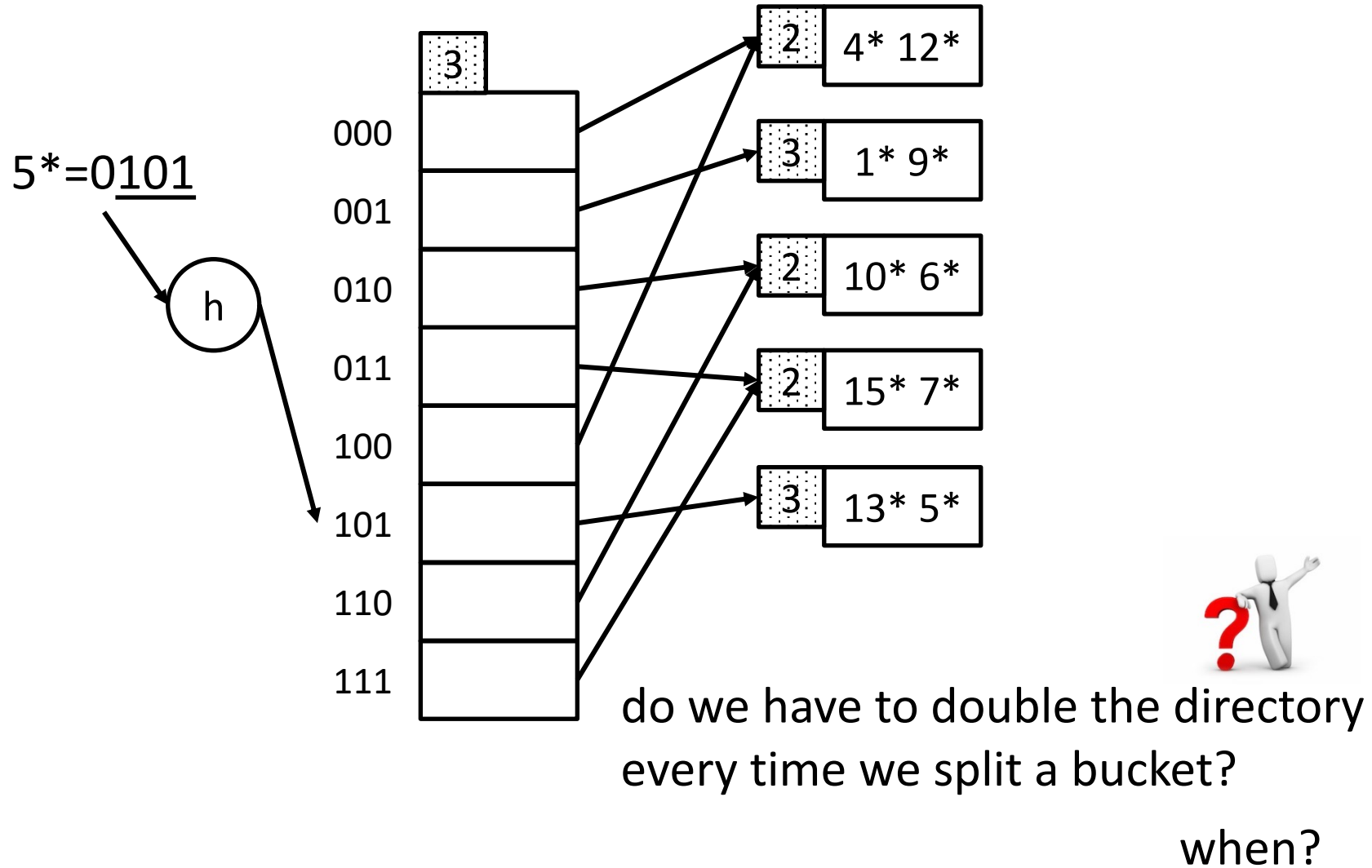


what happens if we want to insert 17?

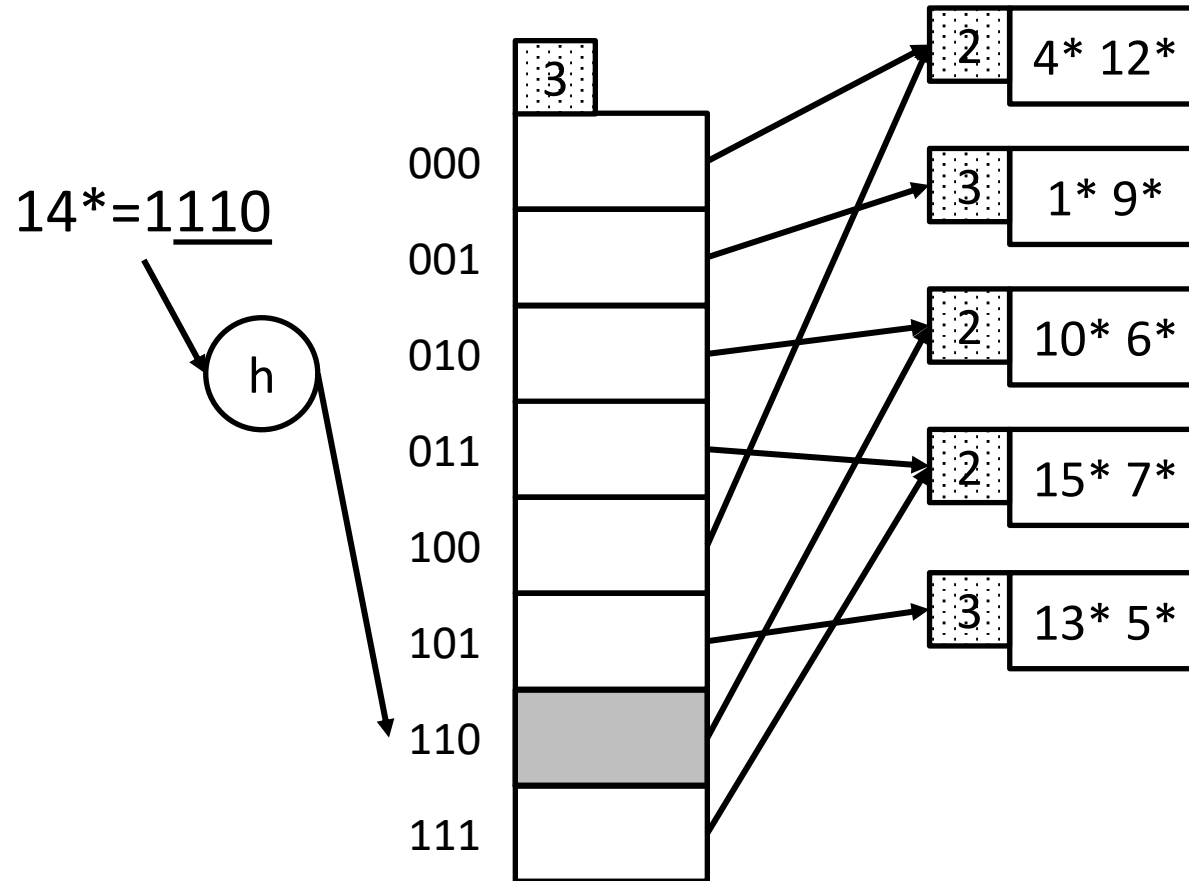
do we have to re-distribute all?

[$17 \rightarrow 10001$] so, double the dir again!

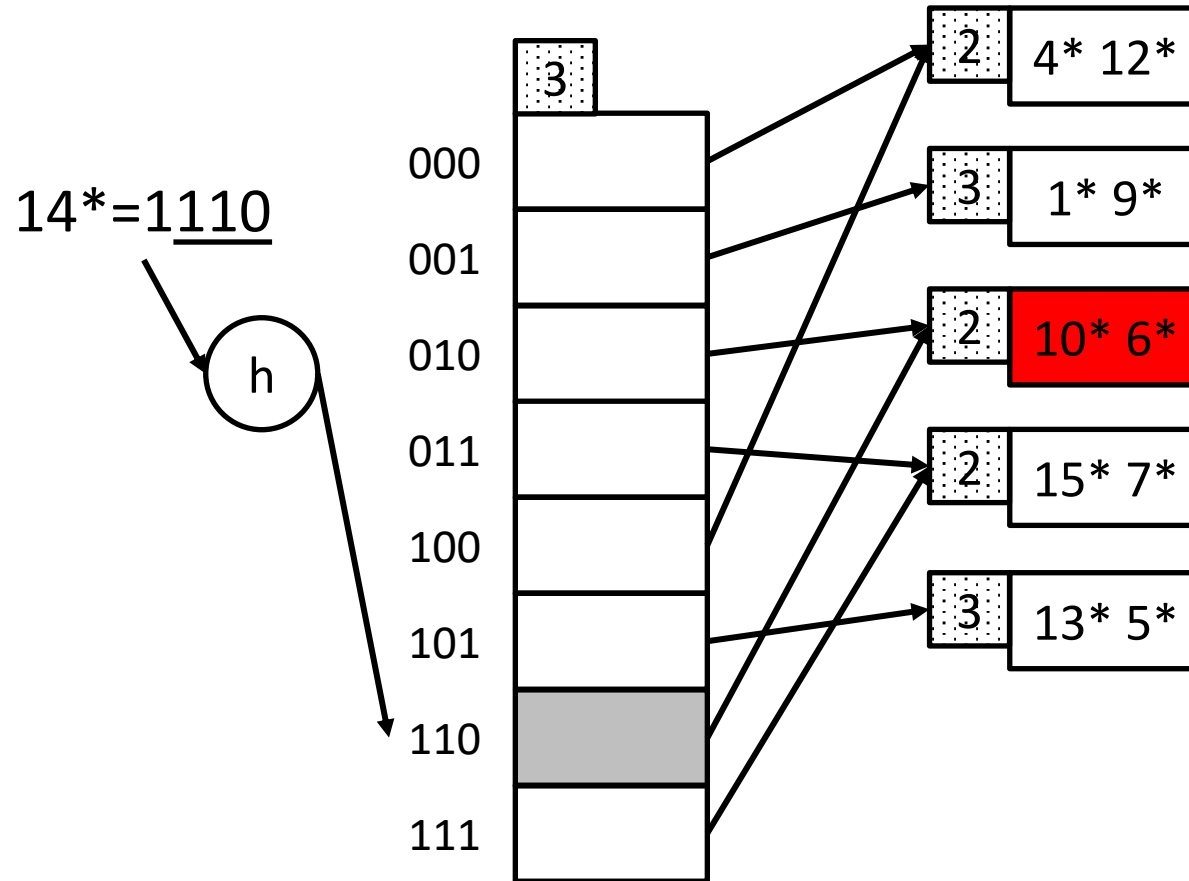
Example 3: Insert 5



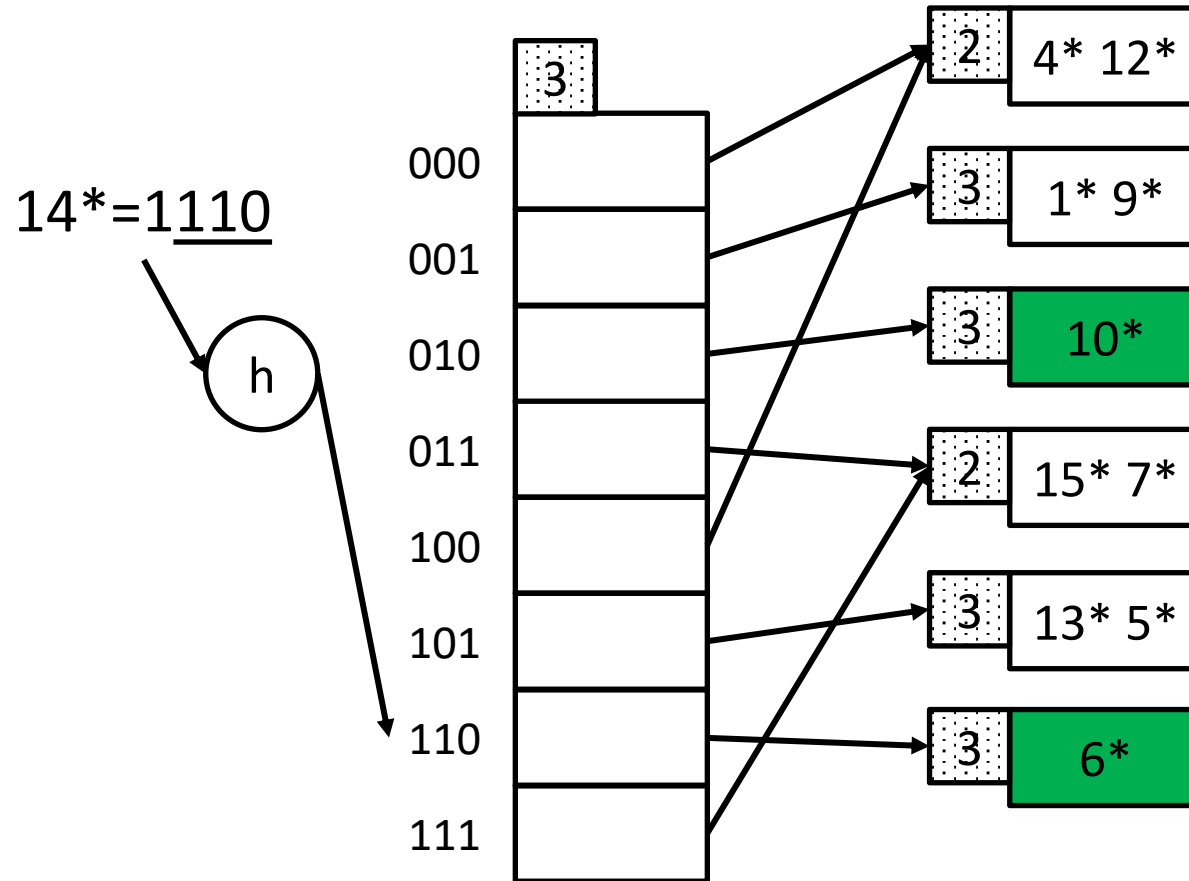
Example 3: Insert 14



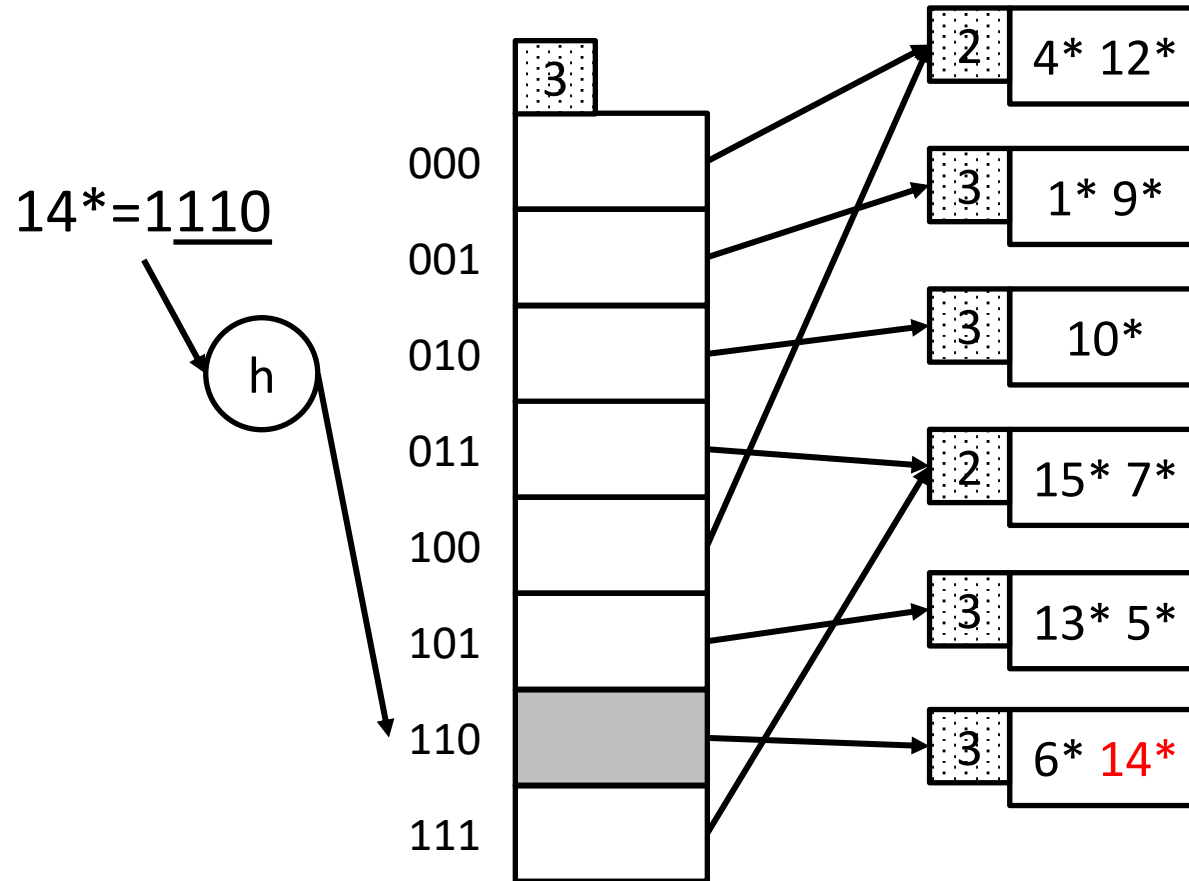
Example 3: Insert 14



Example 3: Insert 14



Example 3: Insert 14



Notes on Extendible Hashing

How many disk accesses for equality search?

- One if directory fits in memory, else two



Directory grows in spurts, and, if the distribution *of hash values* is skewed, can grow large

Notes on Extendible Hashing

Do we ever need overflow pages?

- Multiple entries with same hash value cause problems!

Delete: Reverse of inserts

- Can merge with split image
- Can shrink the directory by half. When?

Each directory element points to same bucket as its split image

- Is shrinking/merging a good idea?



Hash Indexing

Static Hashing

Extendible Hashing

Linear Hashing

Linear Hashing

another dynamic hashing scheme

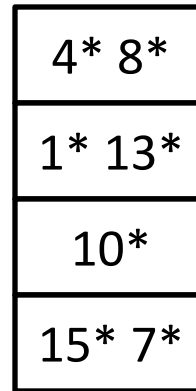
LH handles overflow chains without a directory

Idea: Use overflow pages, and split pages in a round-robin fashion

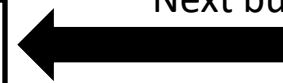
Example

this for information reasons!
it is not really kept.

| h_1 | h_0 |
|-------|-------|
| 000 | 00 |
| 001 | 01 |
| 010 | 10 |
| 011 | 11 |



Next bucket to split



what happens when we insert 5?

$$h_0(5) = 01$$

what are the two hash functions?

$$h_0(\text{key}) = \text{key} \bmod 4$$

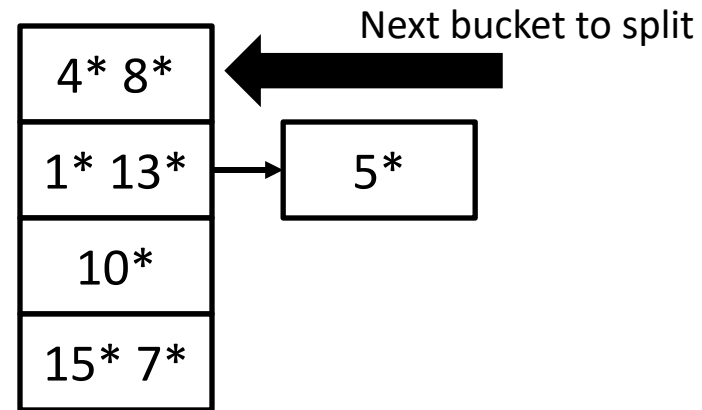
$$h_1(\text{key}) = \text{key} \bmod 8$$



Example

this for information reasons!
it is not really kept.

| h_1 | h_0 |
|-------|-------|
| 000 | 00 |
| 001 | 01 |
| 010 | 10 |
| 011 | 11 |



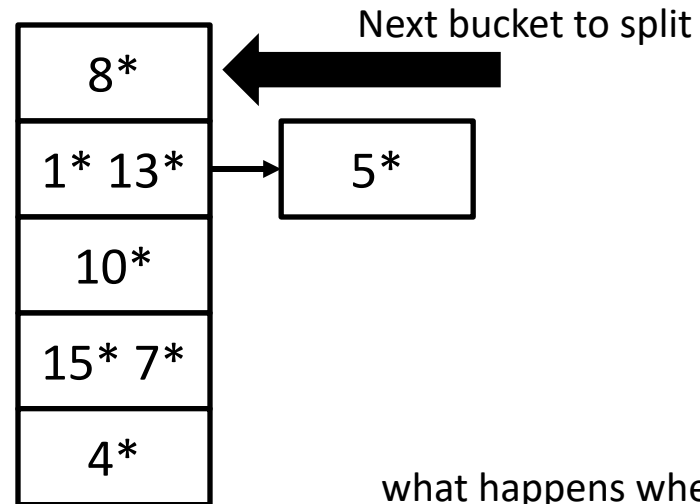
what happens when we insert 5?

(1) 5 goes to an overflow page

Example

this for information reasons!
it is not really kept.

| h_1 | h_0 |
|-------|-------|
| 000 | 00 |
| 001 | 01 |
| 010 | 10 |
| 011 | 11 |
| 100 | |



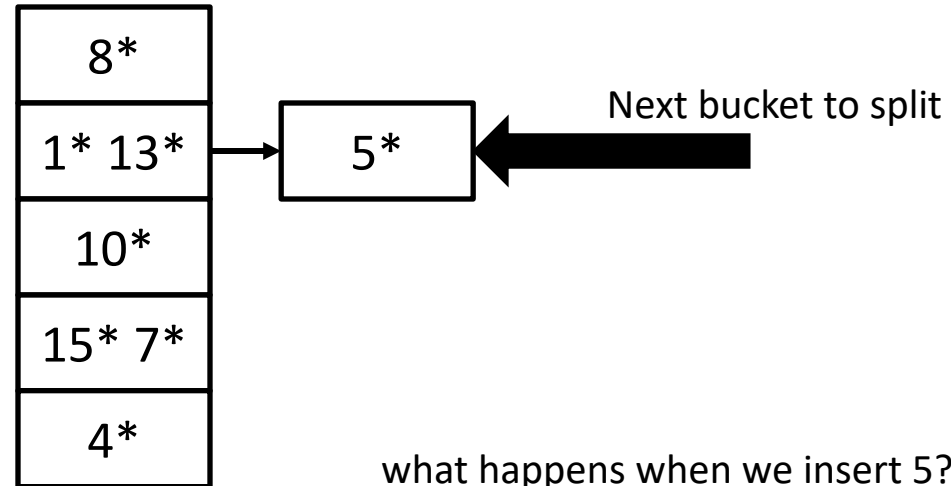
what happens when we insert 5?

- (1) 5 goes to an overflow page
- (2) we split the "next" page

Example

this for information reasons!
it is not really kept.

| h_1 | h_0 |
|-------|-------|
| 000 | 00 |
| 001 | 01 |
| 010 | 10 |
| 011 | 11 |
| 100 | |

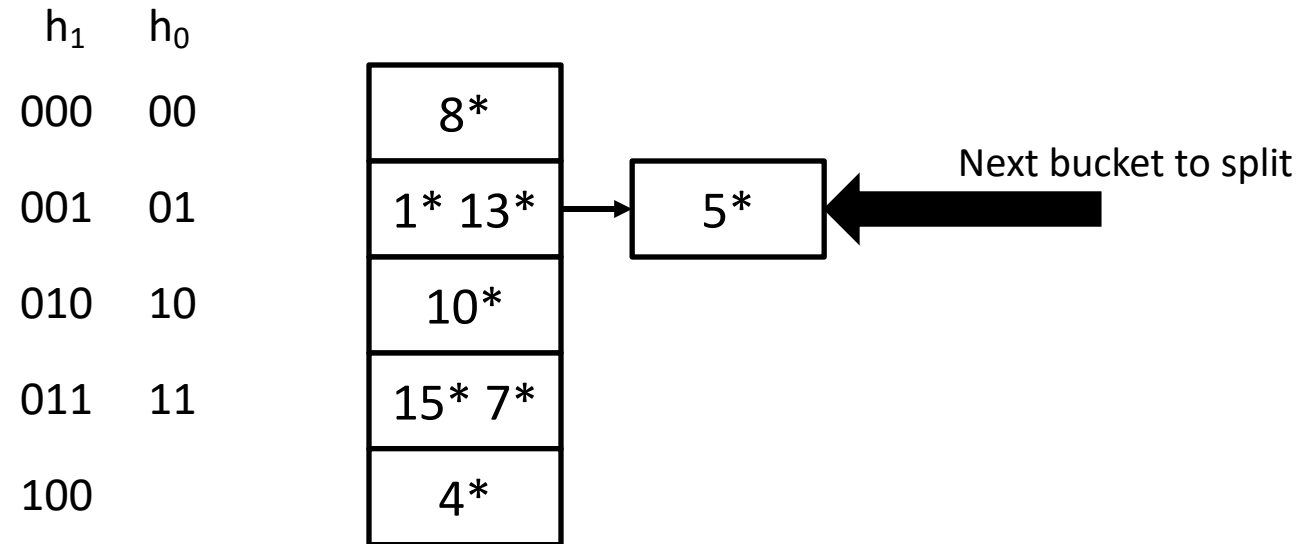


what happens when we insert 5?

- (1) 5 goes to an overflow page
- (2) we split the "next" page
- (3) we move the "next" pointer

Example: Insert 2

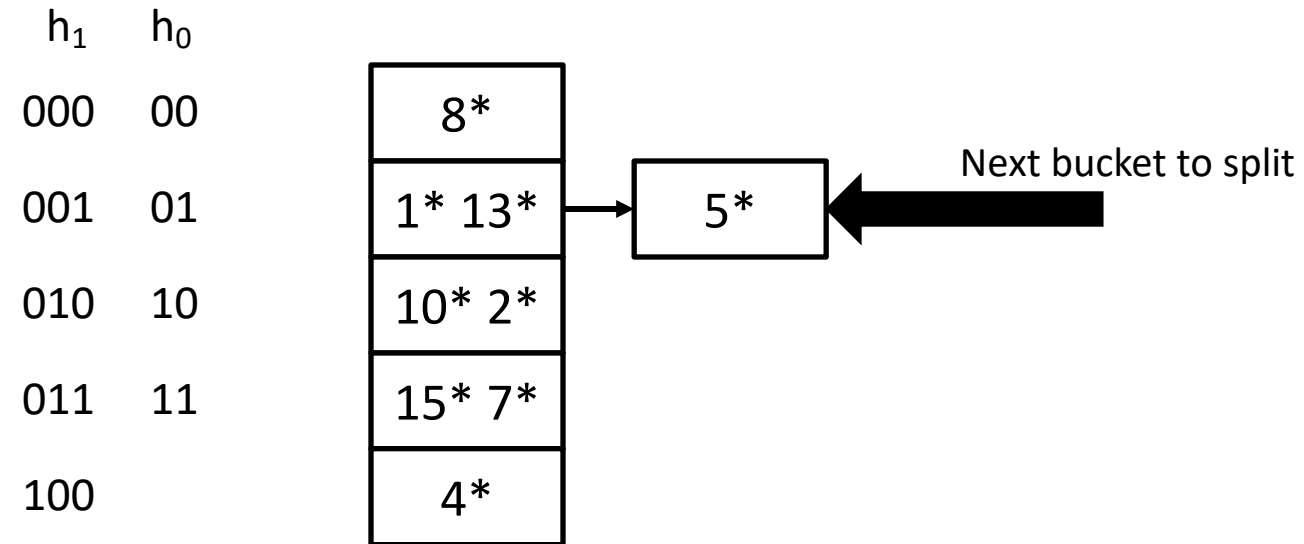
this for information reasons!
it is not really kept.



$$h_0(2) = 10$$

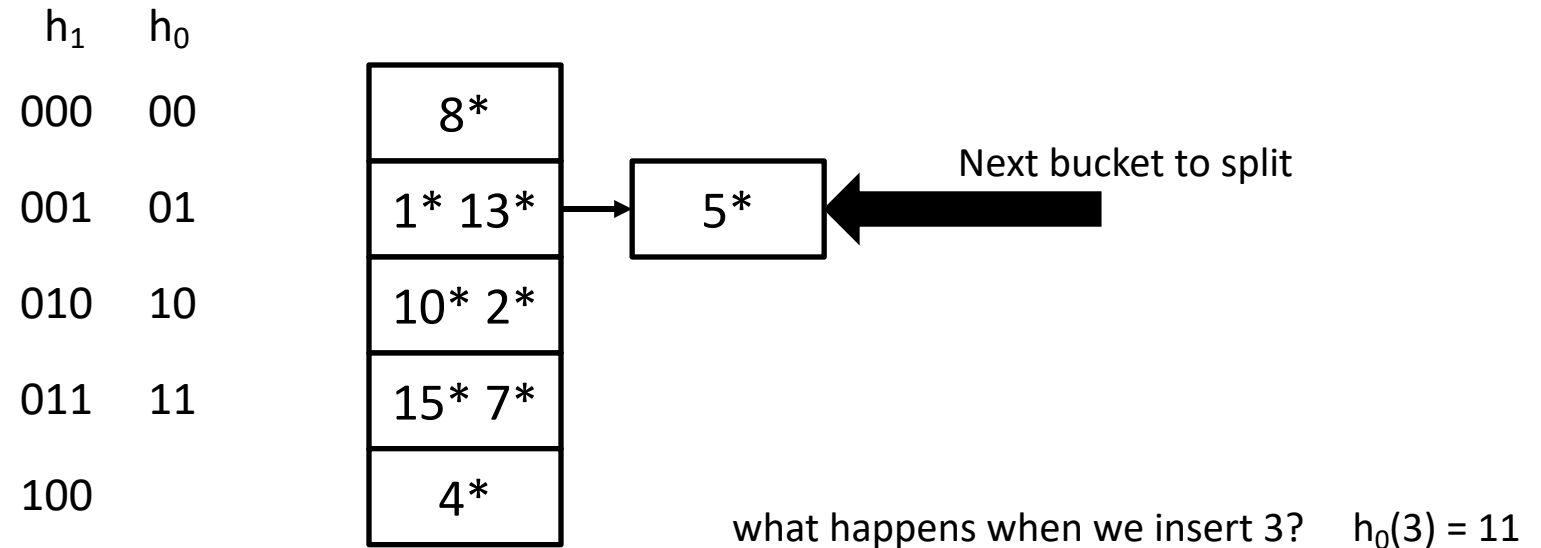
Example: Insert 2

this for information reasons!
it is not really kept.



Example: Insert 3

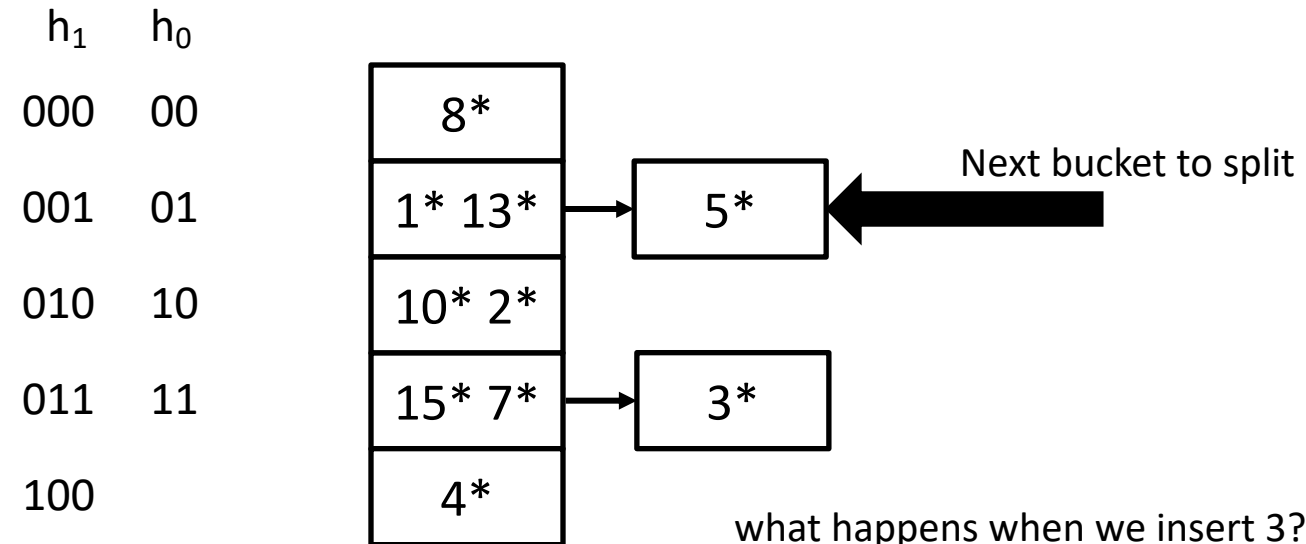
this for information reasons!
it is not really kept.



Example: Insert 3

this for information reasons!

it is not really kept.



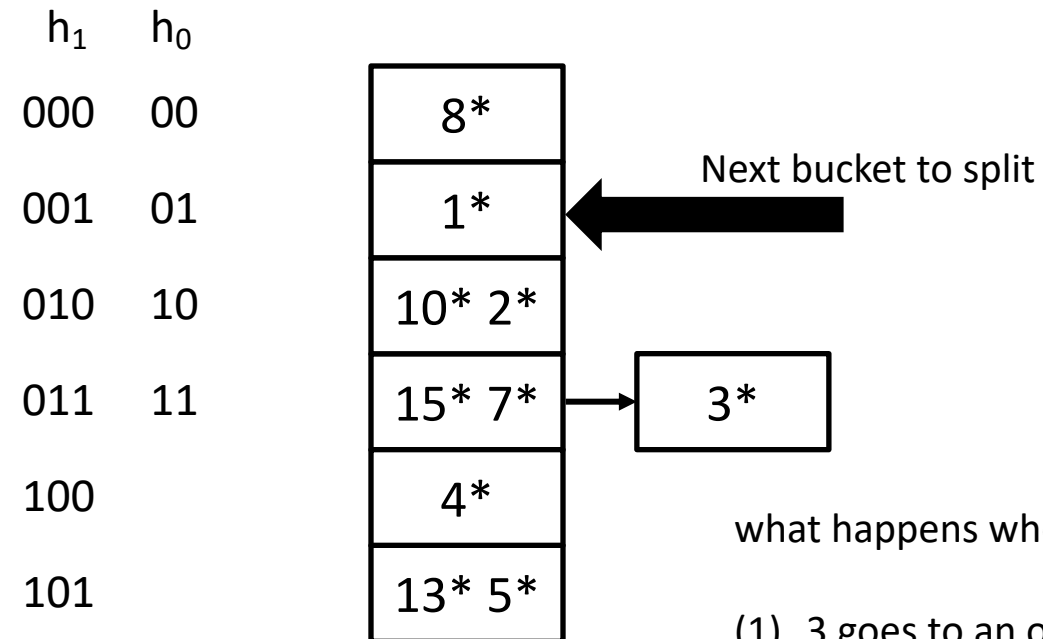
what happens when we insert 3?

(1) 3 goes to an overflow page

Example: Insert 3

this for information reasons!

it is not really kept.



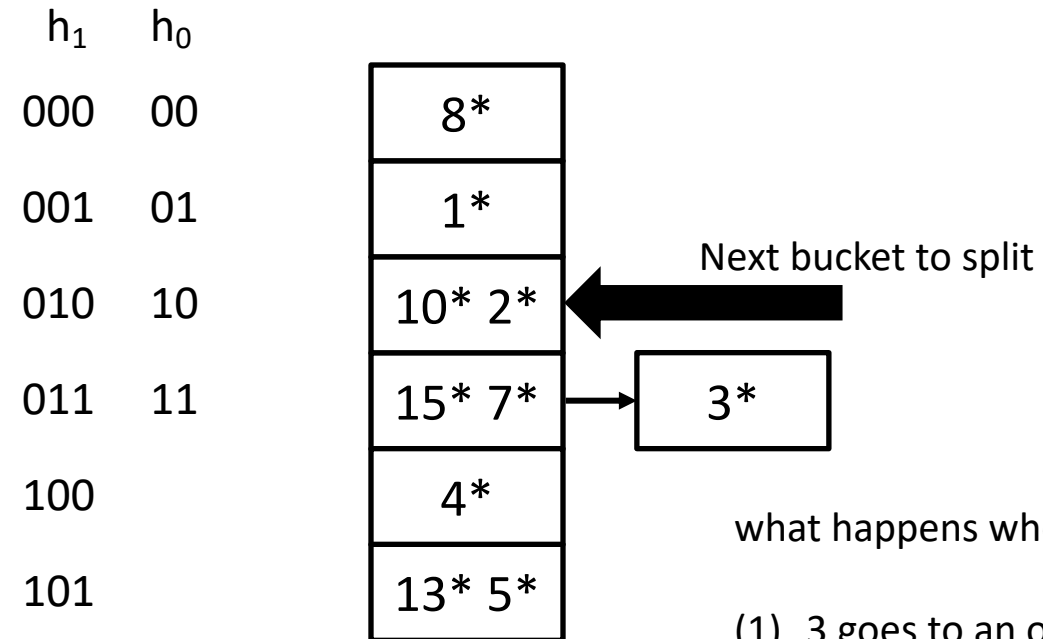
what happens when we insert 3?

- (1) 3 goes to an overflow page
- (2) we split the "next" page

Example: Insert 3

this for information reasons!

it is not really kept.



what happens when we insert 3?

- (1) 3 goes to an overflow page
- (2) we split the "next" page
- (3) we move the "next" pointer

Linear Hashing

$h_0, h_1, h_2 \dots$ can be more general hash functions

when h_0 hits on a split buffer we employ h_1 and we have to look in both buffers

if the second is also split we use h_2 and so on

Benefit: buckets are split round-robin

→ no long chains

Hash Indexing

Hash indexes: best for **equality** searches

Static Hashing can lead to long **overflow chains**

Extendible Hashing

avoids overflow pages by splitting a bucket when full
directory to keep track of buckets

BUT dir. can get too large (>memory) when data is skewed

Linear Hashing

avoids directory by splitting buckets round-robin
uses overflow pages
overflow pages not likely to be long