

class 5

Bloom Filters in LSM trees

Zichen Zhu

<https://bu-disc.github.io/CS561/>

Log-Structured Merge Trees

Widely adopted because they balance read performance and ingestion



levelDB



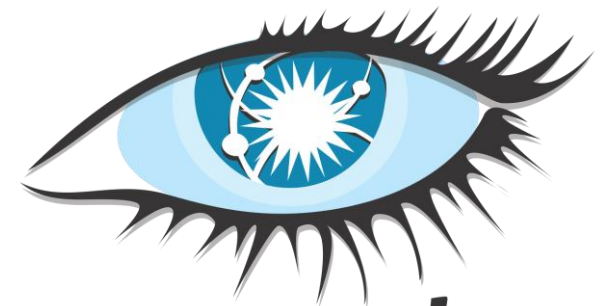
RocksDB



A P A C H E
HBASE

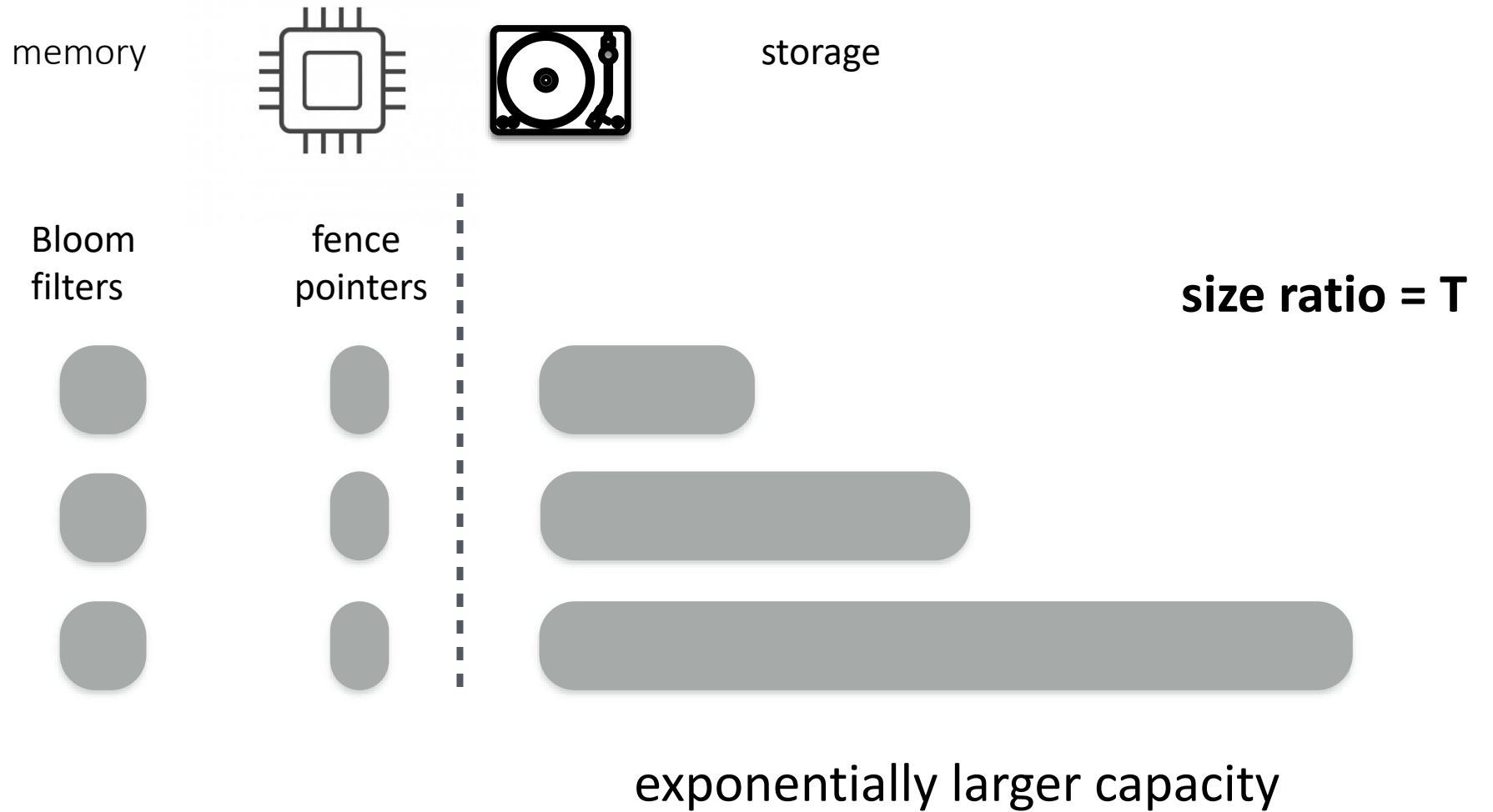


amazon
DynamoDB

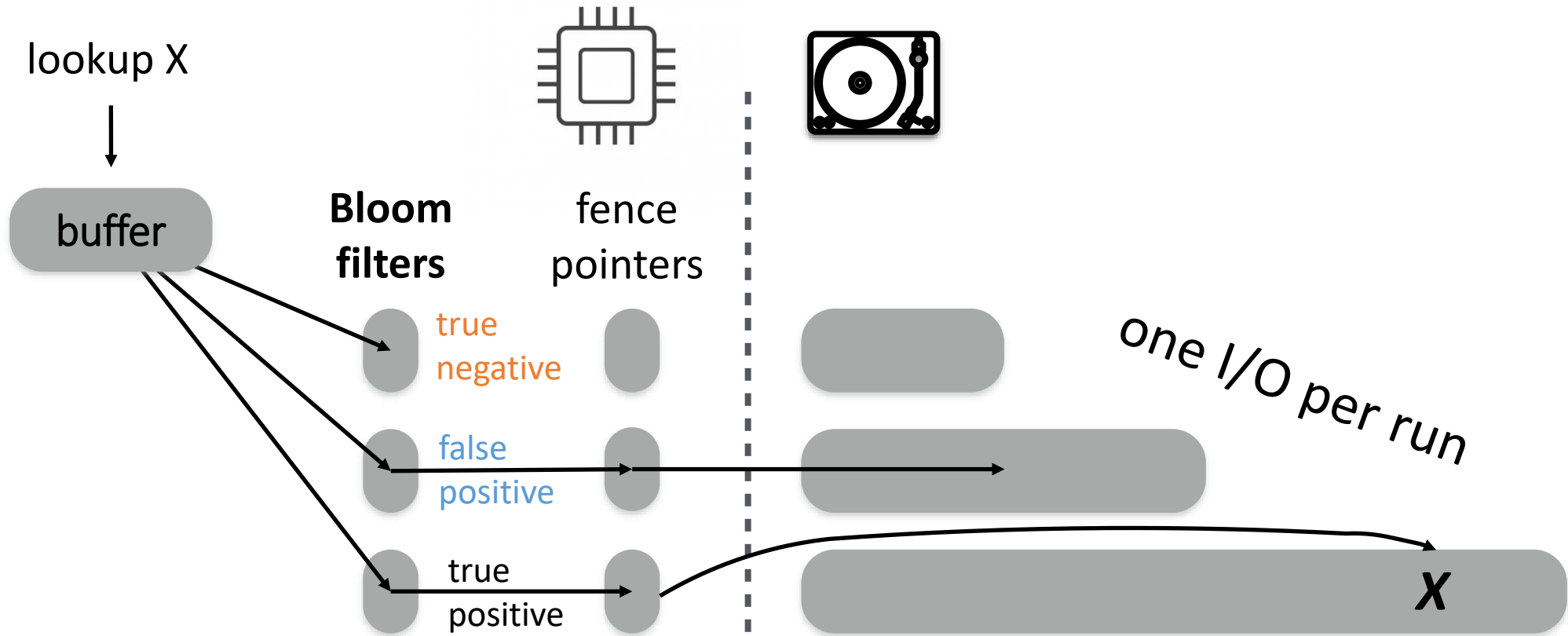


cassandra

Log-Structured Merge Trees



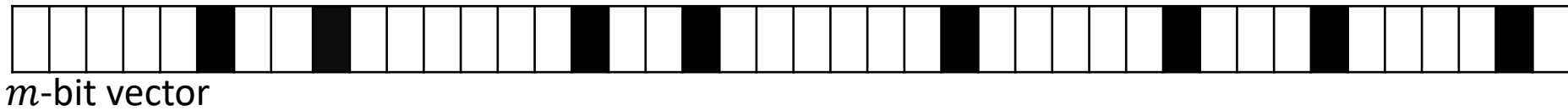
Log-Structured Merge Trees



Bloom Filter

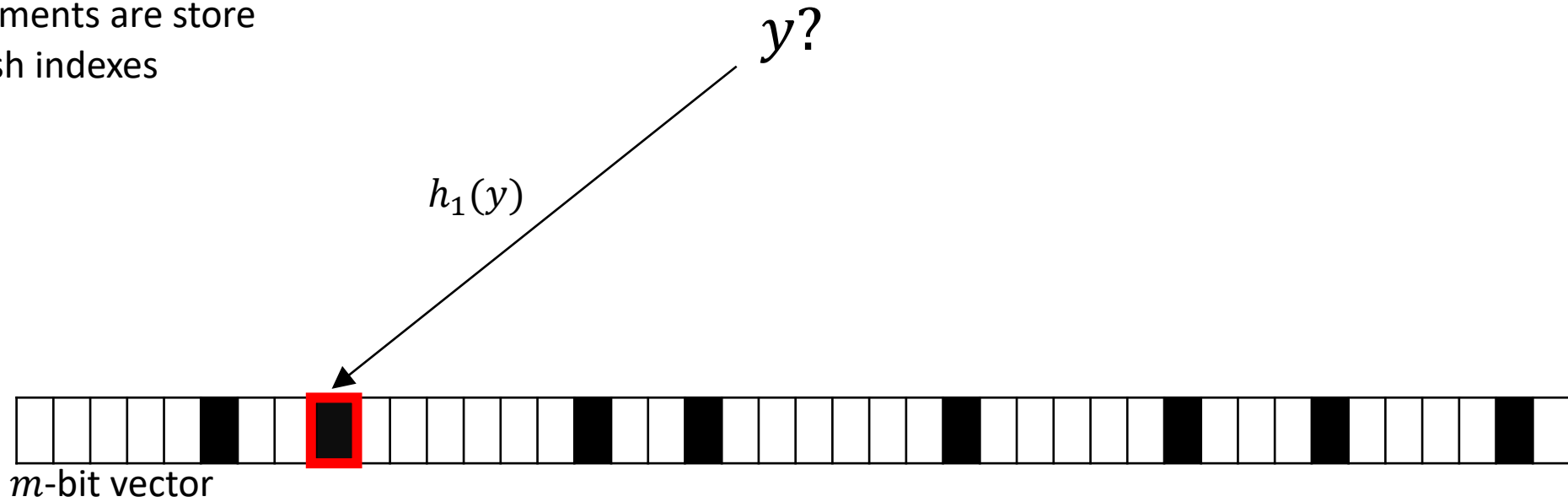
m -bit vector
 n elements are store
 k hash indexes

$y?$



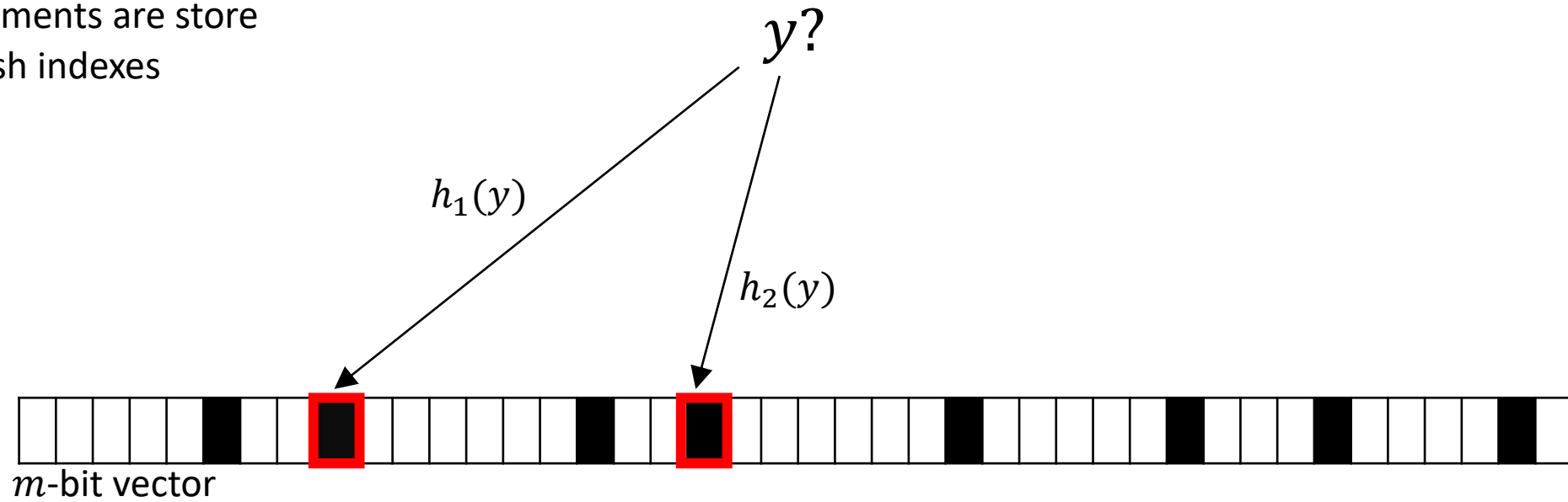
Bloom Filter

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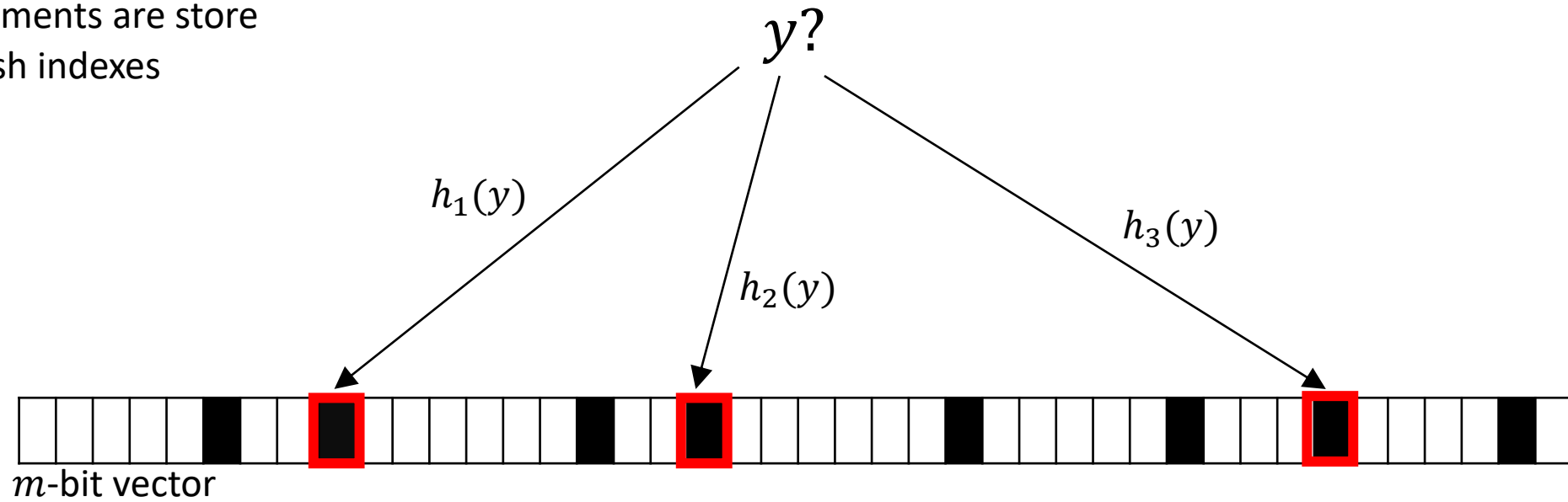
Bloom Filter

m -bit vector
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 k hash indexes



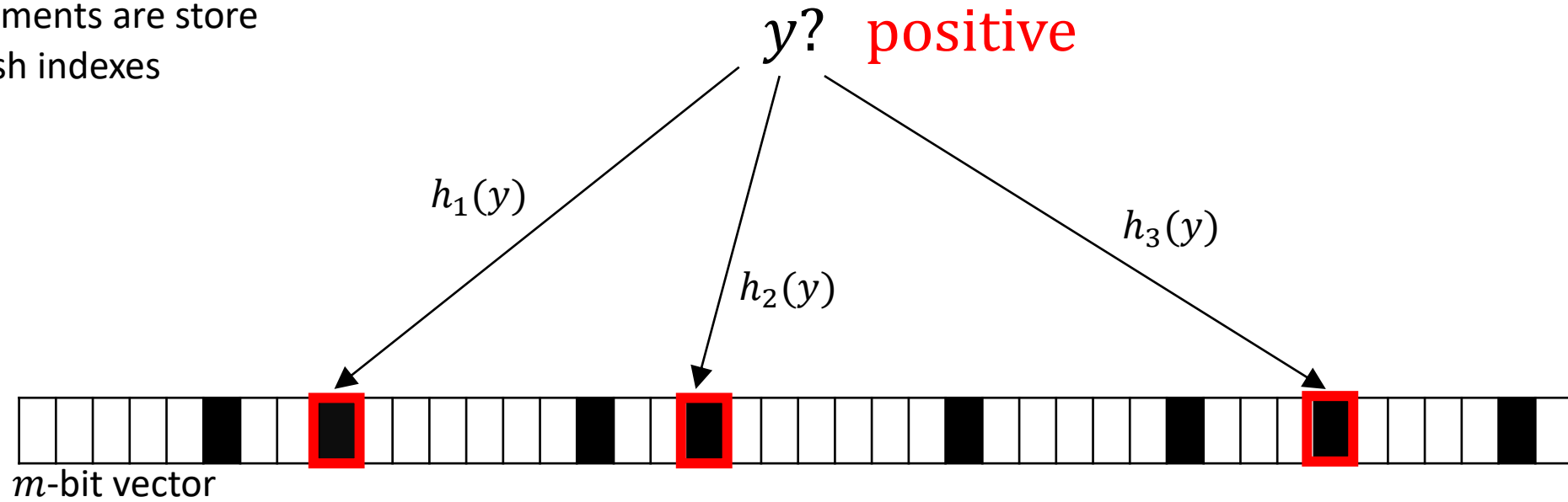
Bloom Filter

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Bloom Filter

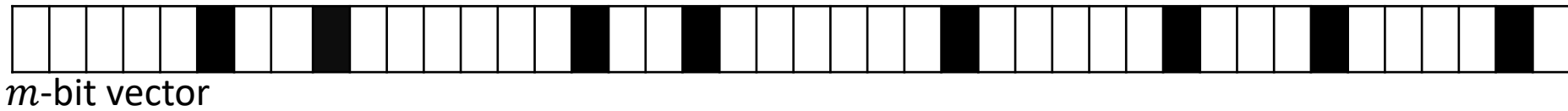
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Bloom Filter

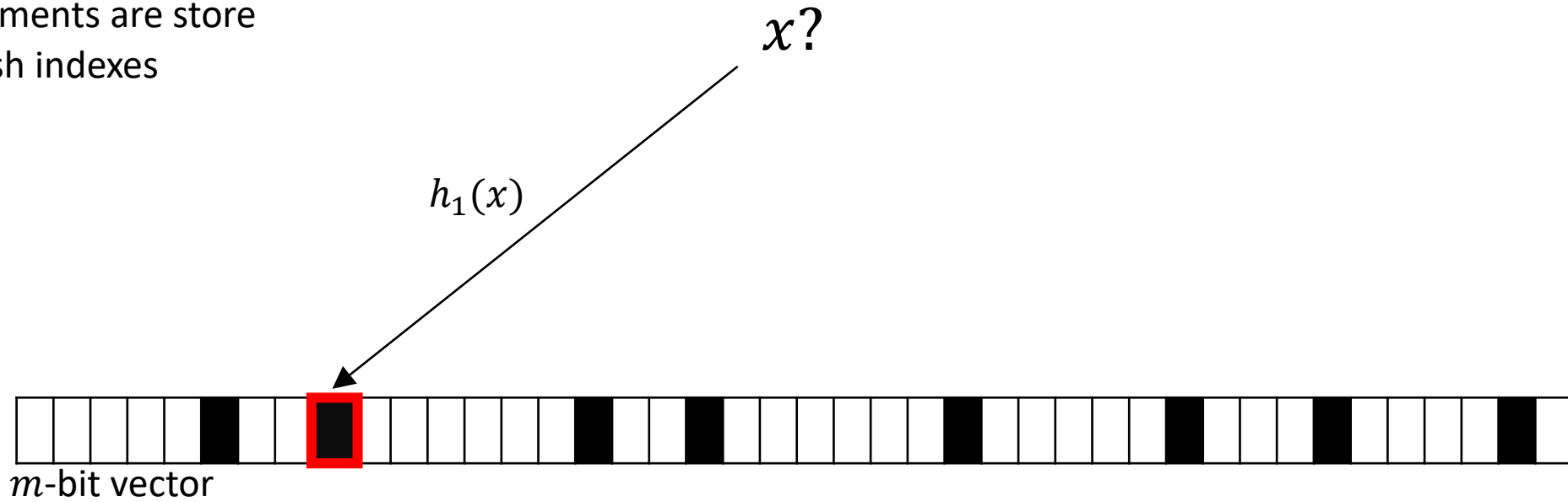
m -bit vector
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$x?$



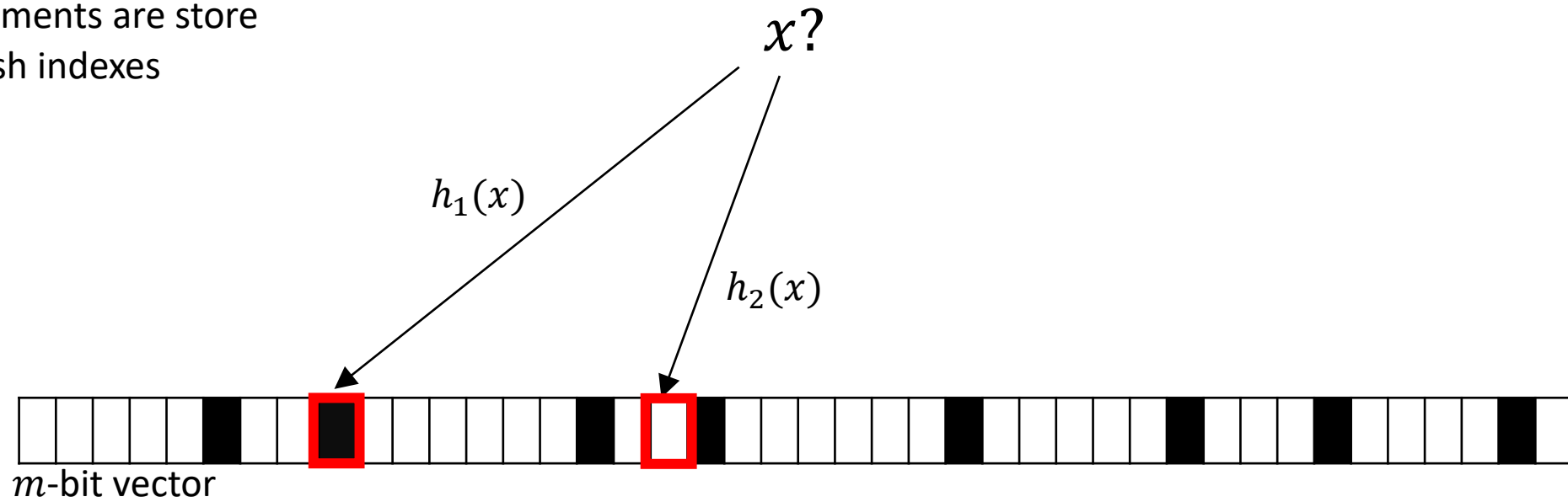
Bloom Filter

m -bit vector
 n elements are store
 k hash indexes



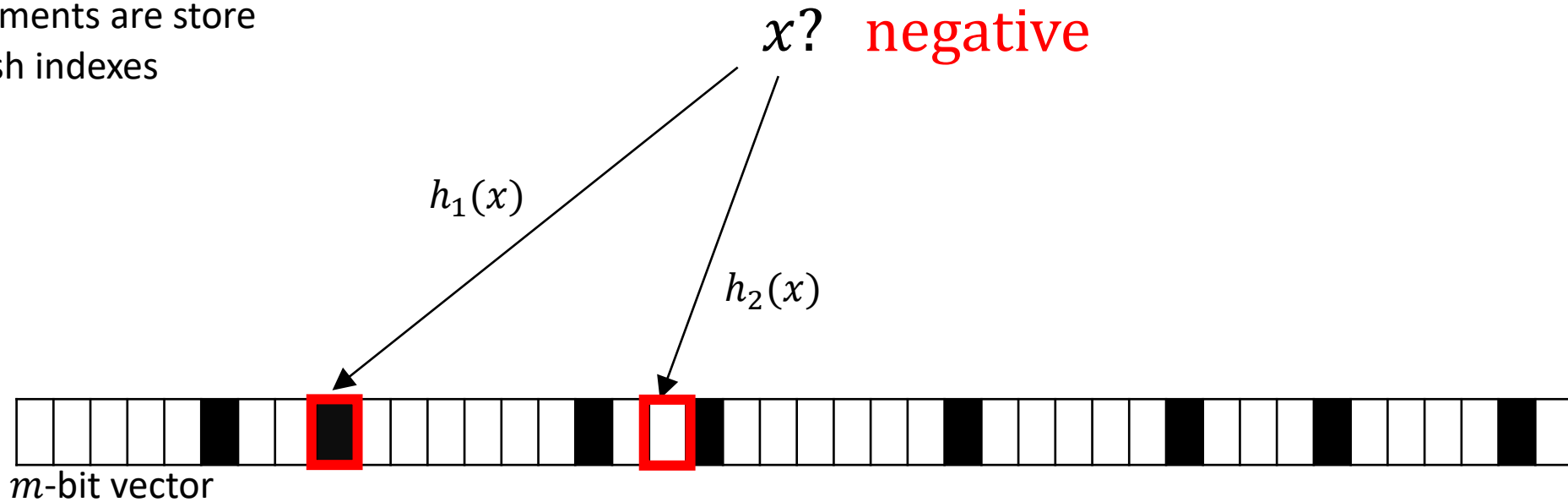
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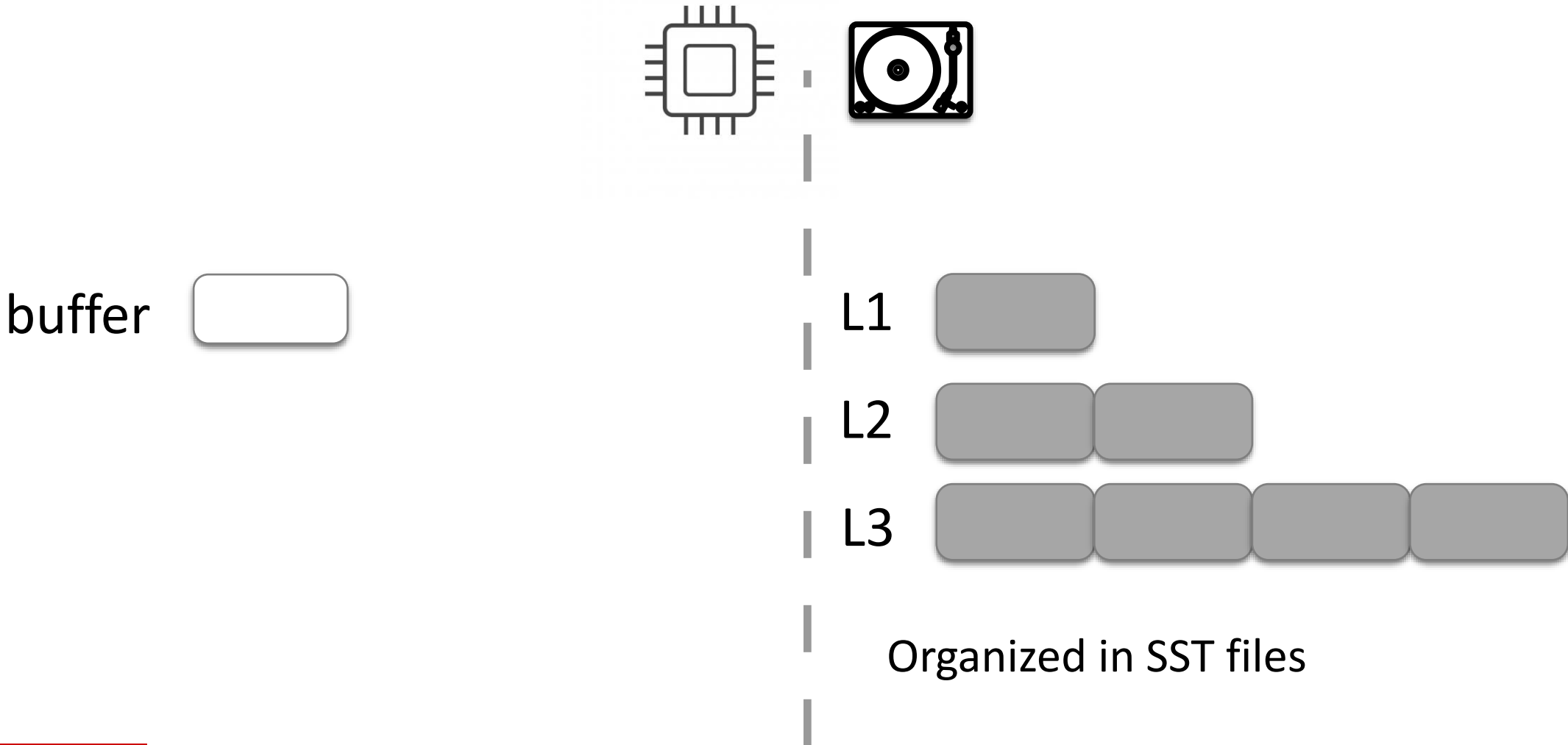
Bloom Filter

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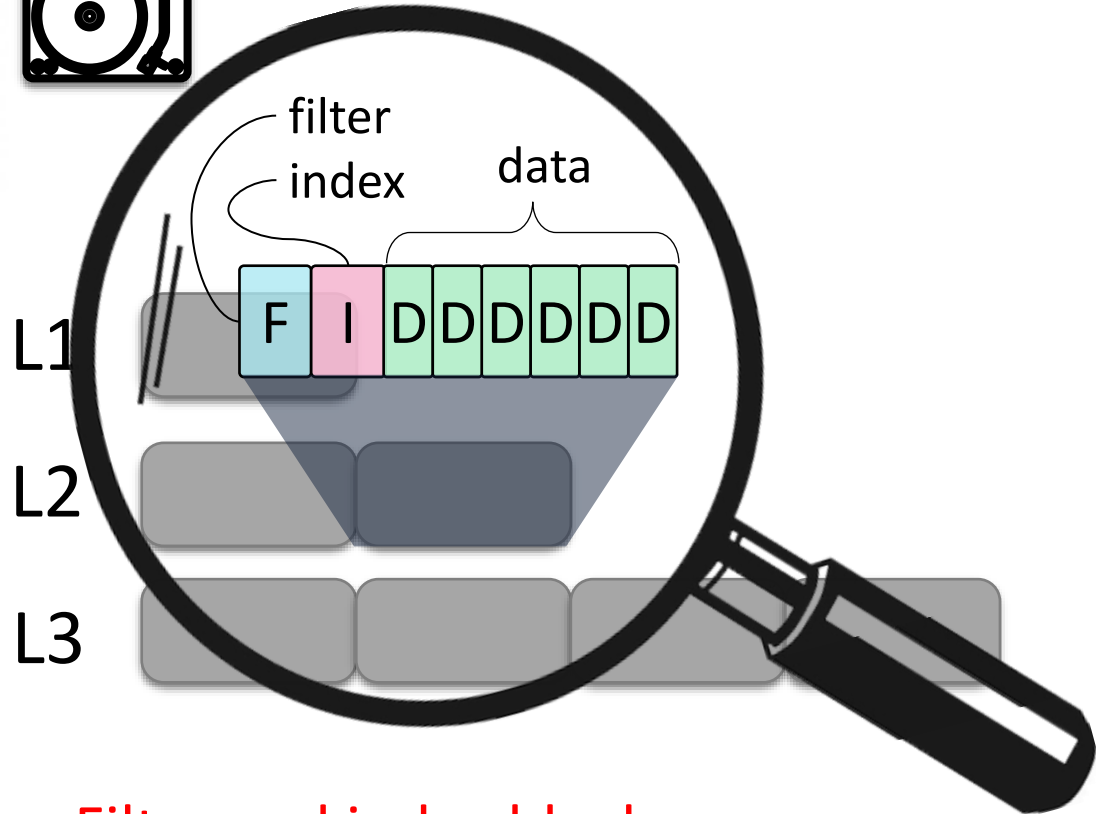
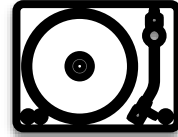
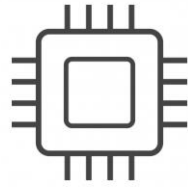
$$\text{false positive } p = e^{-\frac{\text{bits } M}{\text{entries } N} \cdot \ln(2)^2}$$

Log-Structured Merge Trees



Log-Structured Merge Trees

buffer



Filter and index blocks
to enhance the lookup performance

Memory Pressure in LSM-trees

Memory vs. Storage

Metric	DRAM				HDD				SATAFlash SSD	
	1987	1997	2007	2018	1987	1997	2007	2018	2007	2018
Unit price(\$)	5k	15k	48	80	30k	2k	80	49	1k	415
Unit capacity	1MB	1GB	1GB	16GB	180MB	9GB	250GB	2TB	32GB	800GB
\$/MB	5k	14.6	0.05	0.005	83.33	0.22	0.0003	0.00002	0.03	0.0005
Random IOPS	-	-	-	-	5	64	83	200	6.2k	67k (r)/20k (w)
Sequential b/w (MB/s)	-	-	-	-	1	10	300	200	66	500 (r)/460 (w)

The Five-Minute Rule 30 Years Later and Its Impact on the Storage Hierarchy, Communications of the ACM, 2019

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The Five-Minute Rule 30 Years Later and Its Impact on the Storage Hierarchy, Communications of the ACM, 2019

The price drop in memory has been slower than storage making it hard to maintain the same memory-to-data ratio

Memory Pressure in LSM-trees



Data size ↑

Memory Pressure in LSM-trees

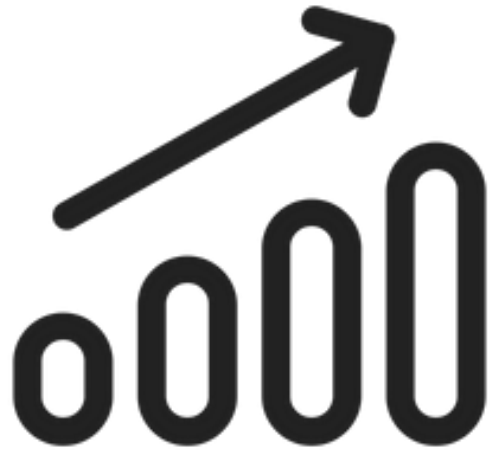


Data size ↑

*For 1TB data,
1.3GB filter & 17.2GB index*

*11% space amplification,
1KB entry, 64B key, bpk 10*

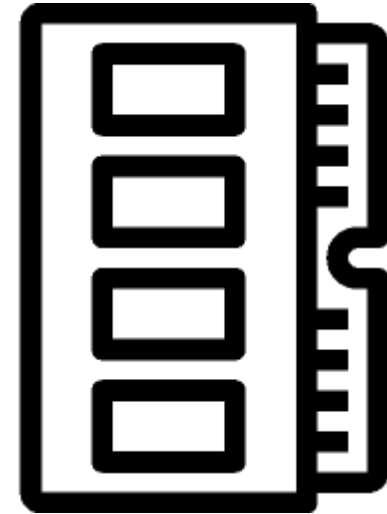
Memory Pressure in LSM-trees



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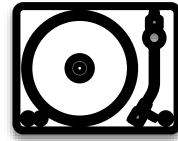
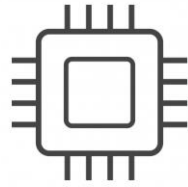
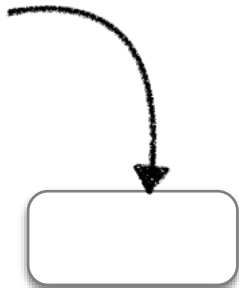
memory-to-data ratio ↓

Memory pressure

Log-Structured Merge Trees

get(*k*)

buffer



L1



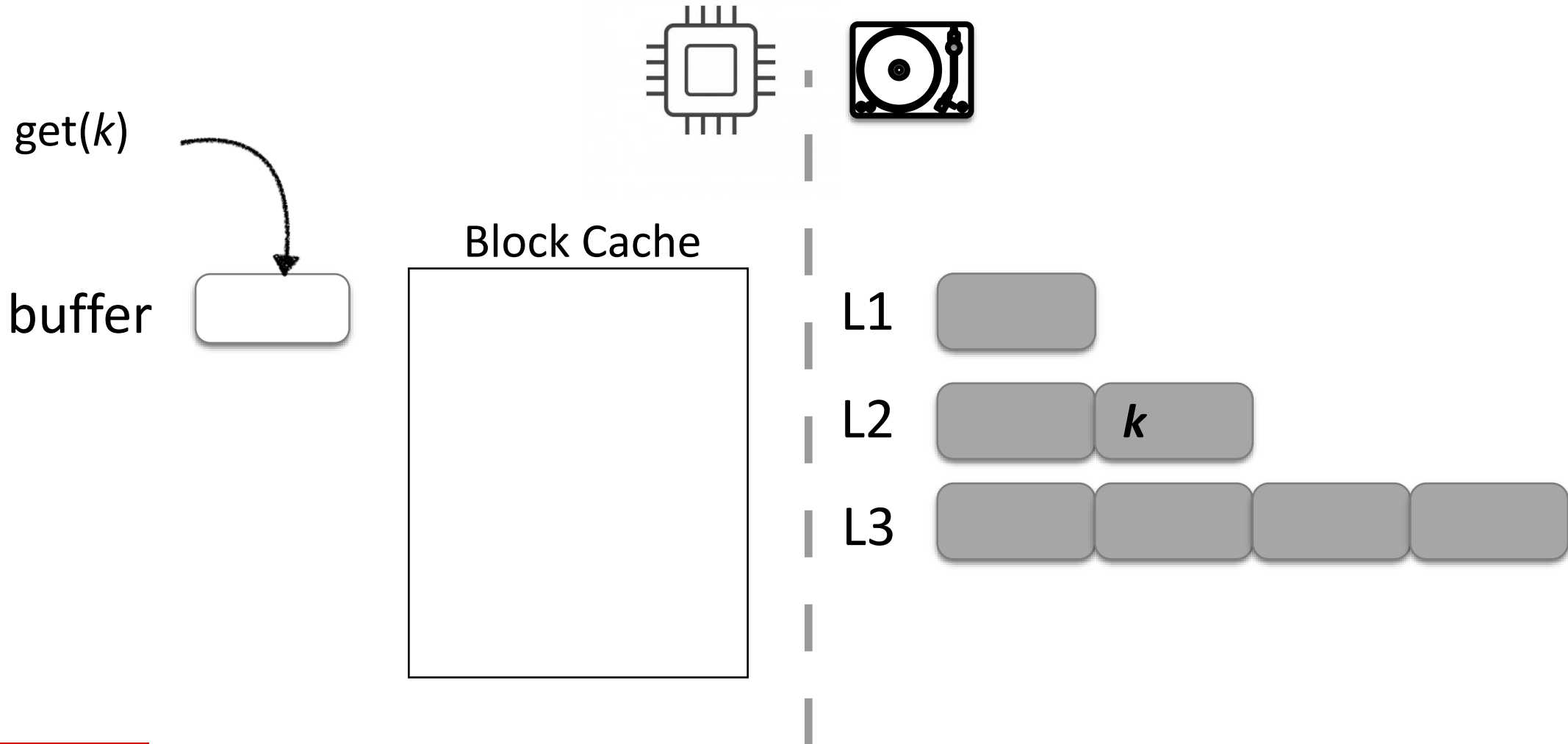
L2



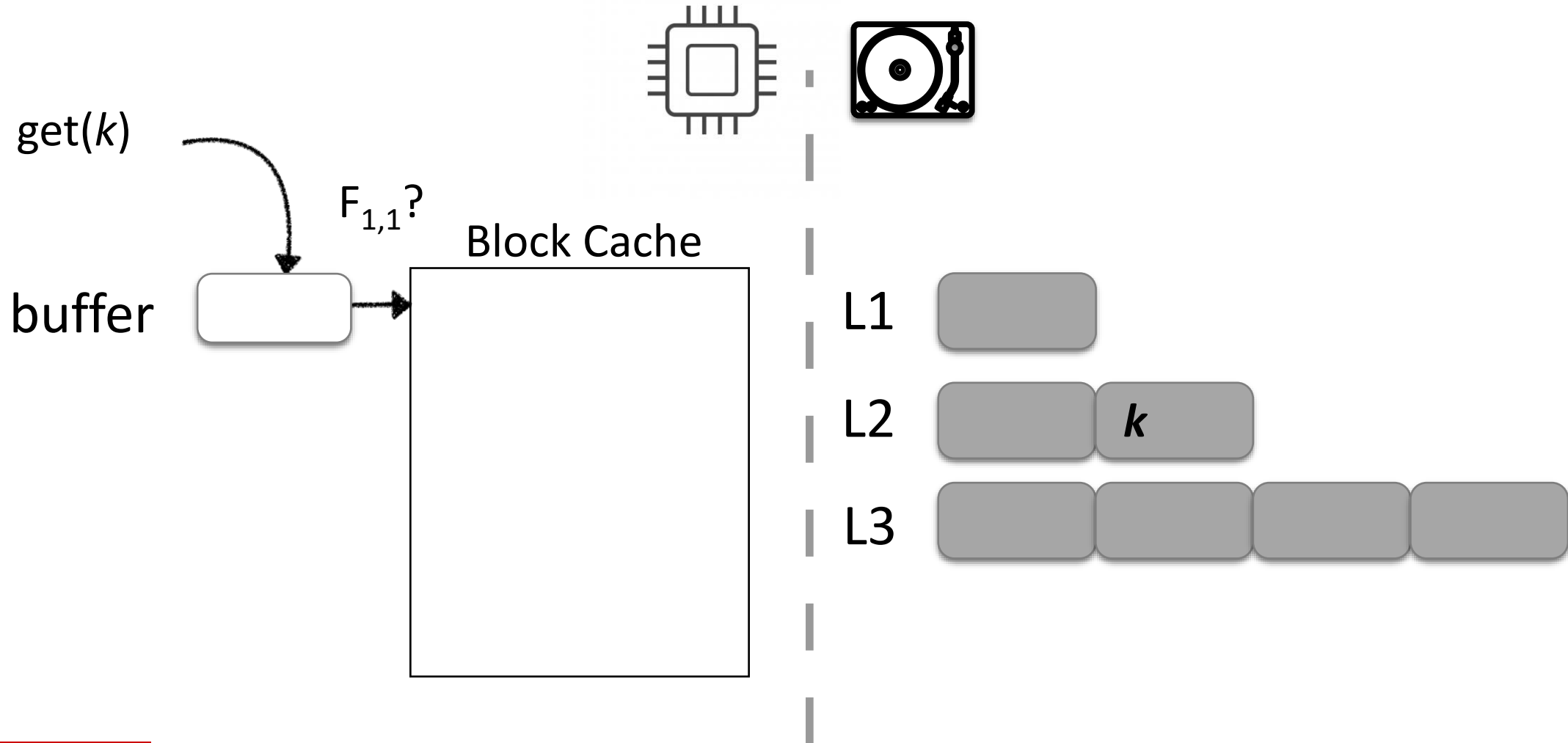
L3



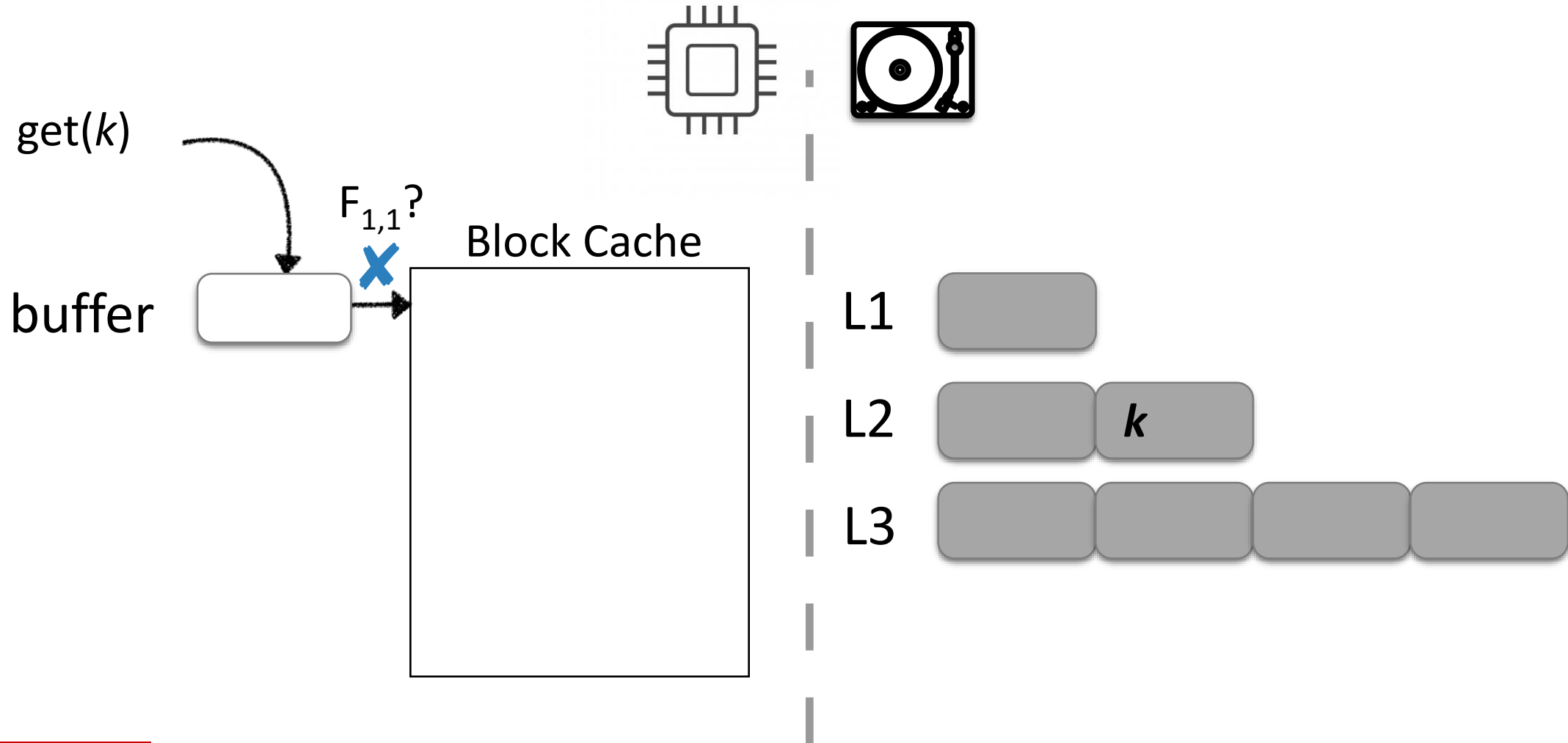
Log-Structured Merge Trees



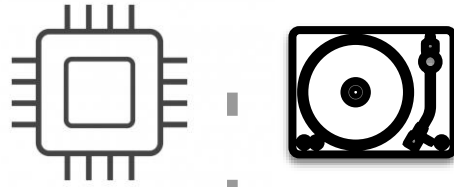
Log-Structured Merge Trees



Log-Structured Merge Trees

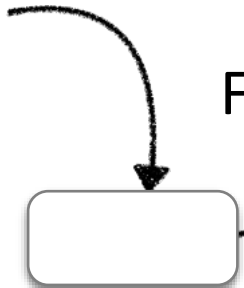


Log-Structured Merge Trees



get(k)

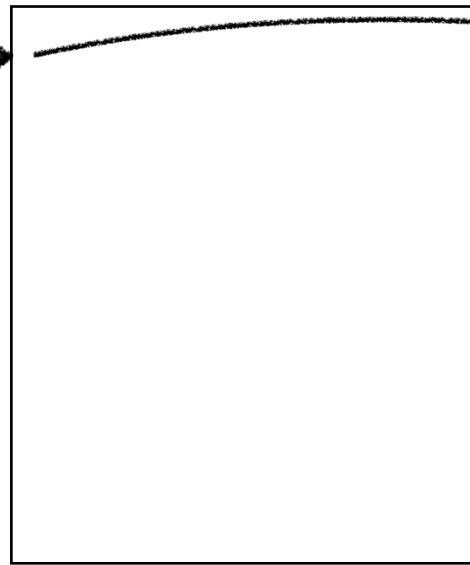
buffer



$F_{1,1}?$



Block Cache



L1



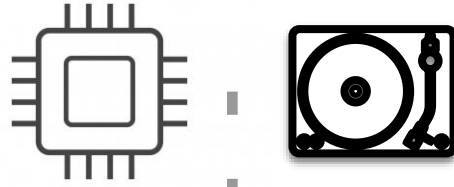
L2



L3

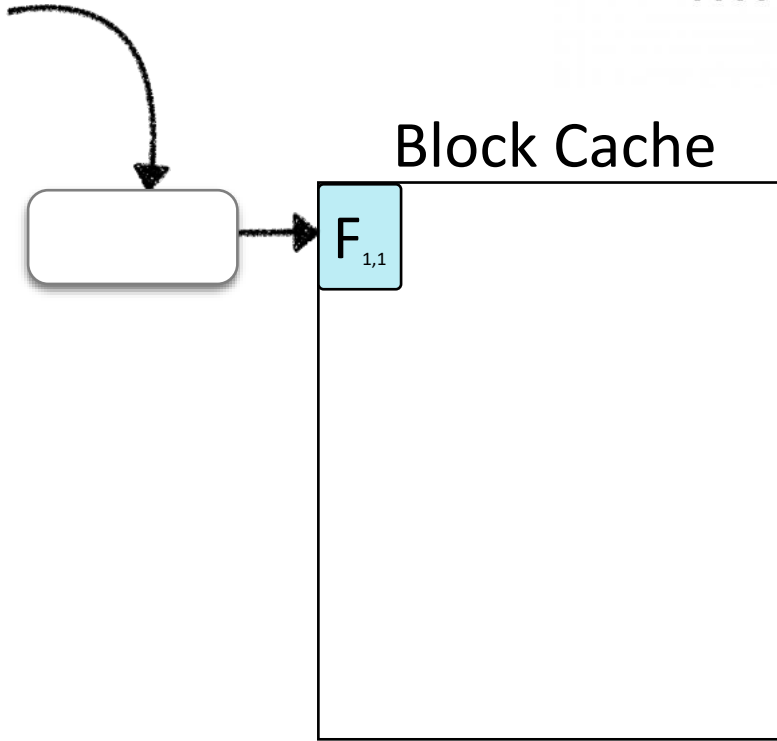


Log-Structured Merge Trees



get(k)

buffer



L1



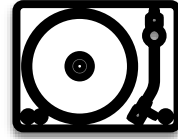
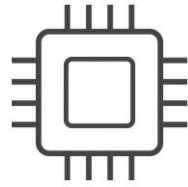
L2



L3

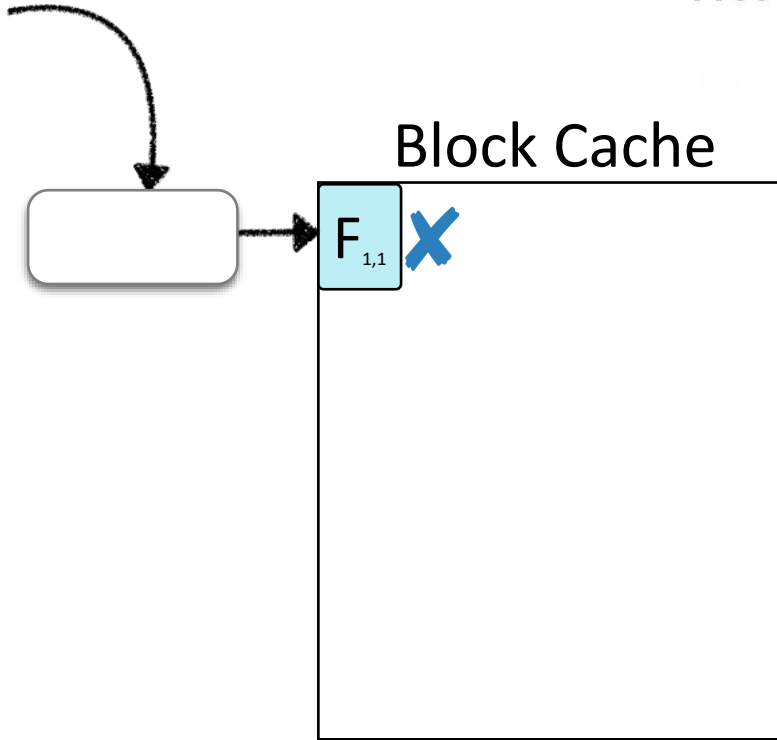


Log-Structured Merge Trees



get(k)

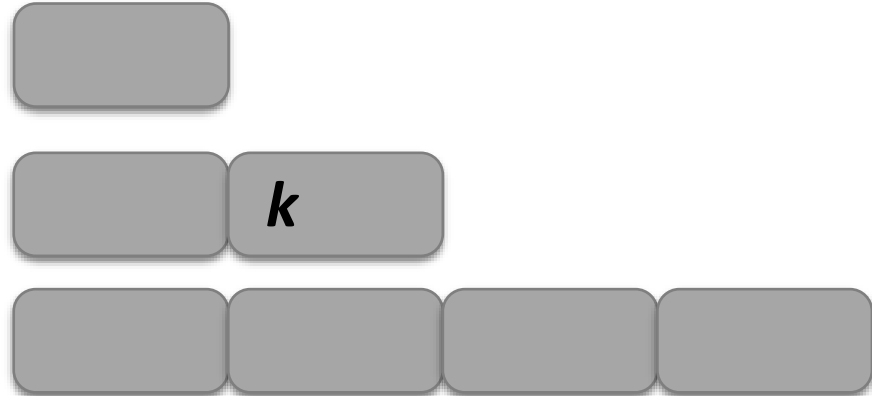
buffer



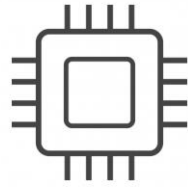
L1

L2

L3

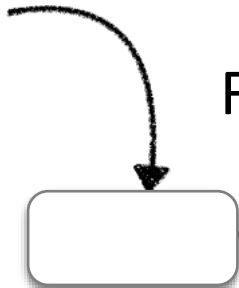


Log-Structured Merge Trees



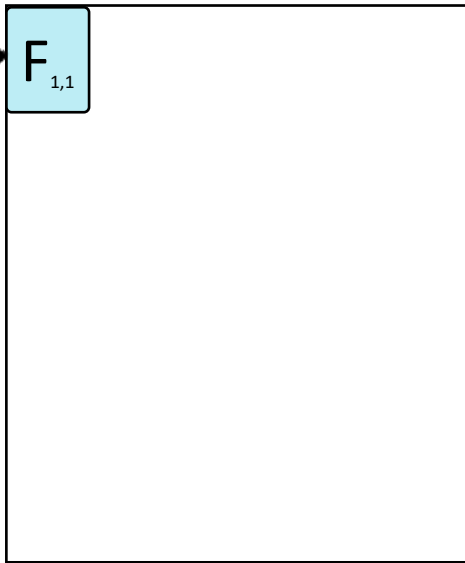
get(k)

buffer

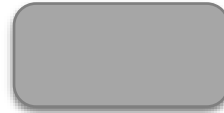


$F_{2,2}?$

Block Cache



L1



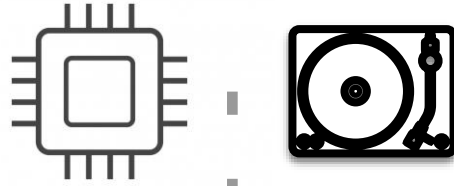
L2



L3

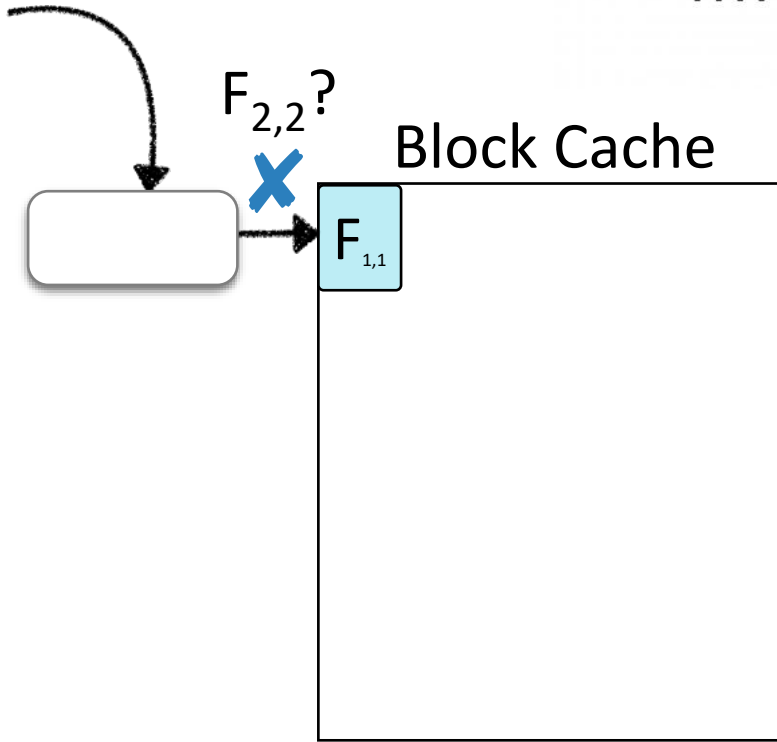


Log-Structured Merge Trees



get(k)

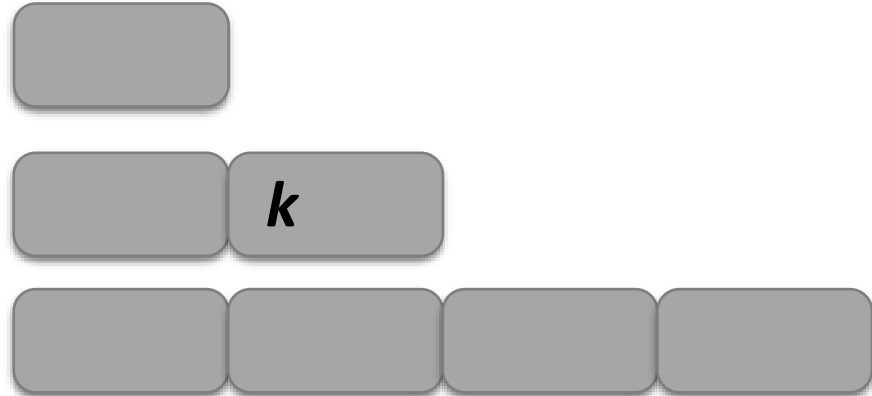
buffer



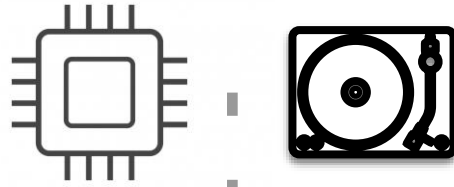
L1

L2

L3

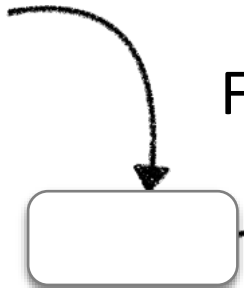


Log-Structured Merge Trees



get(k)

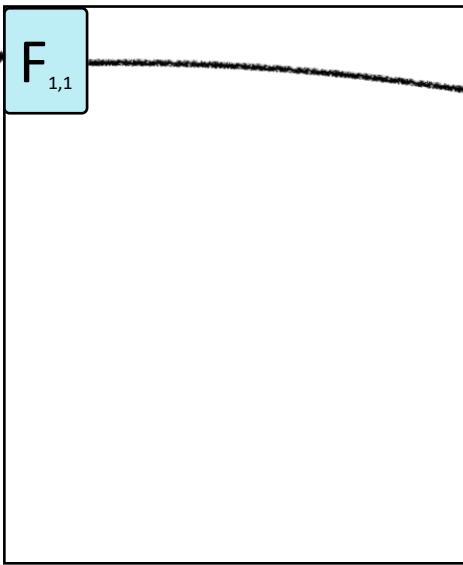
buffer



$F_{2,2}?$



Block Cache



L1



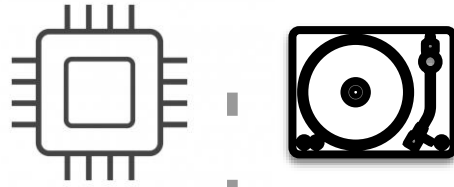
L2



L3

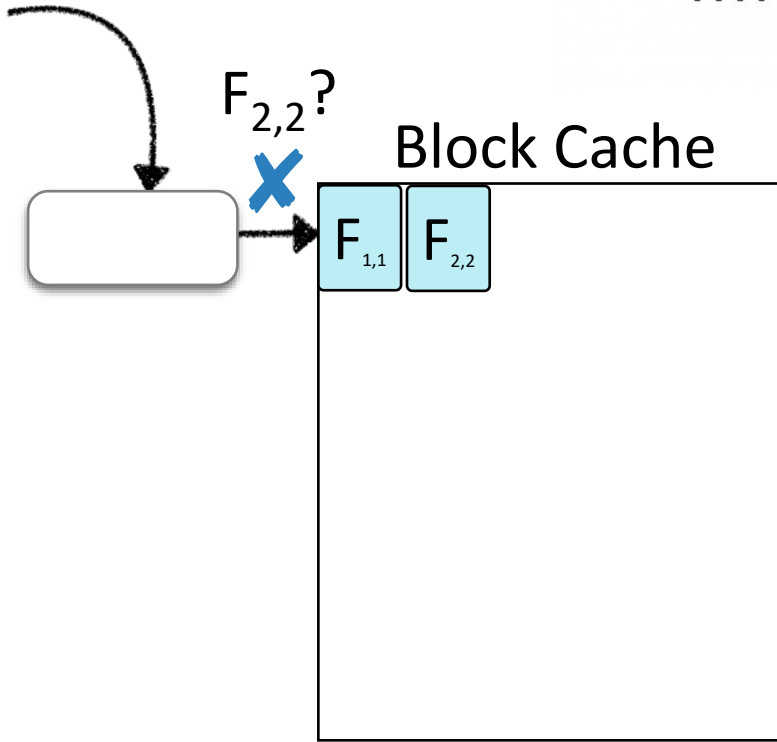


Log-Structured Merge Trees



get(k)

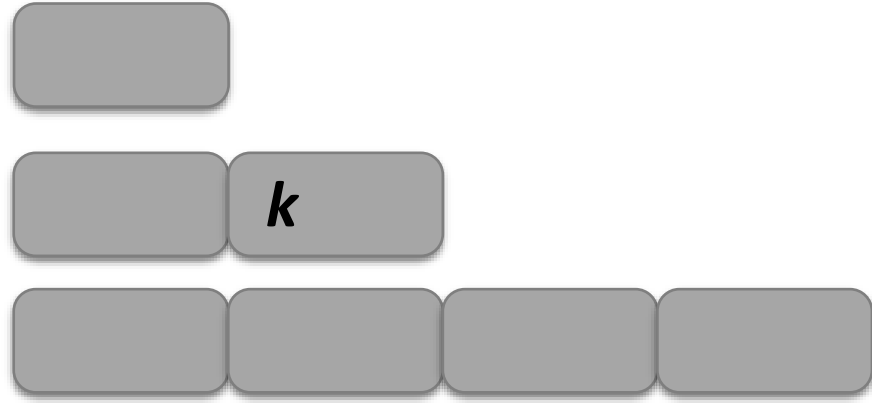
buffer



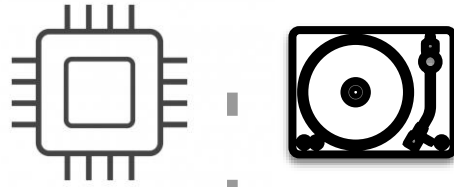
L1

L2

L3

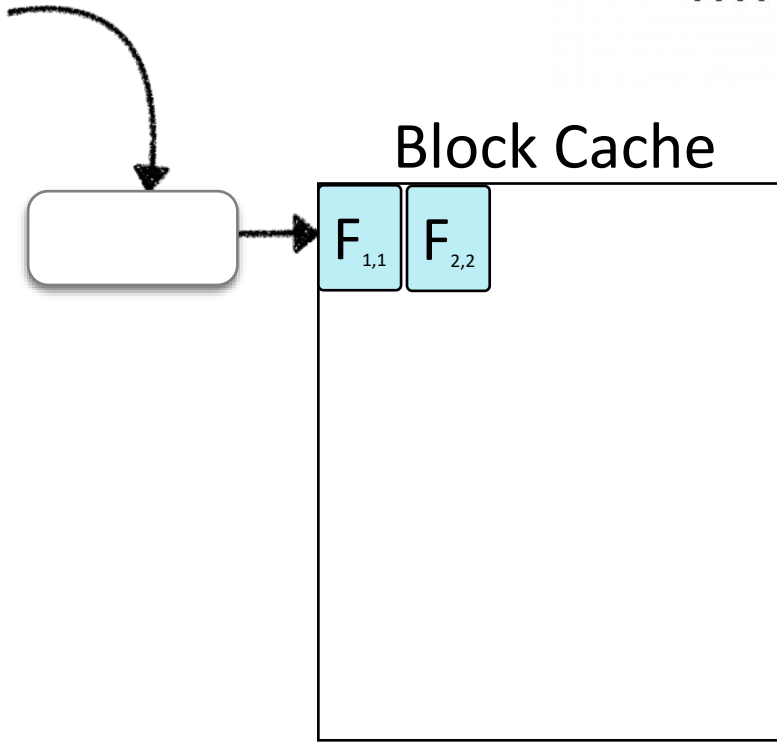


Log-Structured Merge Trees



get(k)

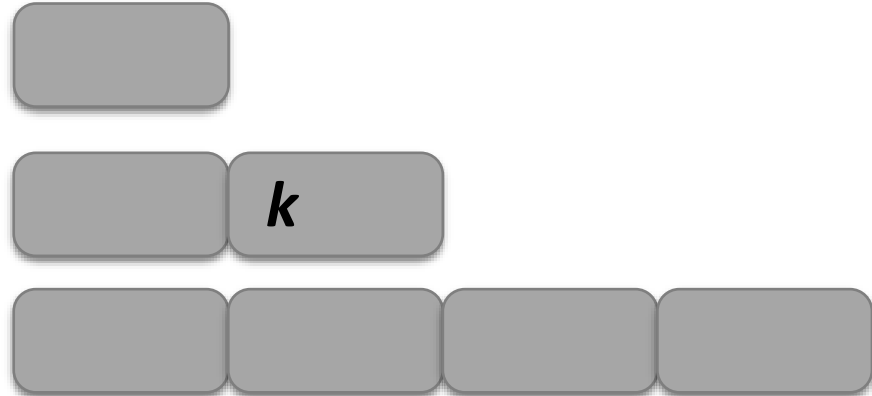
buffer



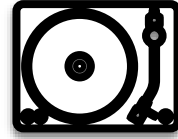
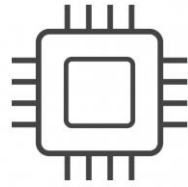
L1

L2

L3

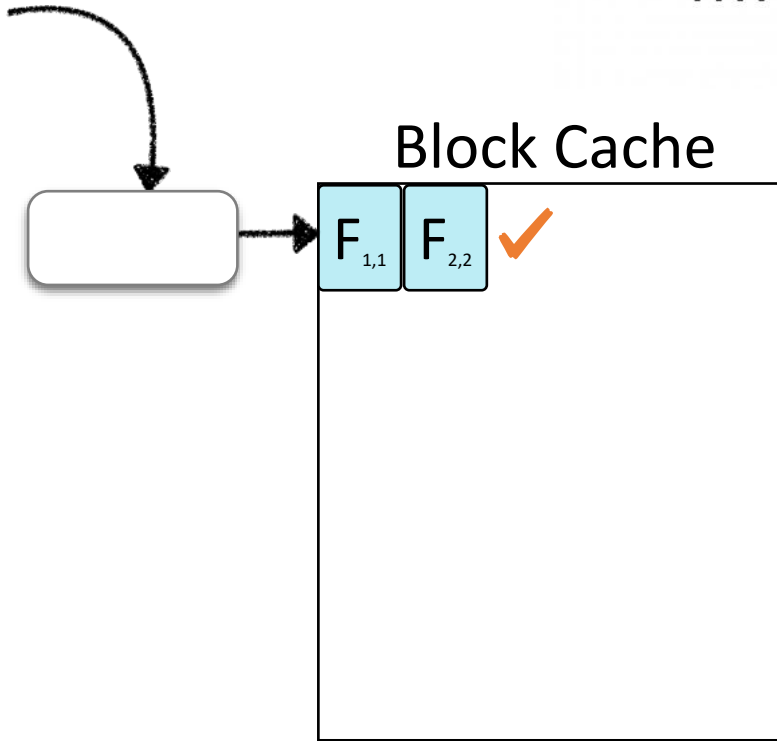


Log-Structured Merge Trees

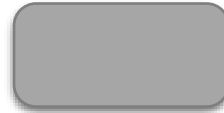


get(k)

buffer



L1



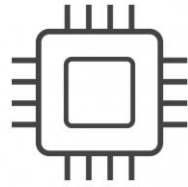
L2



L3

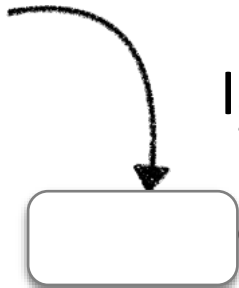


Log-Structured Merge Trees



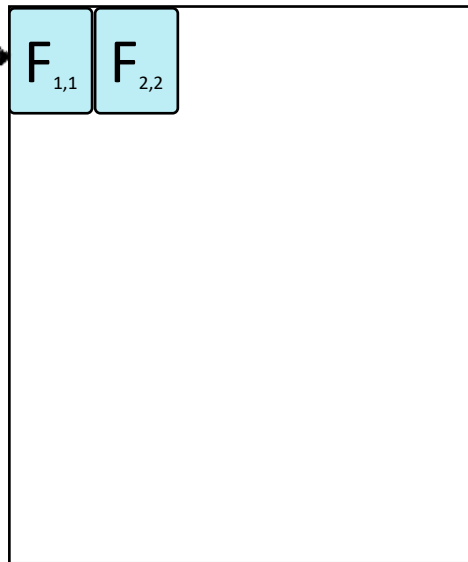
get(k)

buffer

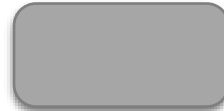


$I_{2,2}?$

Block Cache



L1



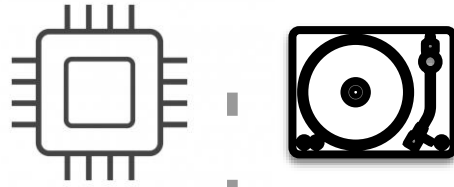
L2



L3

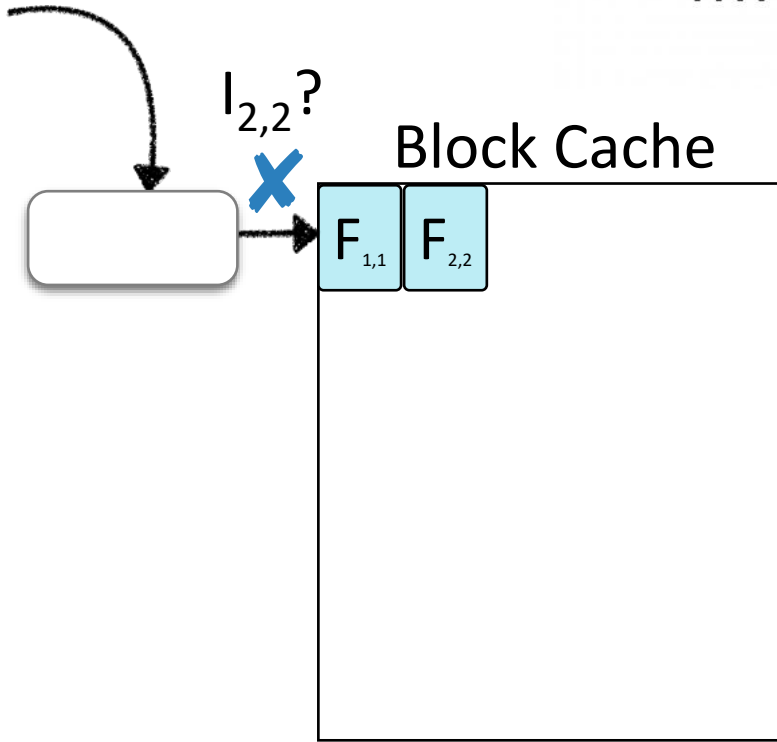


Log-Structured Merge Trees



get(k)

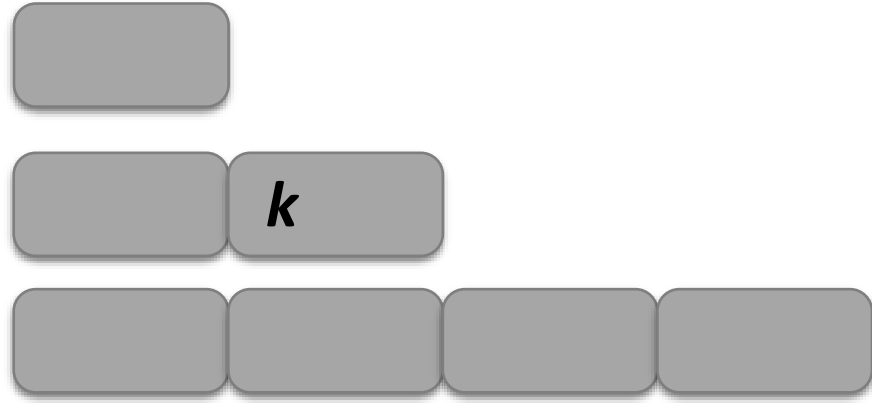
buffer



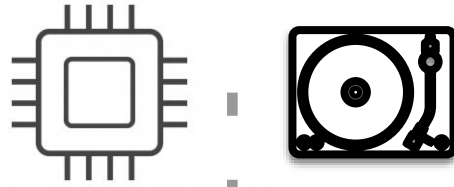
L1

L2

L3

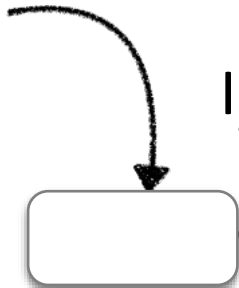


Log-Structured Merge Trees



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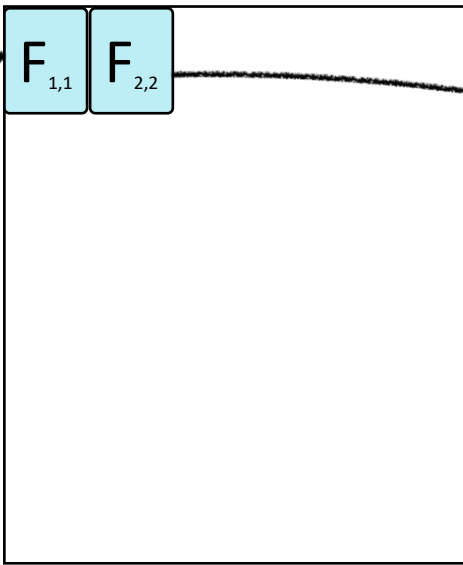
buffer



$I_{2,2}?$



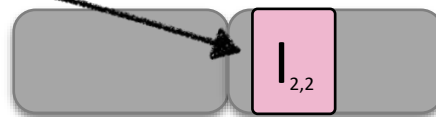
Block Cache



L1



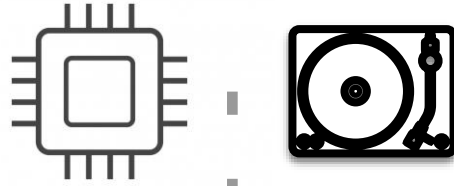
L2



L3

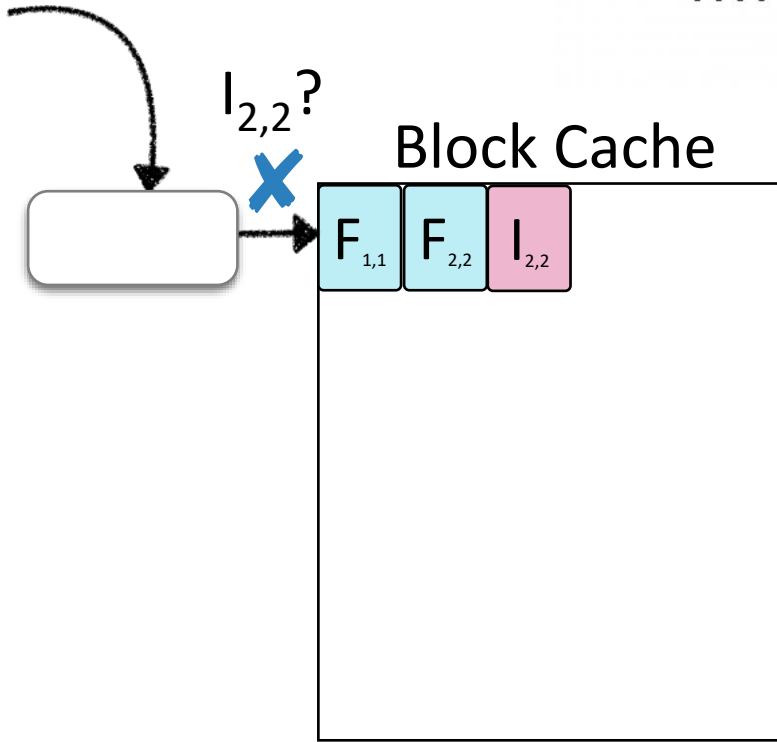


Log-Structured Merge Trees



get(k)

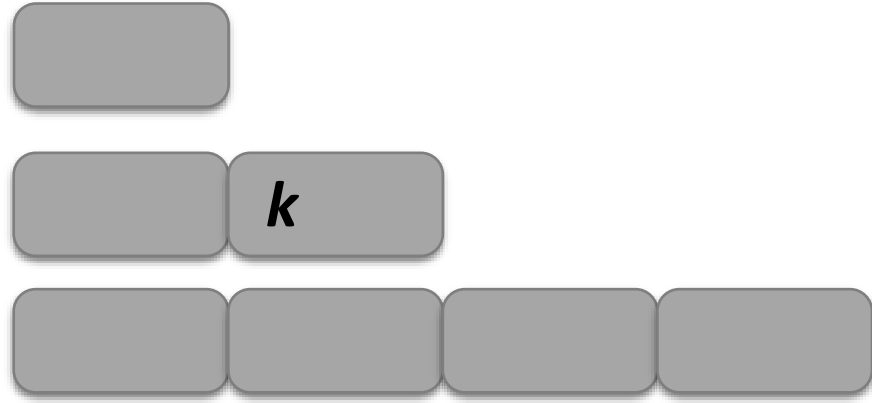
buffer



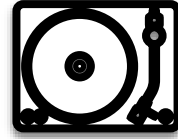
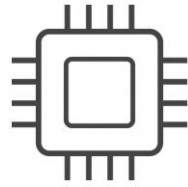
L1

L2

L3

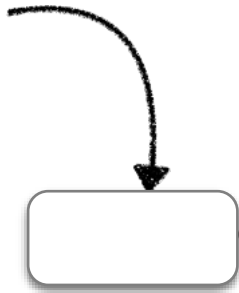


Log-Structured Merge Trees

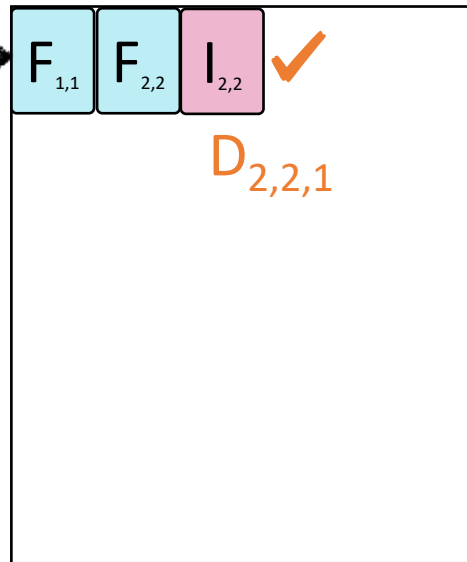


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buffer



Block Cache



L1



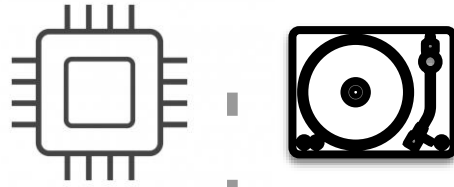
L2



L3

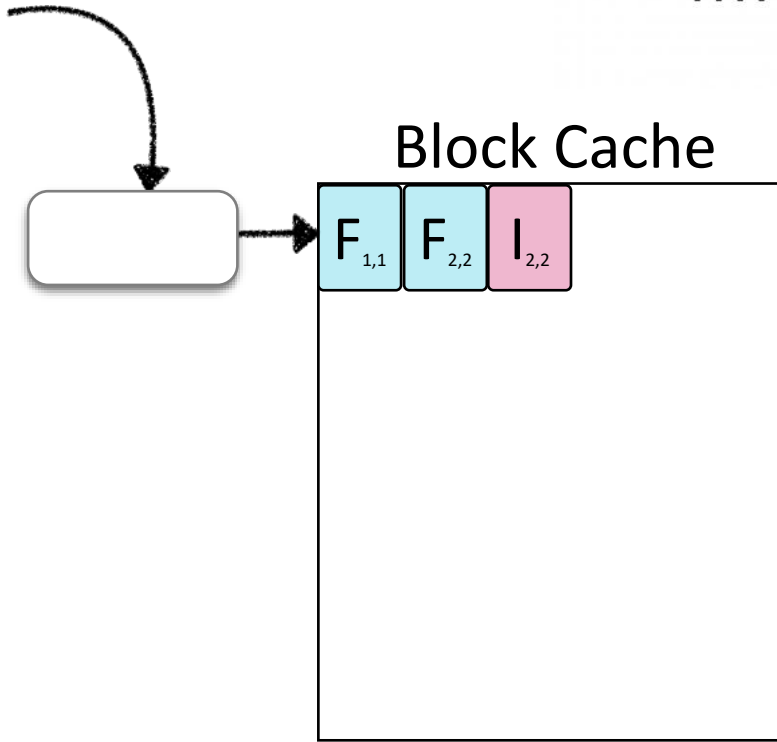


Log-Structured Merge Trees



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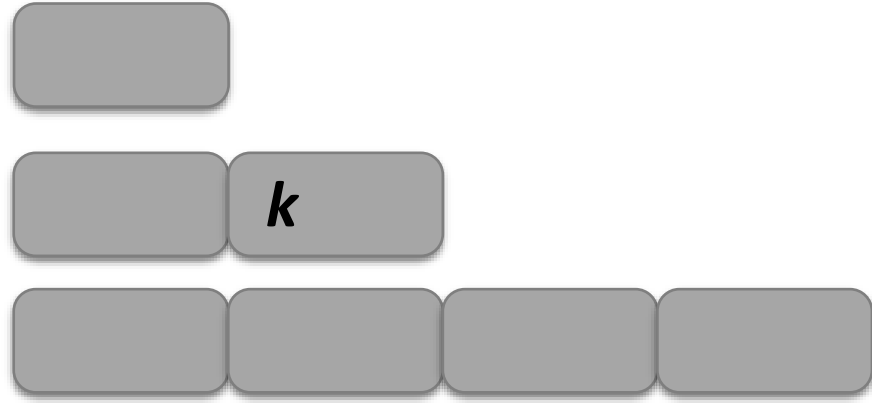
buffer



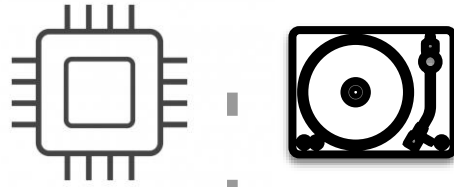
L1

L2

L3

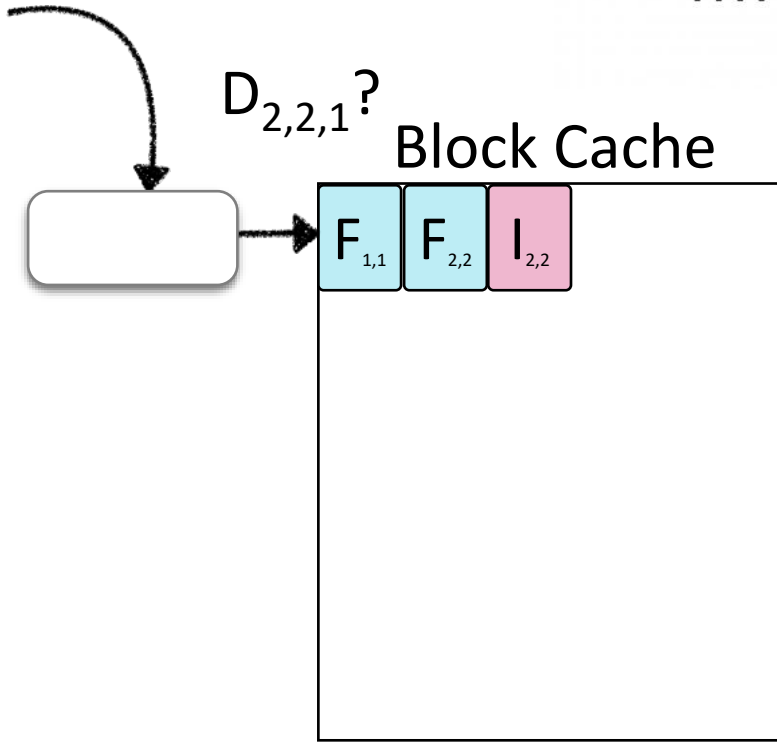


Log-Structured Merge Trees



get(k)

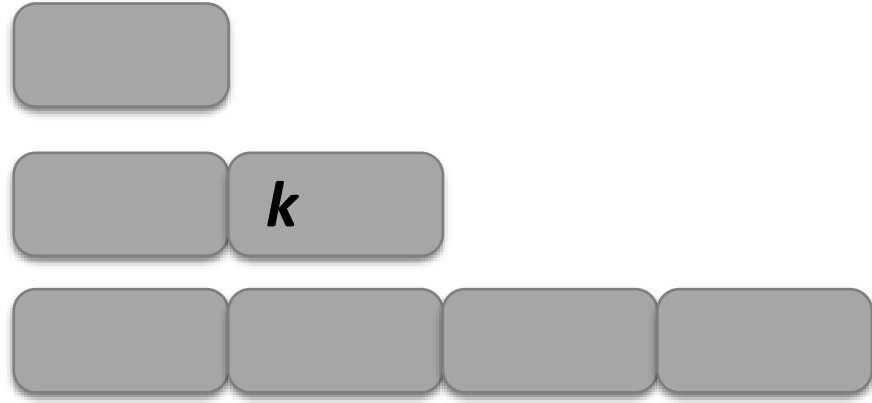
buffer



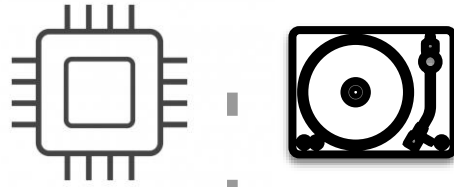
L1

L2

L3

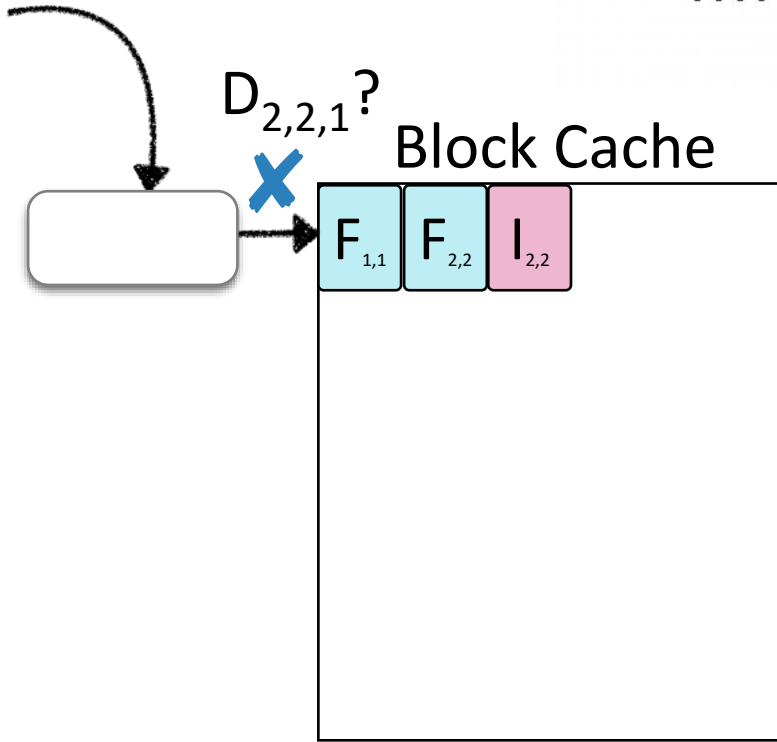


Log-Structured Merge Trees



get(k)

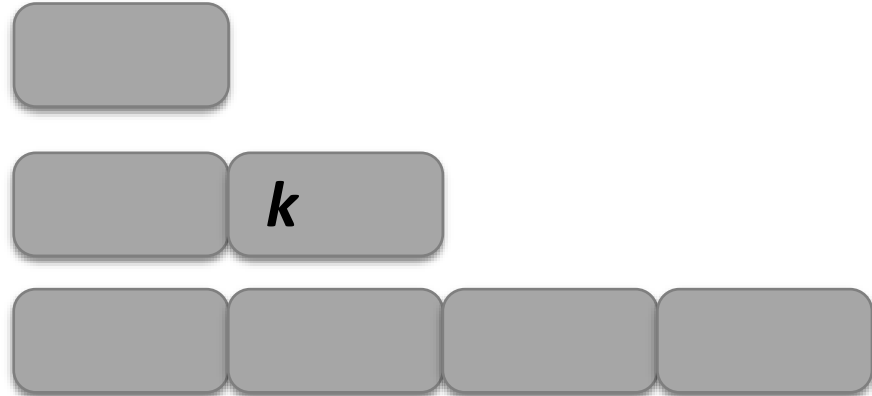
buffer



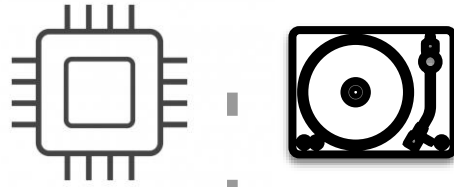
L1

L2

L3

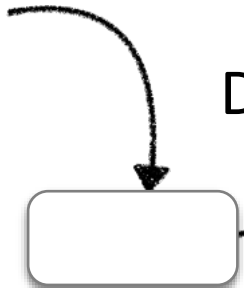


Log-Structured Merge Trees



get(k)

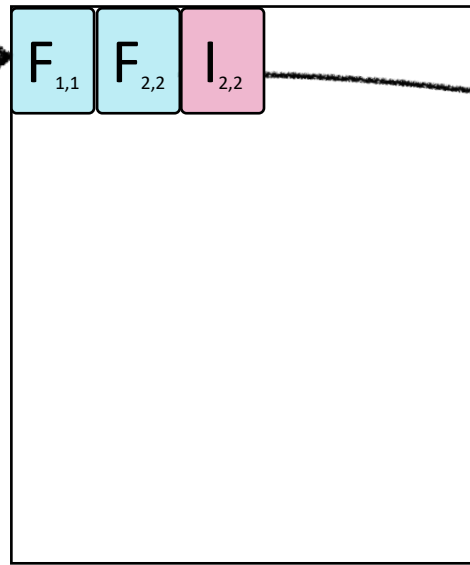
buffer



$D_{2,2,1}?$



Block Cache



L1



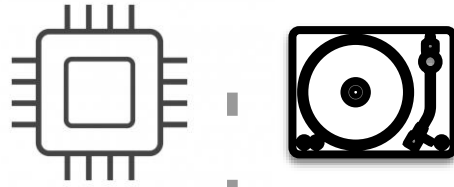
L2



L3

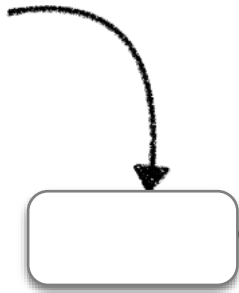


Log-Structured Merge Trees

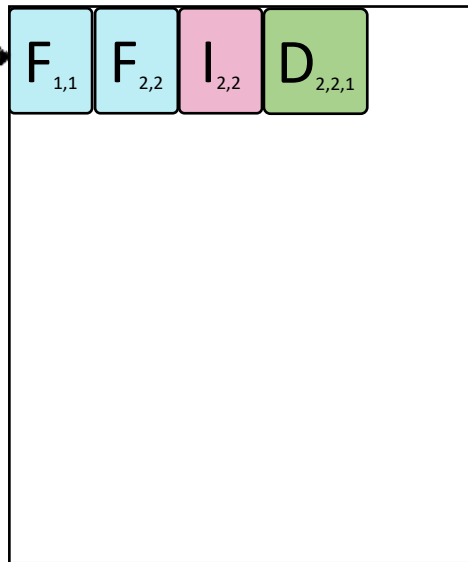


get(k)

buffer



Block Cache



L1



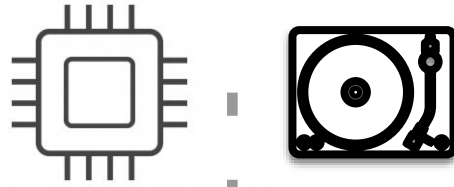
L2



L3

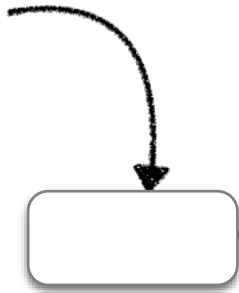


Log-Structured Merge Trees



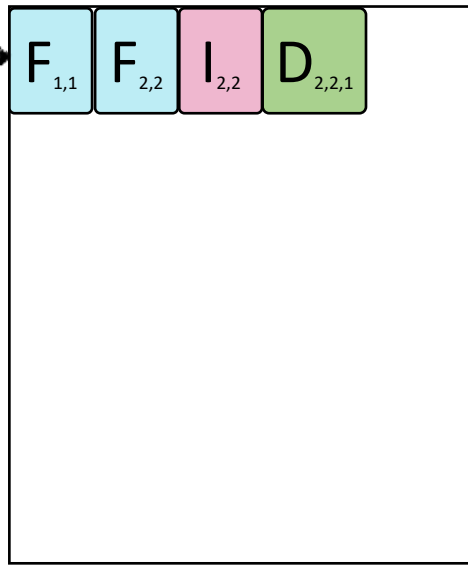
get(k)

buffer



$k?$

Block Cache



L1



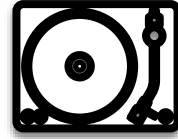
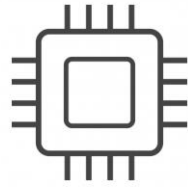
L2



L3

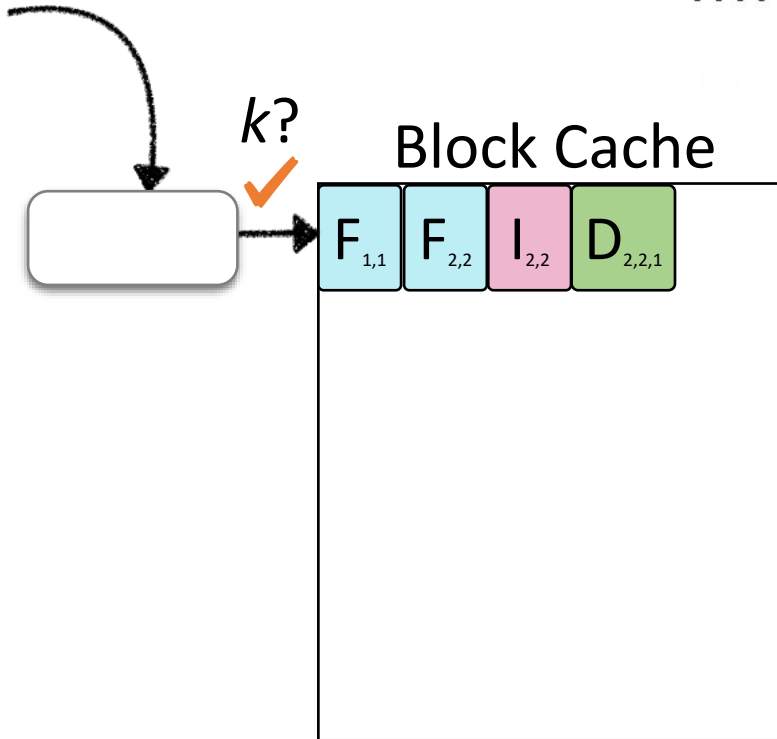


Log-Structured Merge Trees



get(k)

buffer



L1



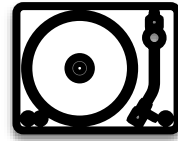
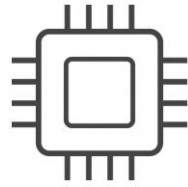
L2



L3

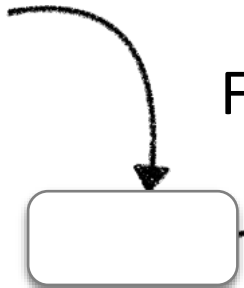


Log-Structured Merge Trees



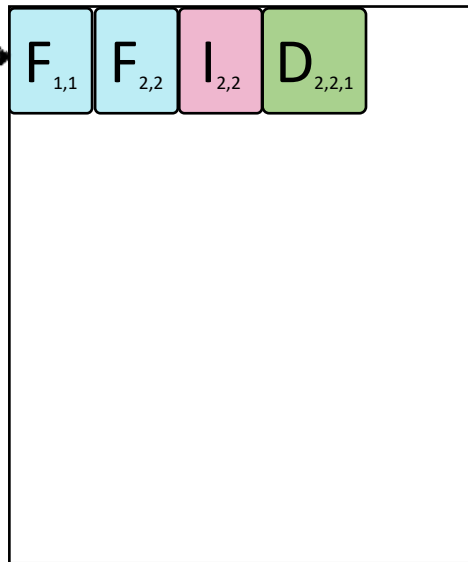
get(x)

buffer



$F_{1,1}?$

Block Cache



L1



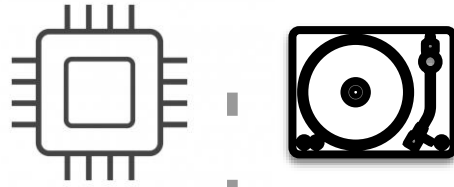
L2



L3

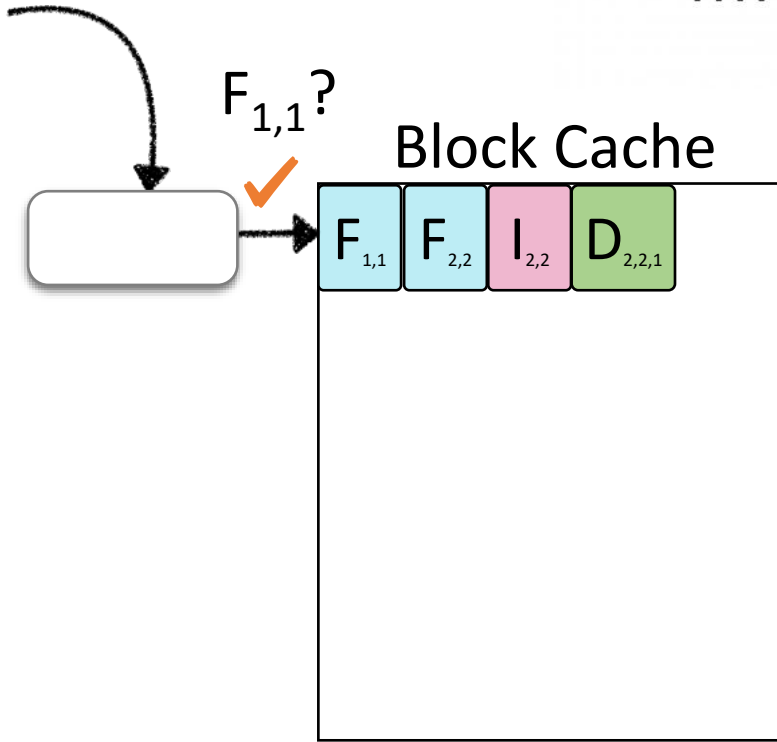


Log-Structured Merge Trees



get(x)

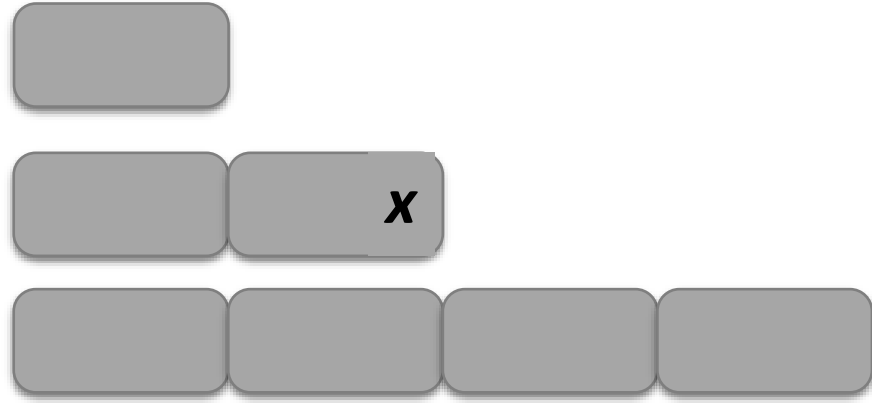
buffer



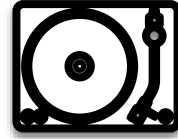
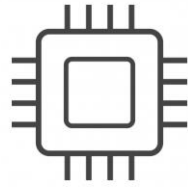
L1

L2

L3

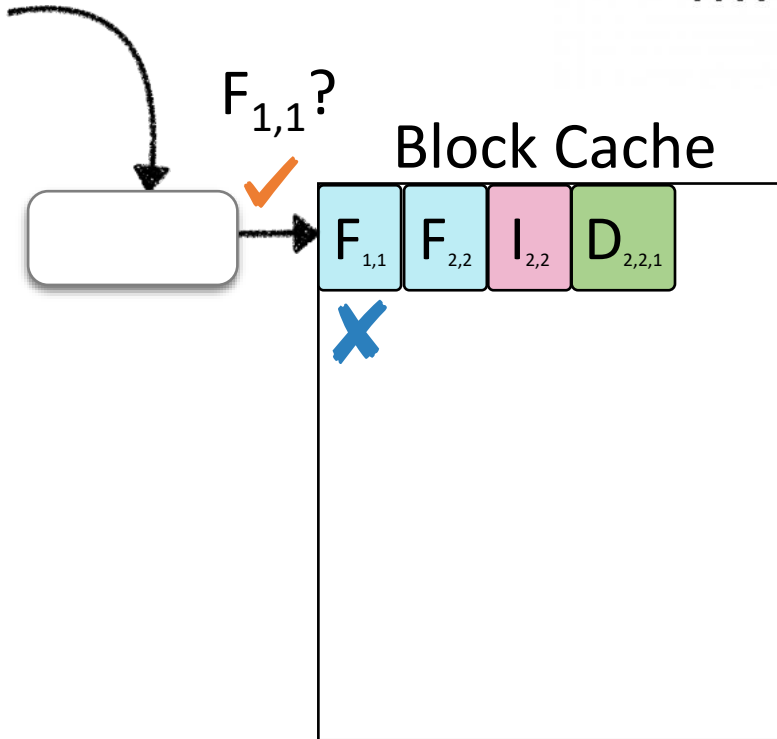


Log-Structured Merge Trees



get(x)

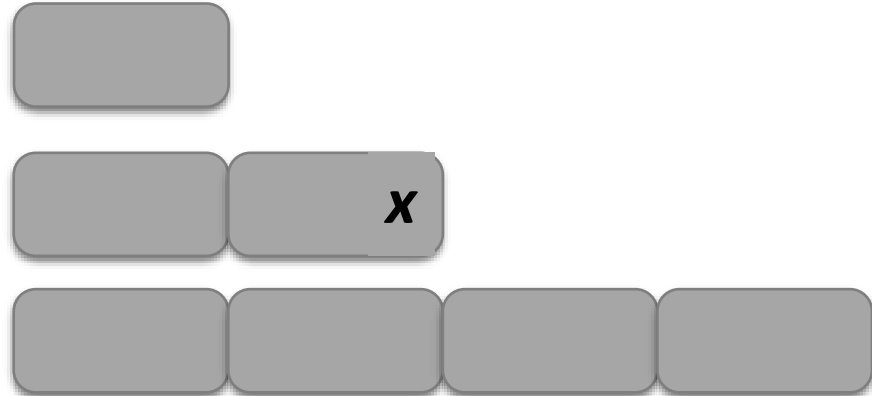
buffer



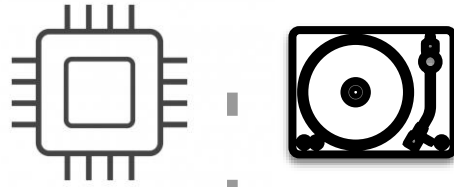
L1

L2

L3

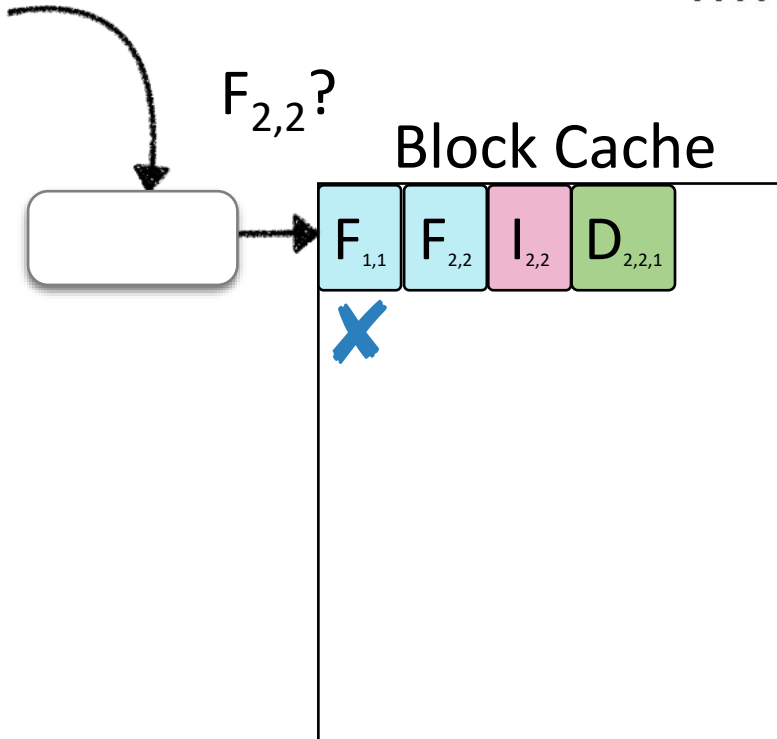


Log-Structured Merge Trees



get(x)

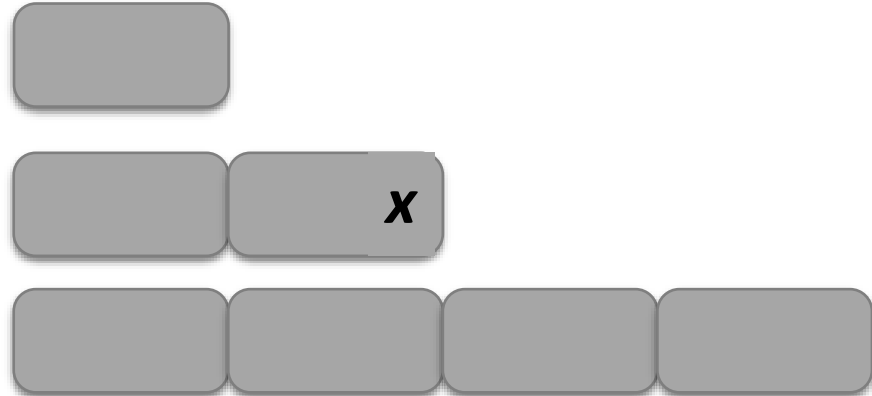
buffer



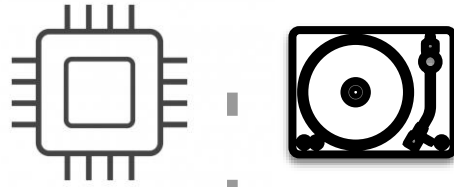
L1

L2

L3

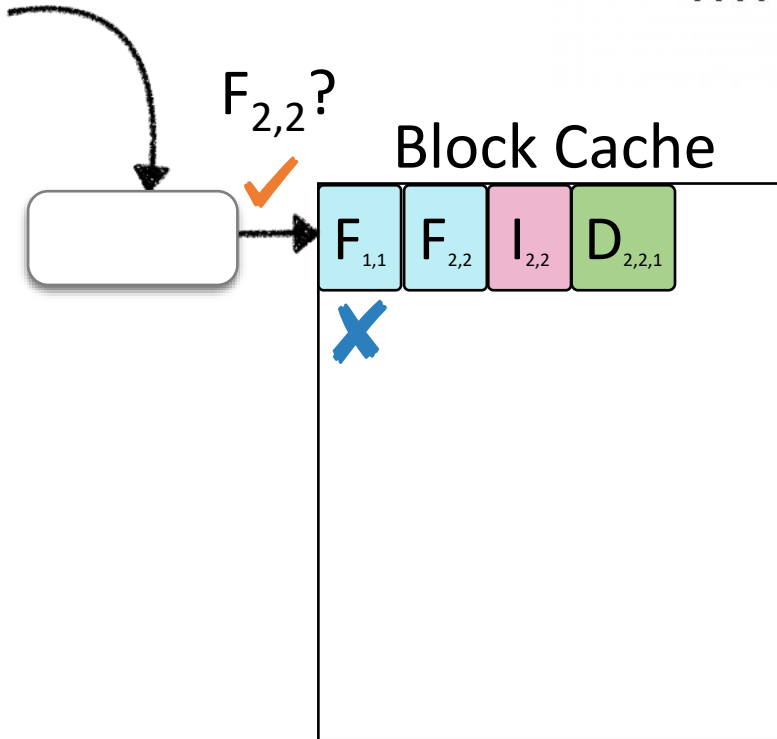


Log-Structured Merge Trees



get(x)

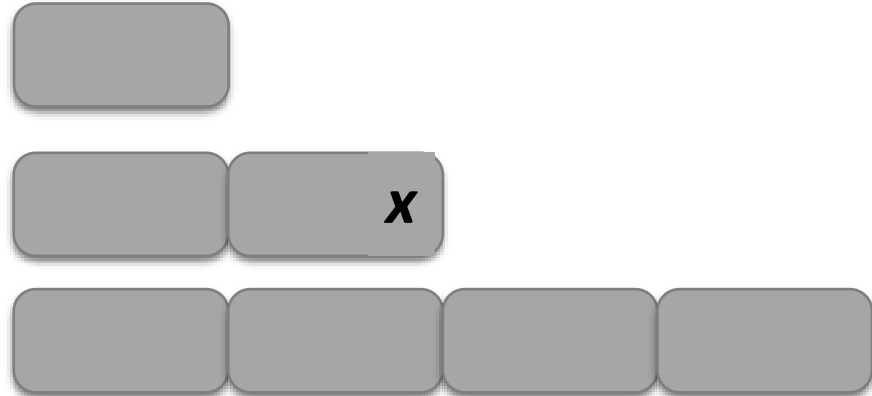
buffer



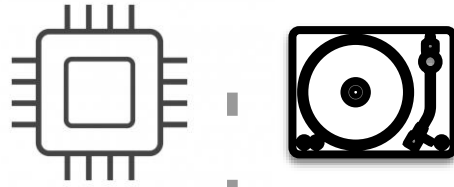
L1

L2

L3

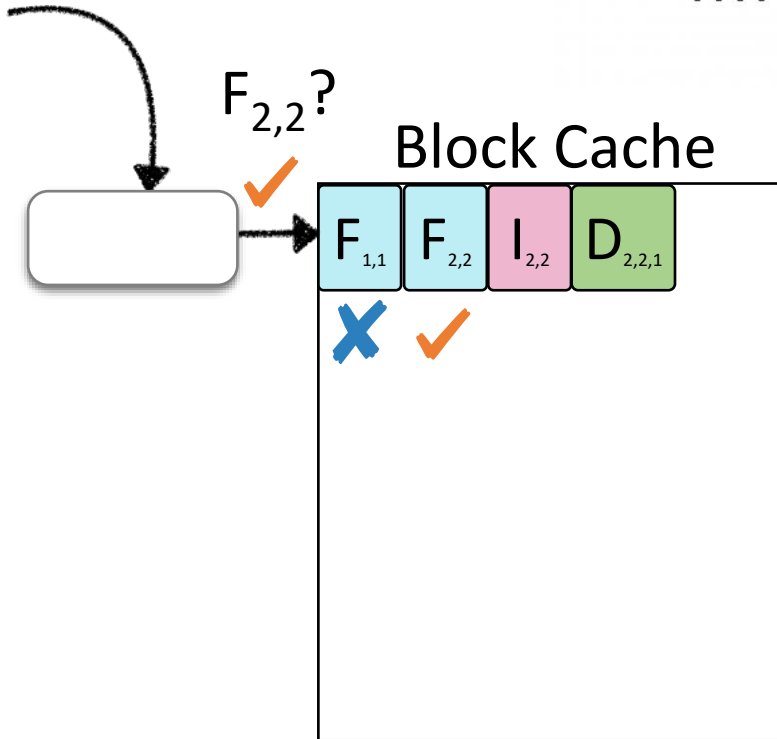


Log-Structured Merge Trees



get(x)

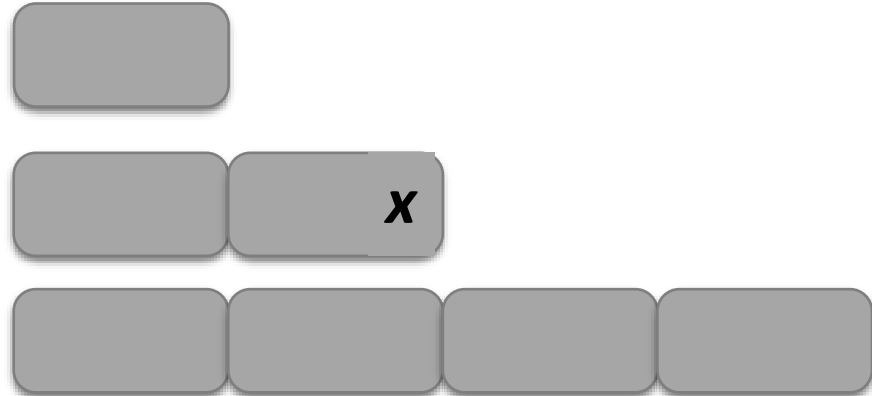
buffer



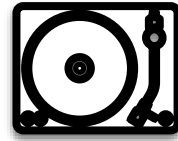
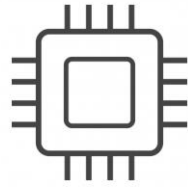
L1

L2

L3

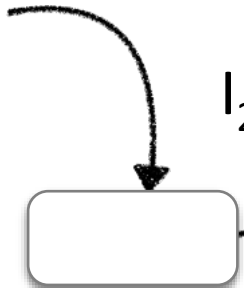


Log-Structured Merge Trees



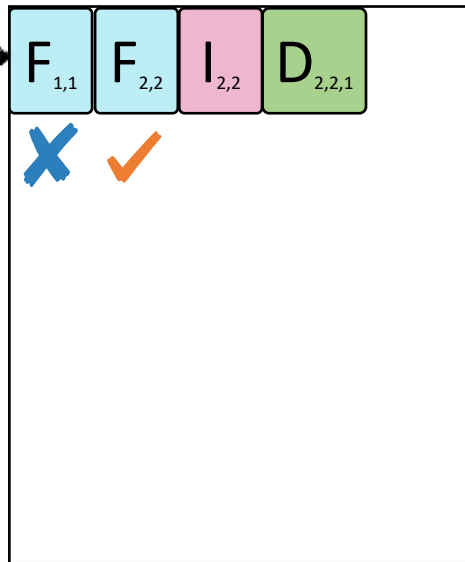
get(x)

buffer

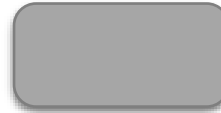


$I_{2,2}?$

Block Cache



L1



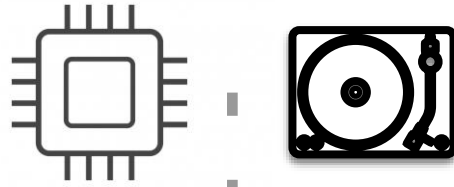
L2



L3

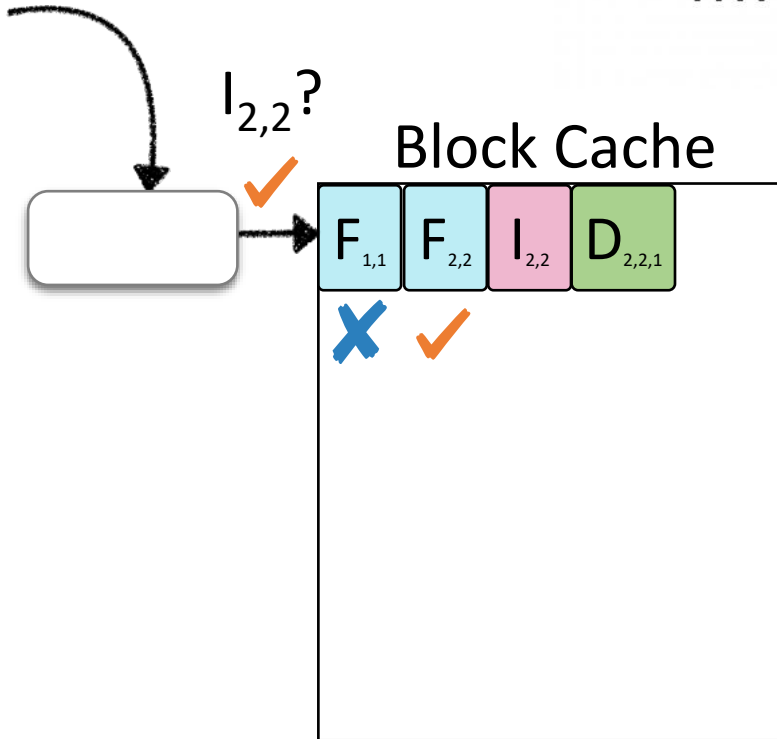


Log-Structured Merge Trees



get(x)

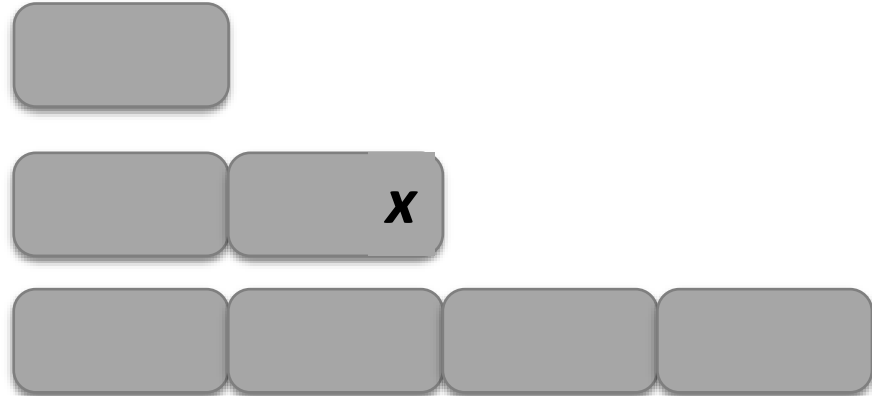
buffer



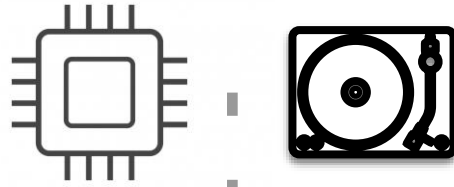
L1

L2

L3

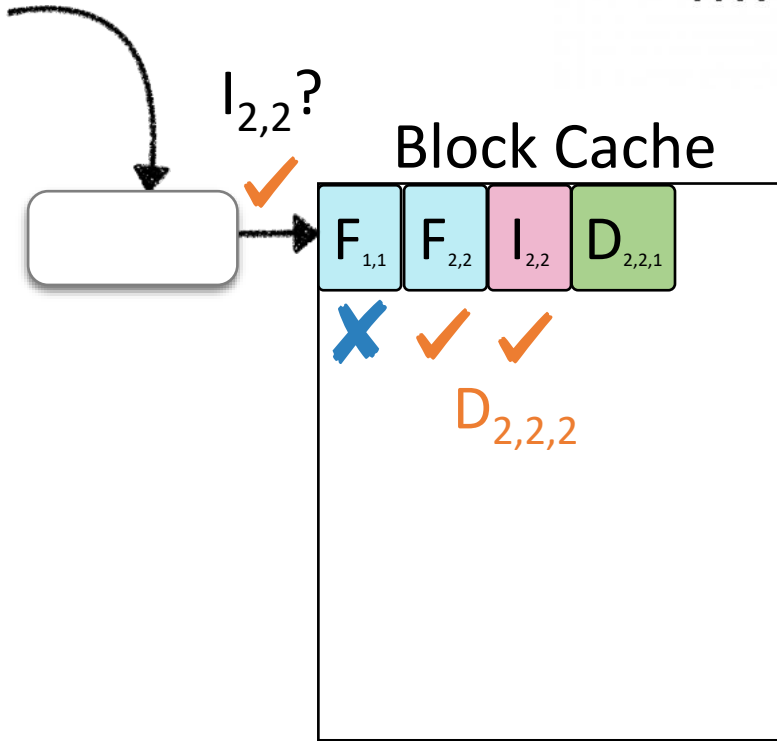


Log-Structured Merge Trees



get(x)

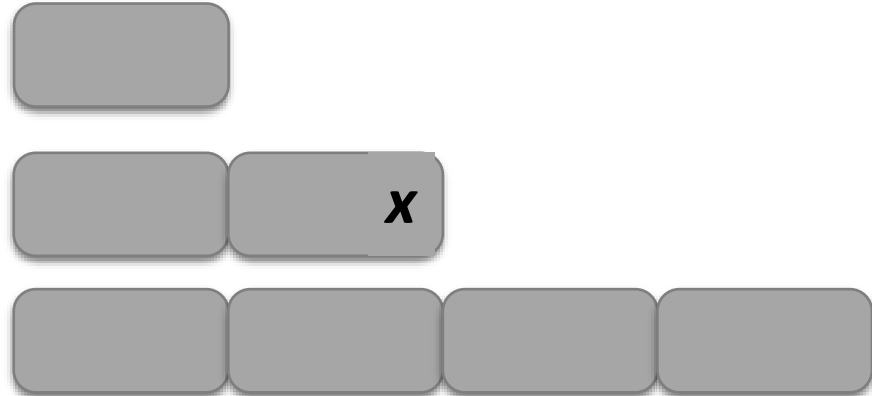
buffer



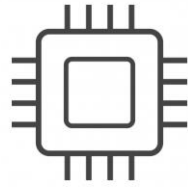
L1

L2

L3

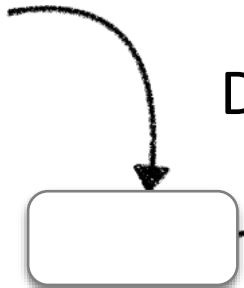


Log-Structured Merge Trees



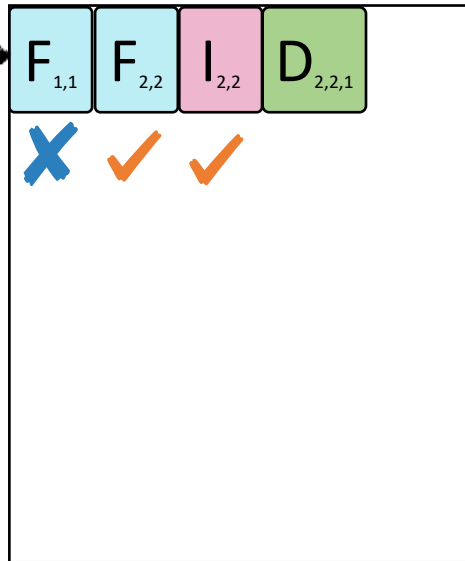
get(x)

buffer

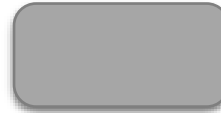


$D_{2,2,2}?$

Block Cache



L1



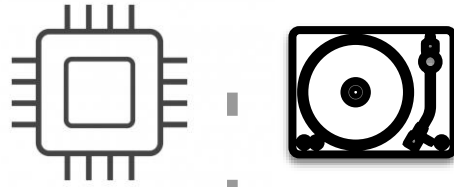
L2



L3

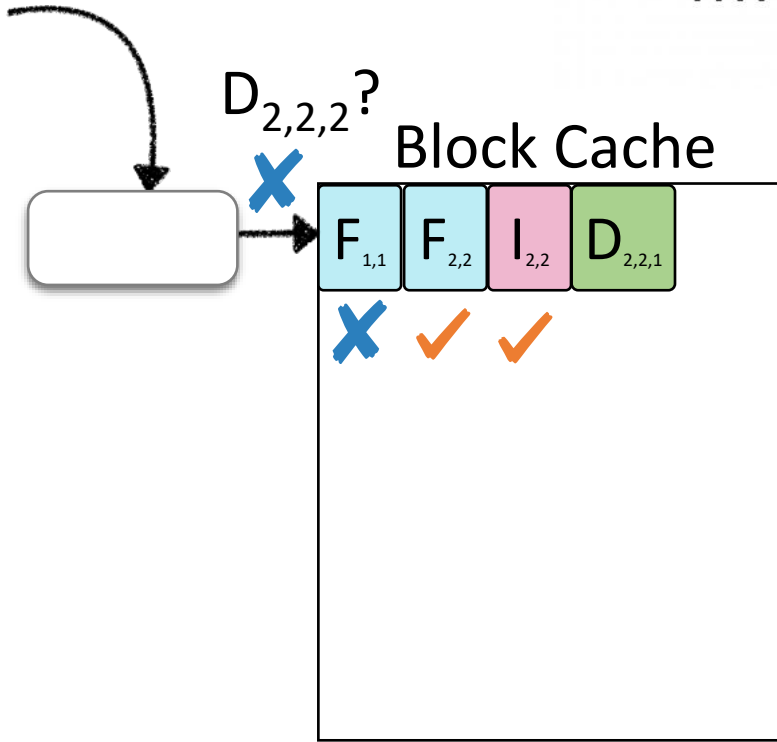


Log-Structured Merge Trees



get(x)

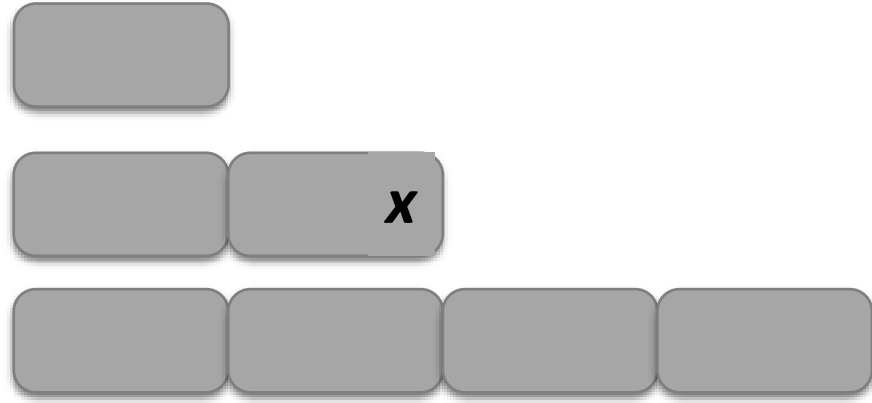
buffer



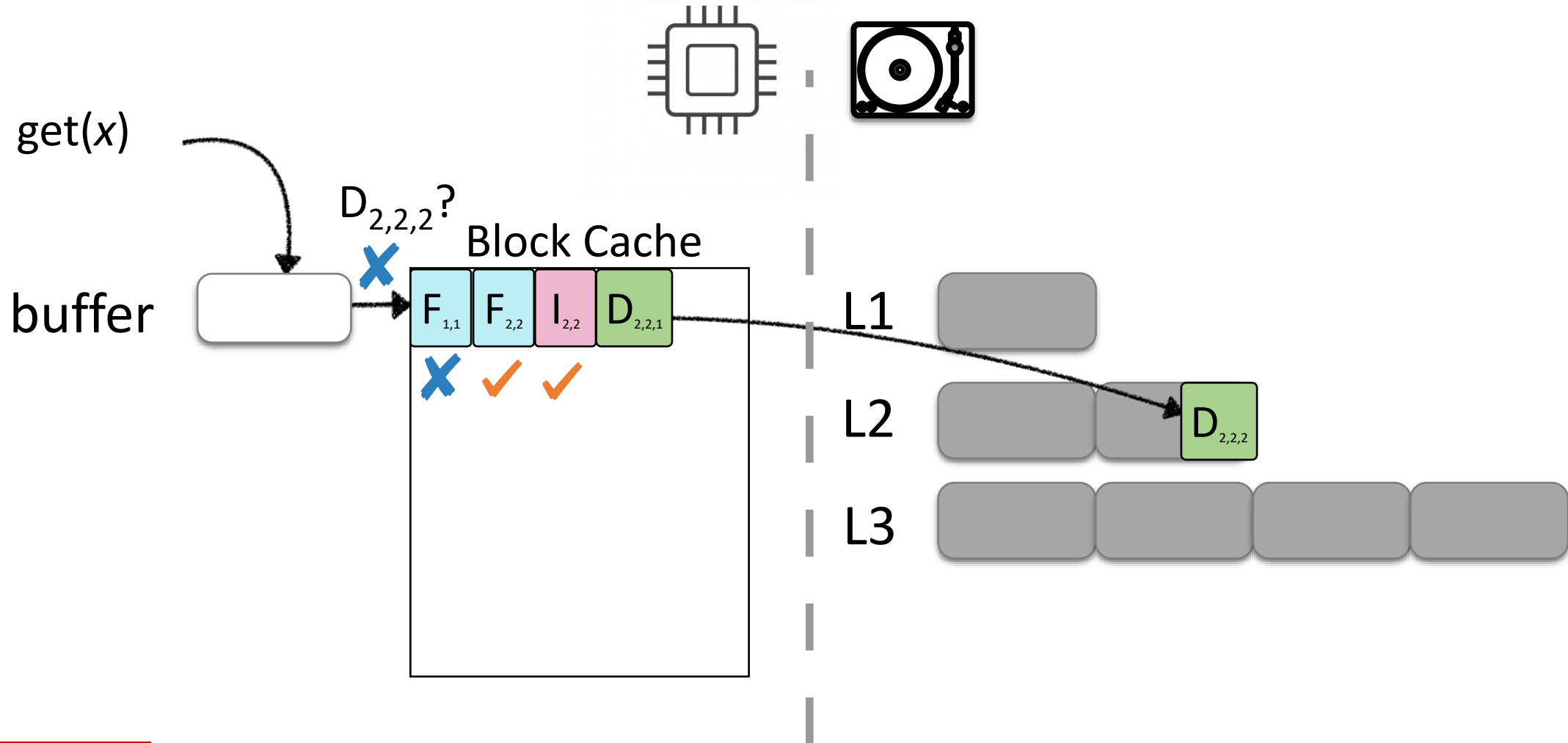
L1

L2

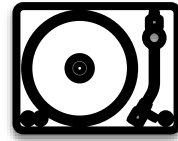
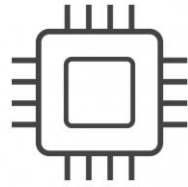
L3



Log-Structured Merge Trees

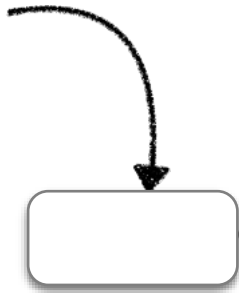


Log-Structured Merge Trees

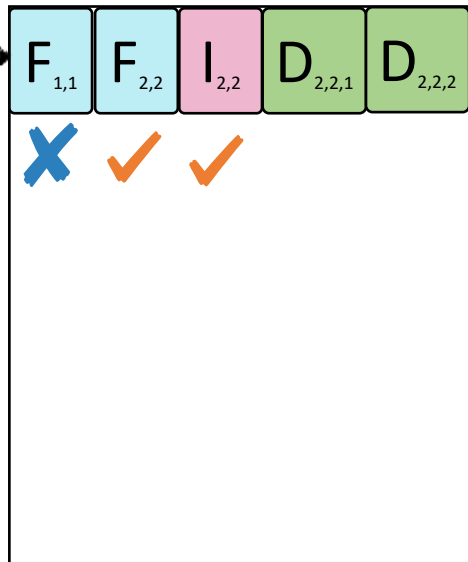


get(x)

buffer



Block Cache



L1



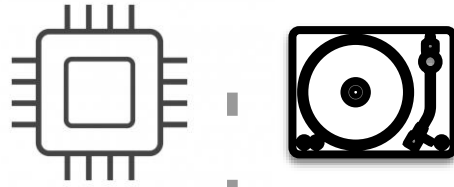
L2



L3

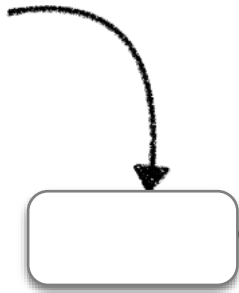


Log-Structured Merge Trees



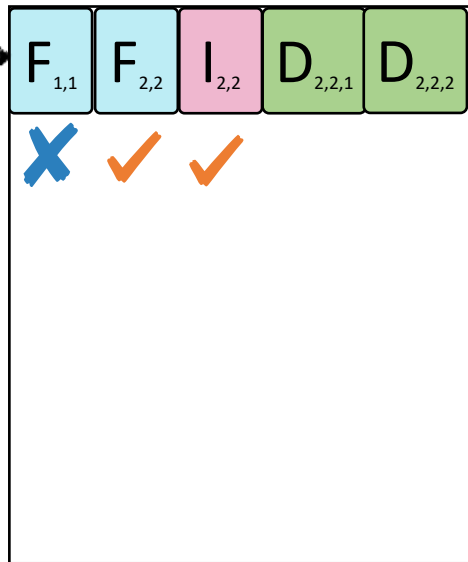
get(x)

buffer



x?

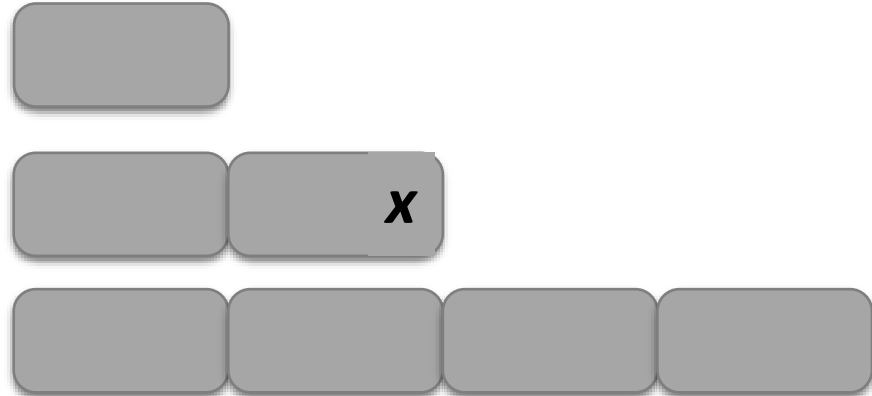
Block Cache



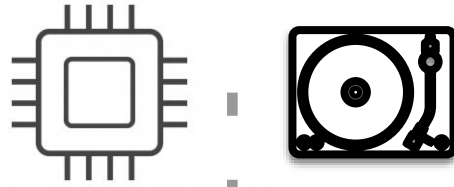
L1

L2

L3

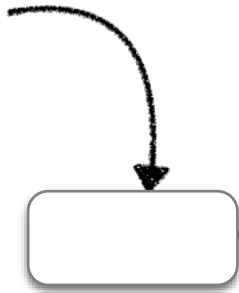


Log-Structured Merge Trees



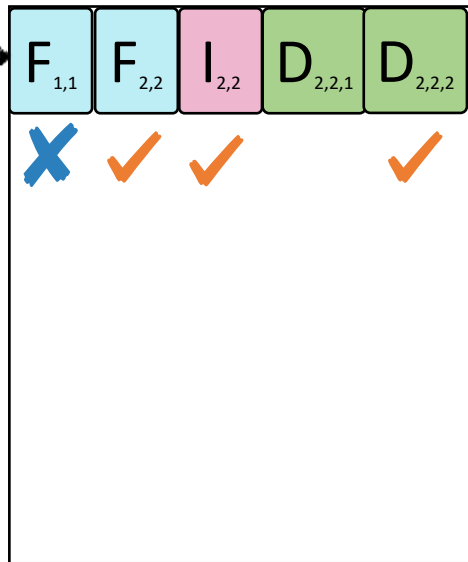
get(x)

buffer



x?

Block Cache



L1



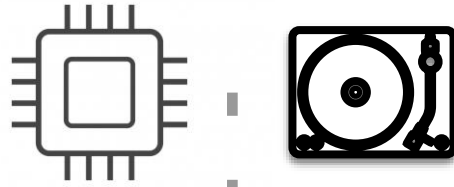
L2



L3

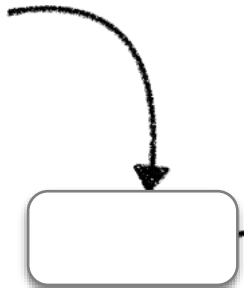


Log-Structured Merge Trees



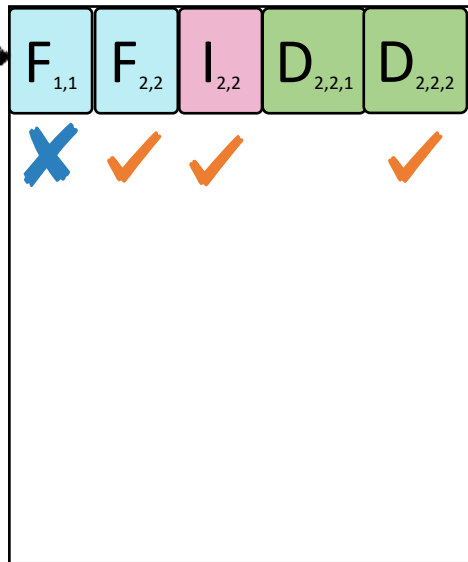
get(x)

buffer



x?

Block Cache



L1



L2



L3



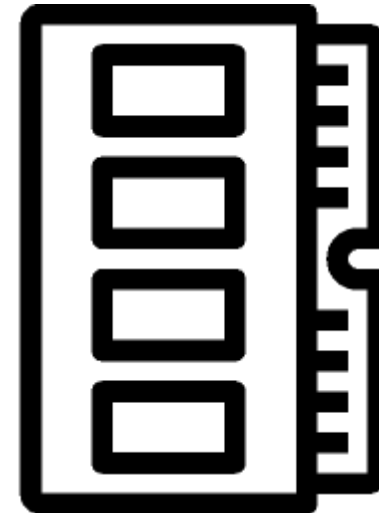
Memory Pressure in LSM-trees



Data size ↑

*For 1TB data,
1.3GB filter & 17.2GB index*

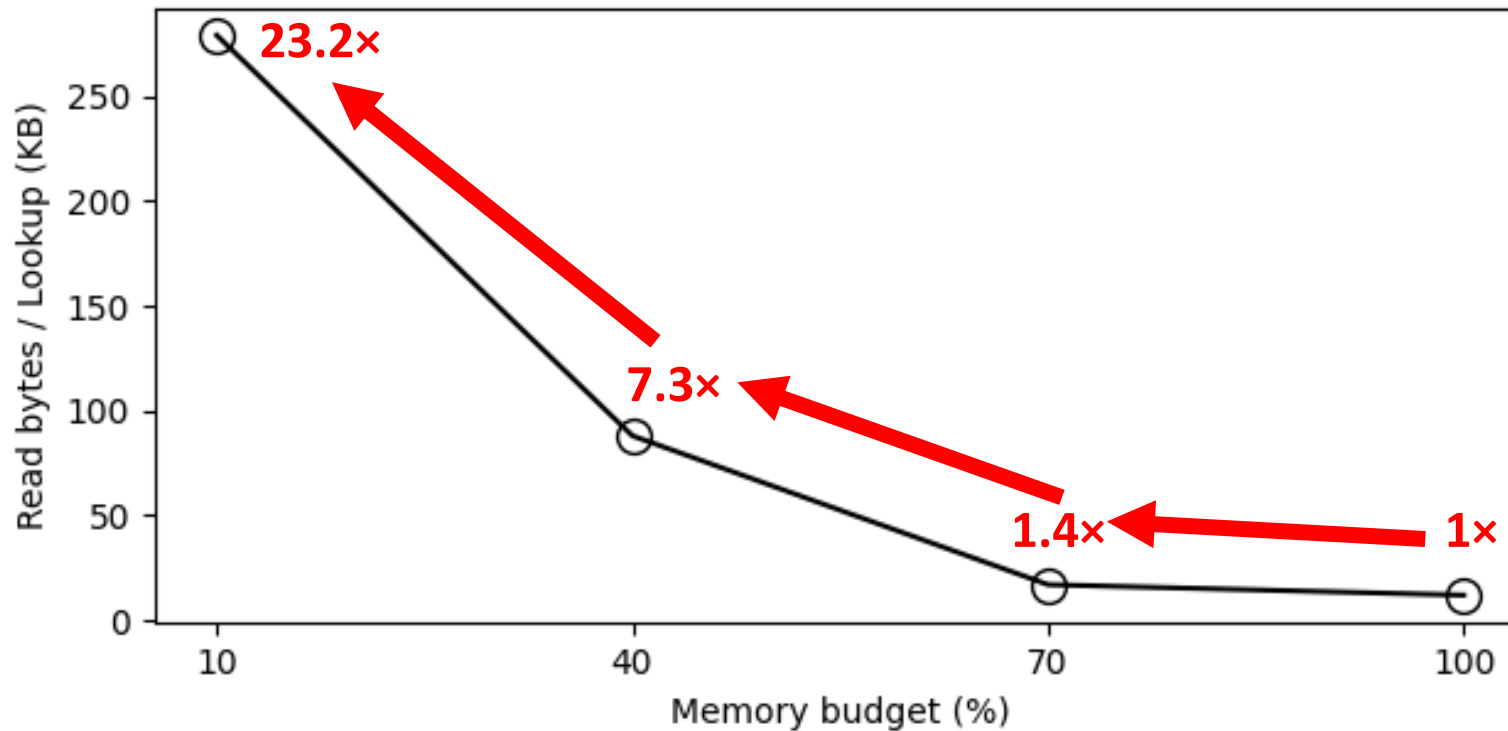
*11% space amplification,
1KB entry, 64B key, bpk 10*



memory-to-data ratio ↓

Memory pressure

Lookup cost under memory pressure

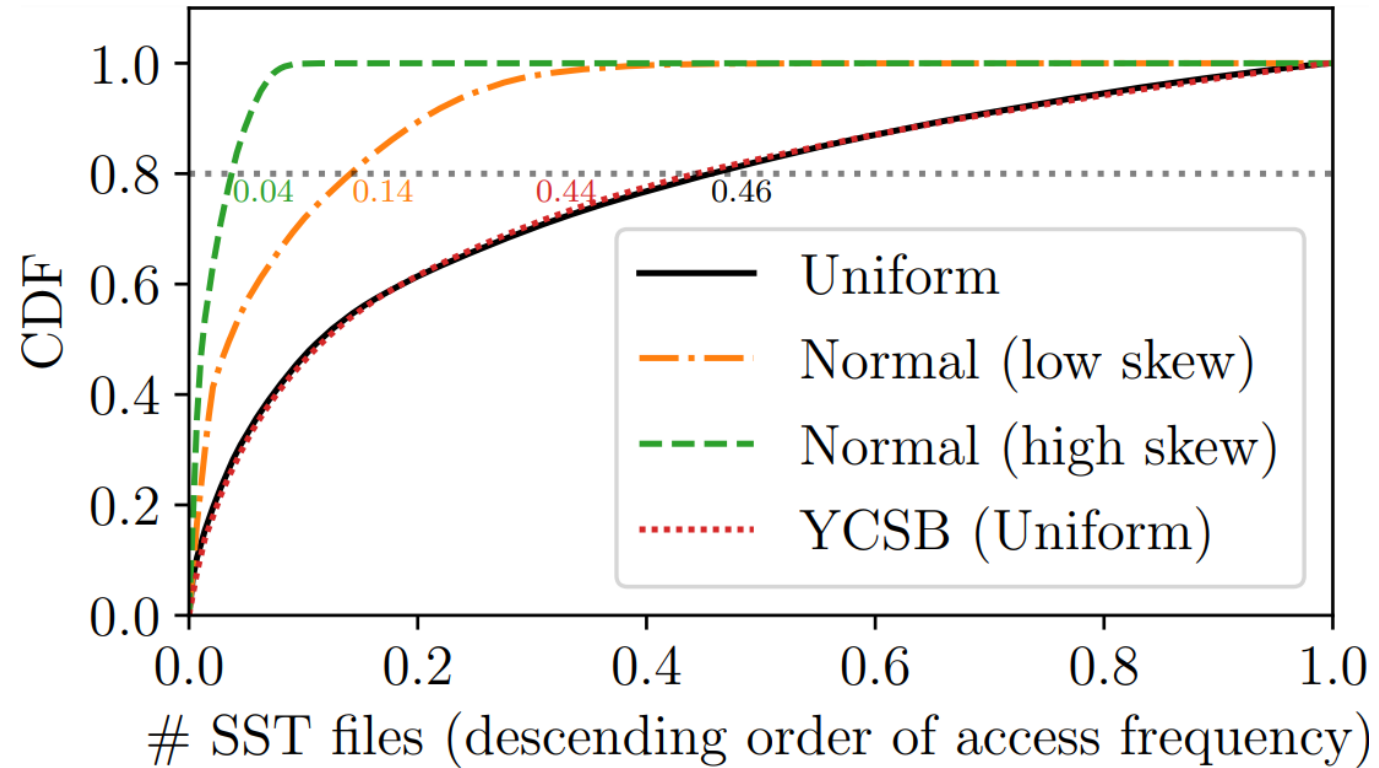


As the available memory decreases, the read bytes per query increase rapidly.

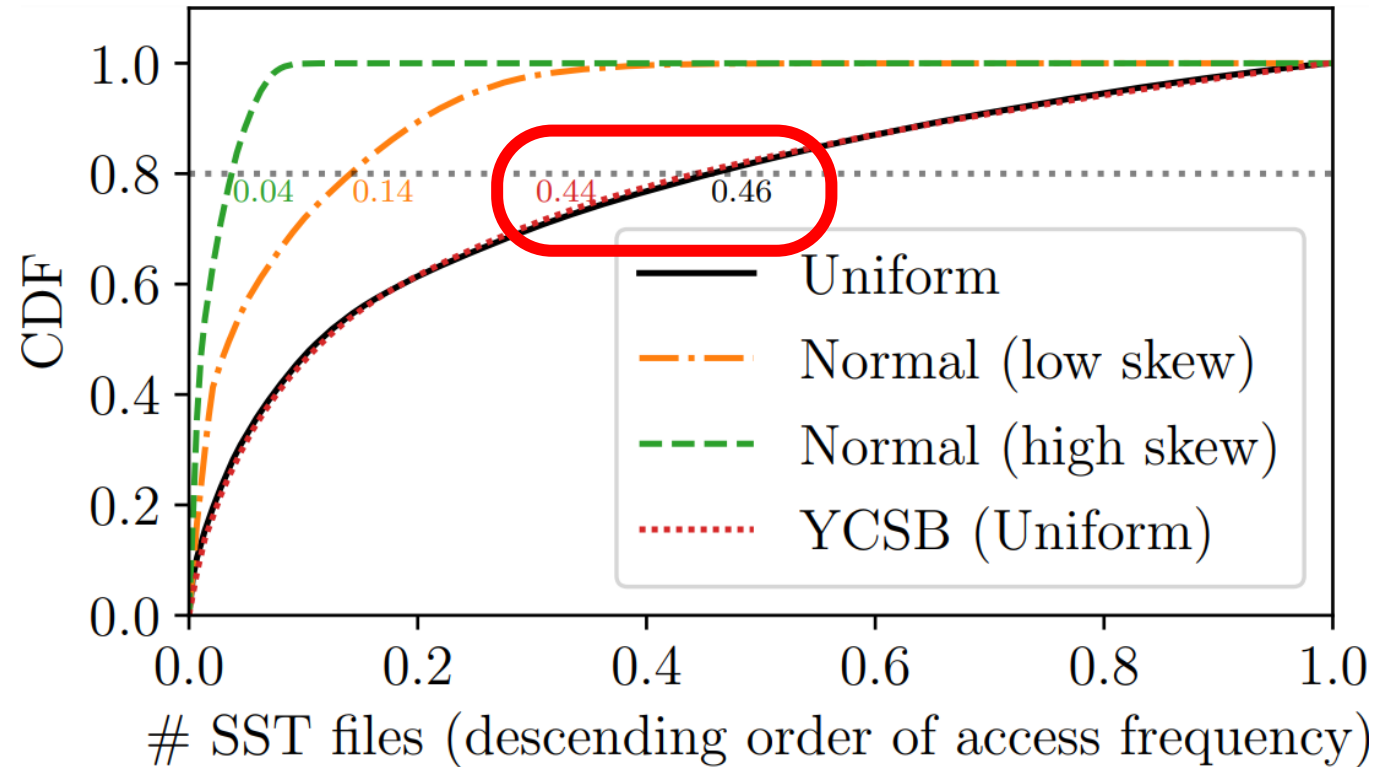
Are all filter blocks equally important?



Access Frequency Patterns



Access Frequency Patterns



*Even in a perfectly uniform workload,
80% of the lookups are directed to 44~46% of the SST files*



memory



Bloom
filters

p

p

p

worst-case I/O cost

$$O\left(\sum p\right)$$
A black arrow originates from the summation symbol in the equation above and points towards the false positive formula below.

$$\text{false positive } p = e^{-\frac{\text{bits } M}{\text{entries } N} \cdot \ln(2)^2}$$

[Monkey, SIGMOD 2017]



worst-case I/O cost

$$O(\log(N) \cdot e^{-M/N})$$

memory



Bloom
filters

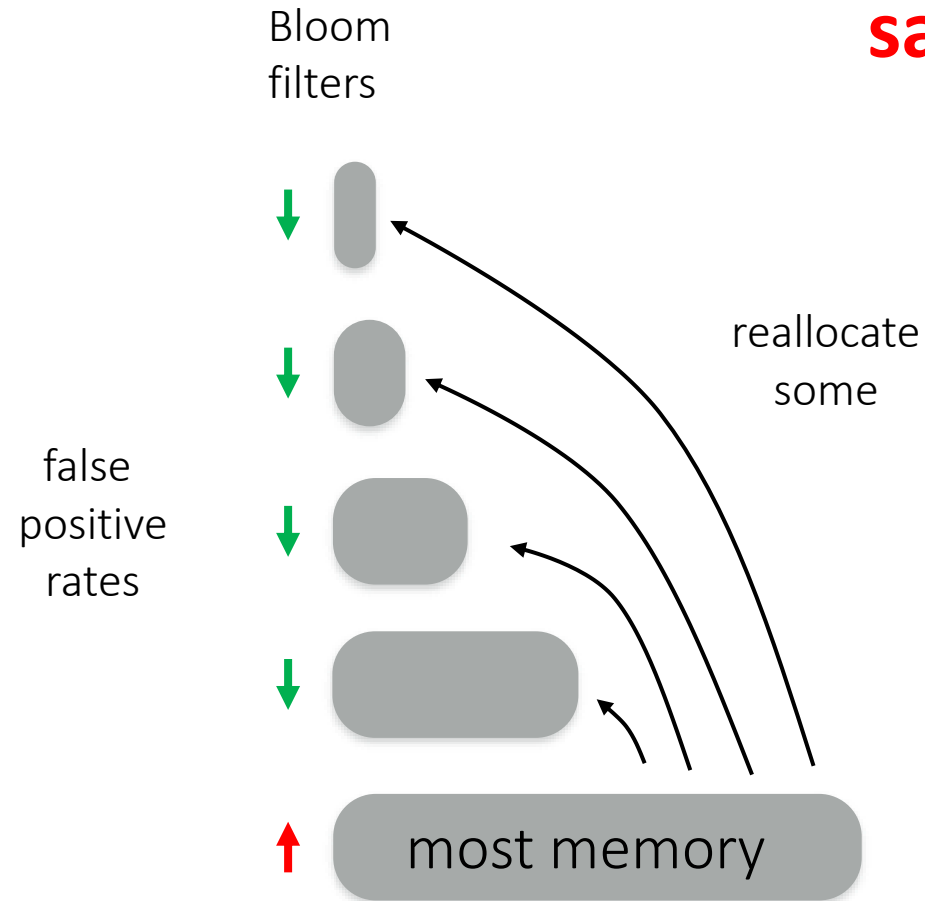
p

p

p

can we do better?

same memory, fewer I/O



relax

model

optimize

$$0 < p_2 < 1$$

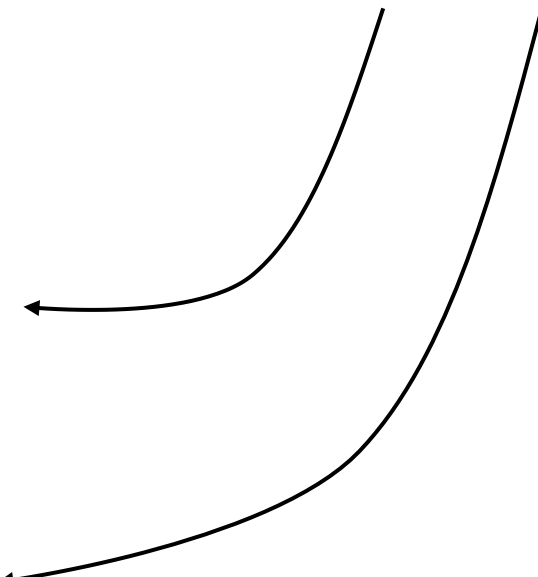
$$0 < p_1 < 1$$

$$0 < p_0 < 1$$

$$\text{lookup cost} = \sum p_i$$

$$\text{memory footprint} = f(p_0, p_1, \dots)$$

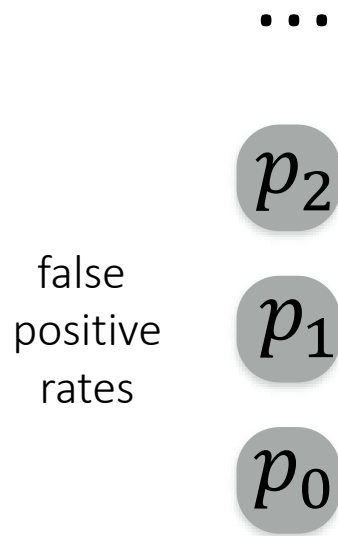
in terms of p_0, p_1, \dots



Bloom filters

memory footprint

[Monkey, SIGMOD 2017]



$$\text{false positive } p = e^{-\frac{\text{bits } M}{\text{entries } N} \cdot \ln(2)^2}$$



$$\text{bits}(\mathbf{p}, N) = -\frac{\ln(\mathbf{p})}{\ln(2)^2} \cdot N$$

$$\text{lookup cost} = \sum p_i$$

Bloom filters

...

p_2

false
positive
rates

p_1

p_0

memory
footprint

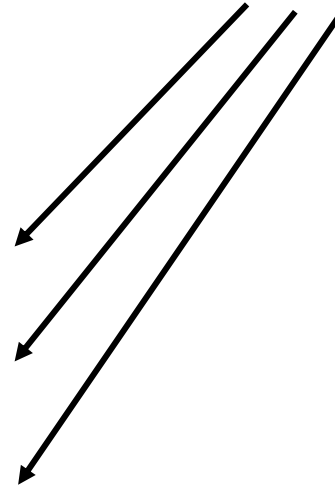
...

$\text{bits}(p_2, N/T^2)$

$\text{bits}(p_1, N/T)$

$\text{bits}(p_0, N)$

$$\text{bits}(\mathbf{p}, \mathbf{N}) = - \frac{\ln(\mathbf{p})}{\ln(2)^2} \cdot \mathbf{N}$$



$$\text{lookup cost} = \sum p_i$$

$$\text{memory} = - \frac{N}{\ln(2)^2} \cdot \sum \frac{\ln(p_i)}{T^i}$$

Bloom filters

minimize:

$$\text{lookup cost} = \sum p_i$$

...

p_2

p_1

p_0

false
positive
rates

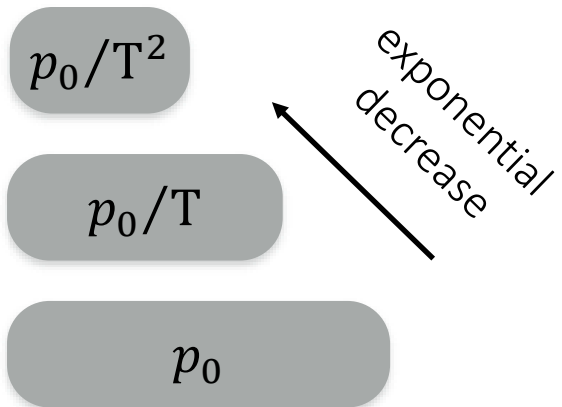
w.r.t.

$$M = -\frac{N}{\ln(2)^2} \cdot \sum \frac{\ln(p_i)}{T^i}$$



Monkey Bloom filters

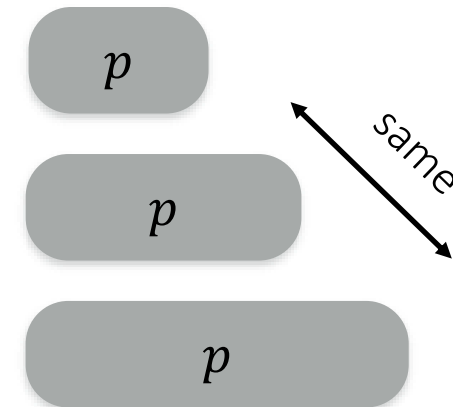
...



false
positive
rates

State-of-the-art Bloom filters

...





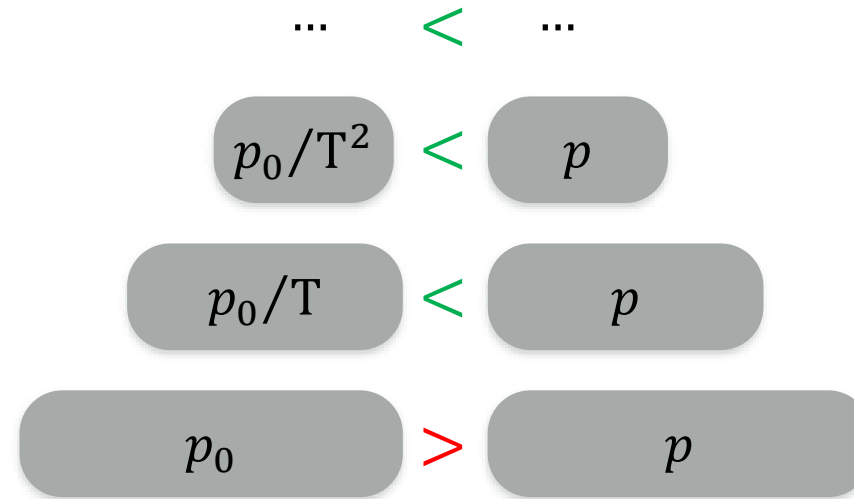
Monkey Bloom filters

...

State-of-the-art Bloom filters

...

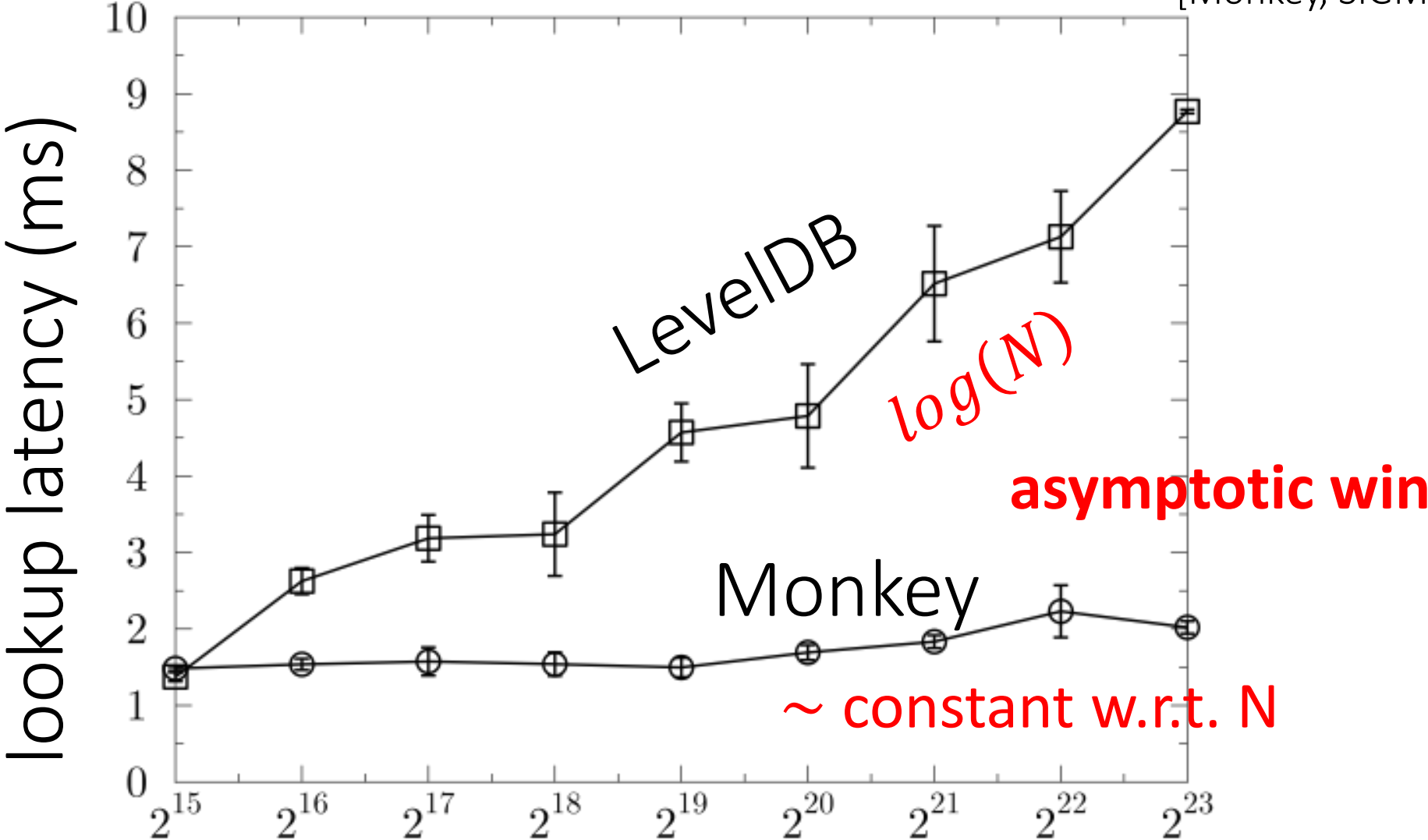
false
positive
rates



$$\text{lookup cost} = \sum p_i < = \sum p$$

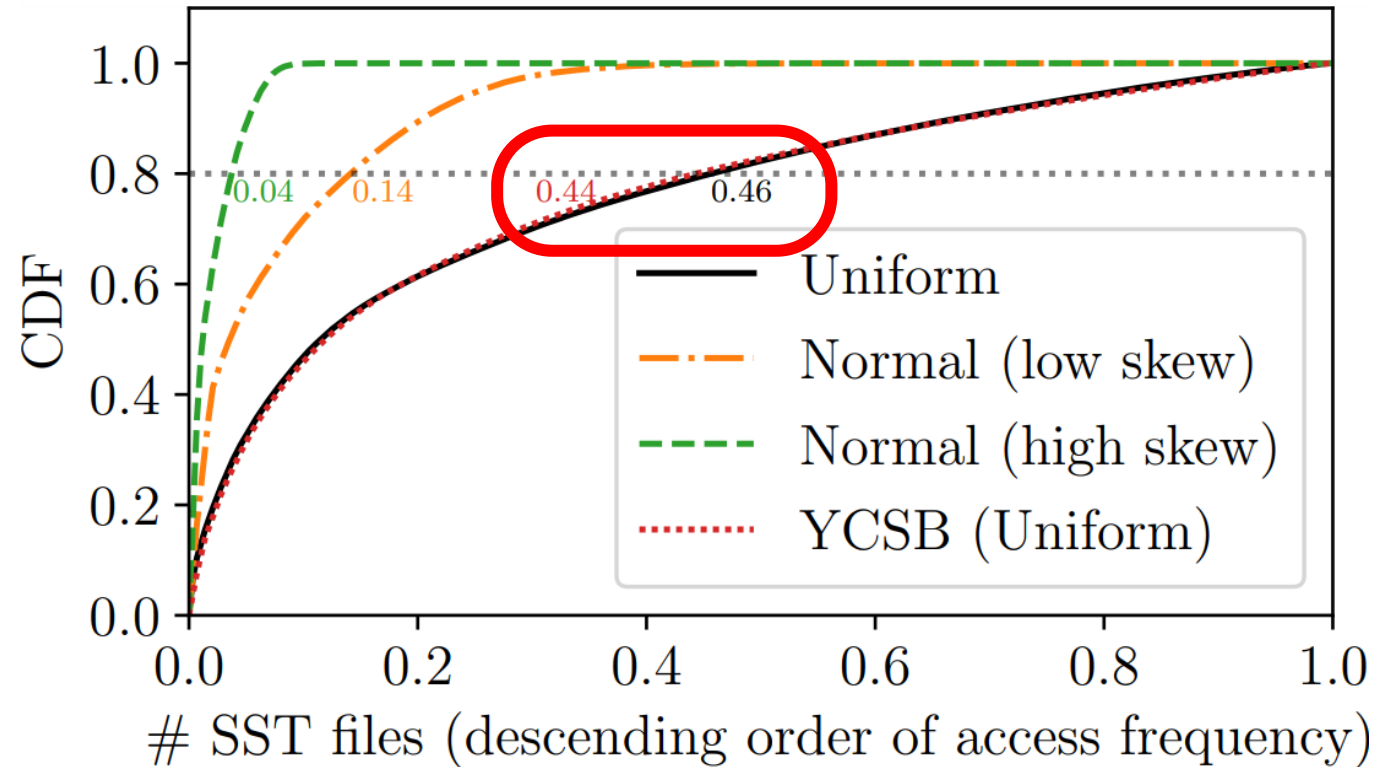
$$= O(e^{-M/N}) \quad = O(\log(N) \cdot e^{-M/N})$$

asymptotic win
lookup cost increases at slower rate as data grows



N: number of entries (log scale)

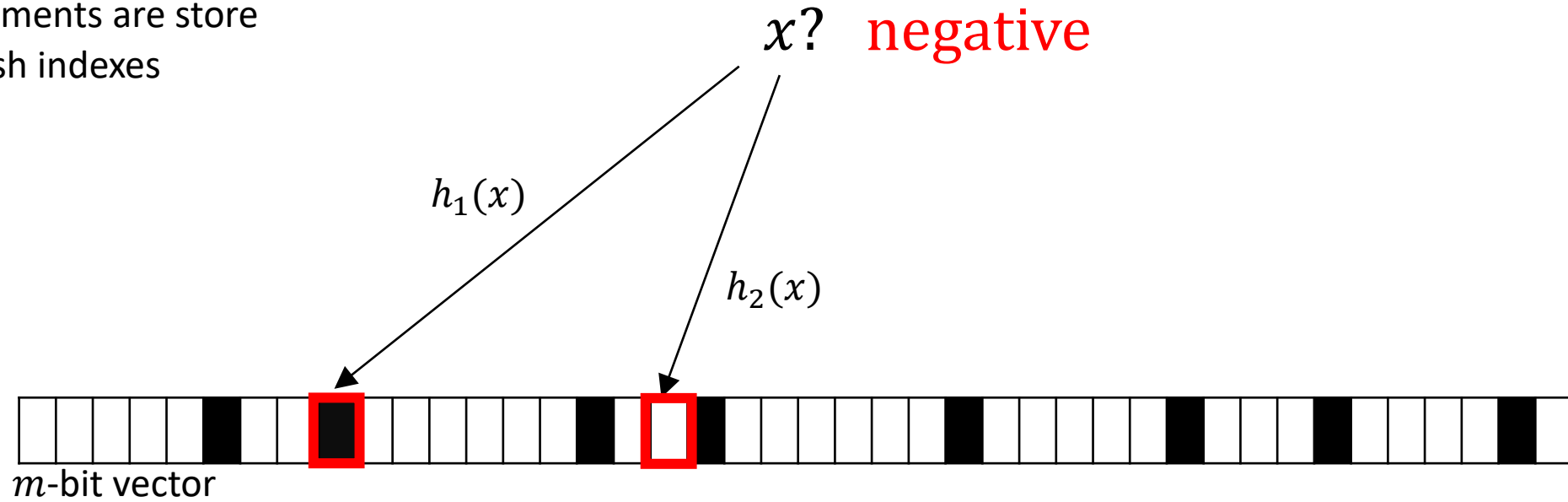
Access Frequency Patterns



*Even in a perfectly uniform workload,
80% of the lookups are directed to 44~46% of the SST files*

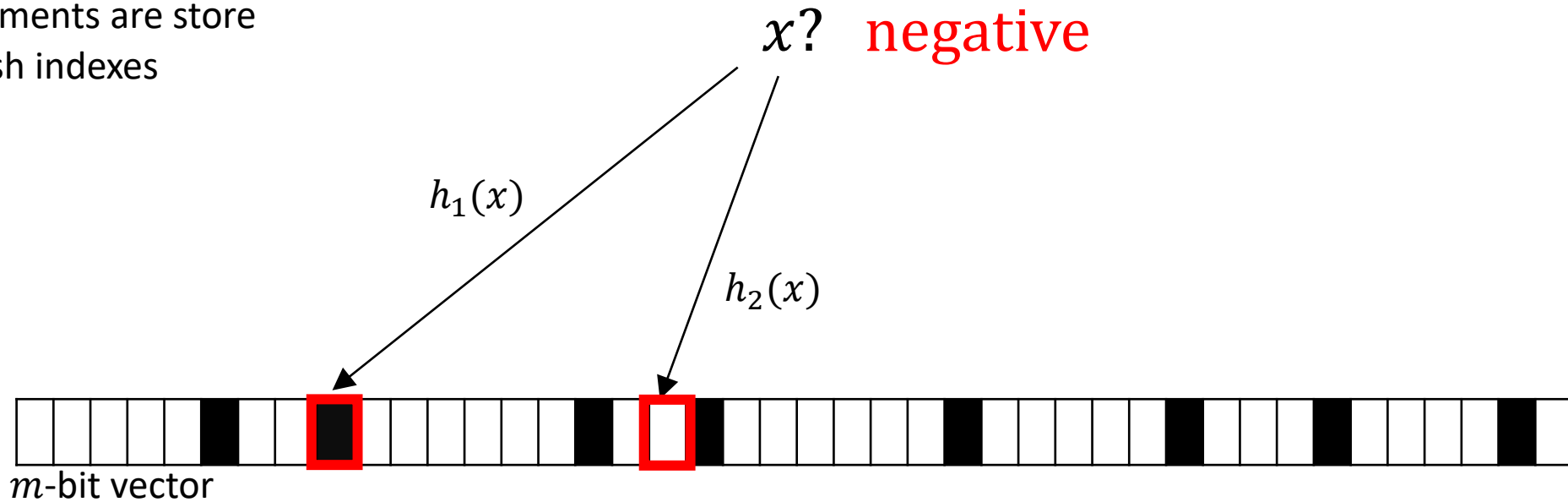
Bloom Filter

m -bit vector
 n elements are store
 k hash indexes



Bloom Filter

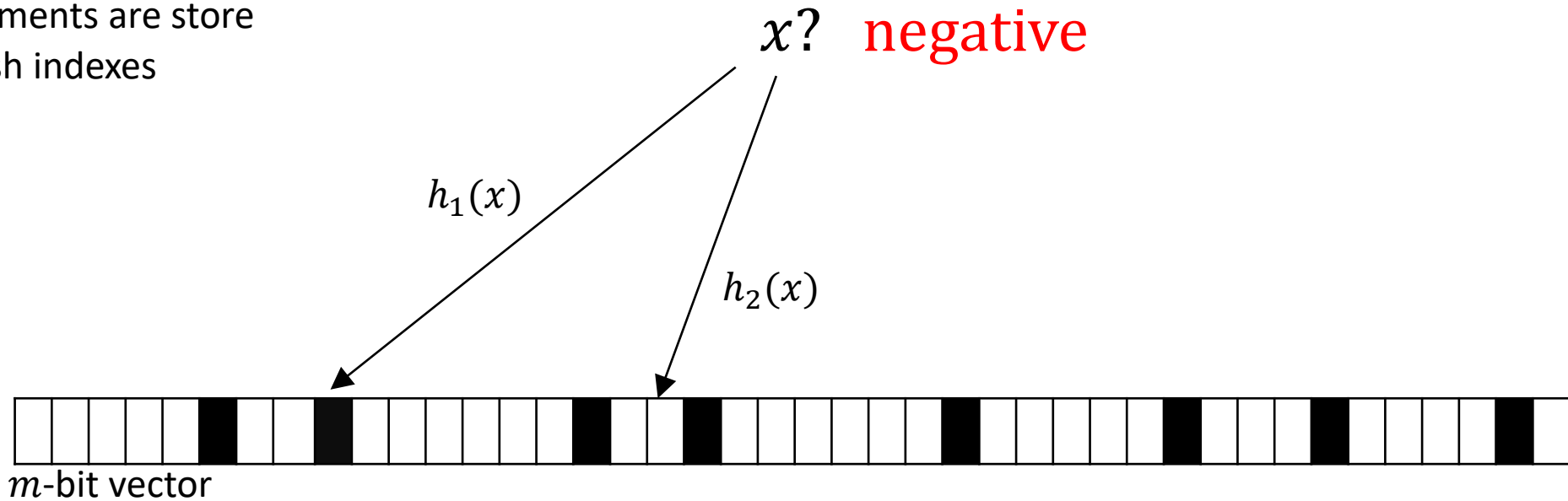
m -bit vector
 n elements are stored
 k hash indexes



Is the entire filter useful?

Bloom Filter

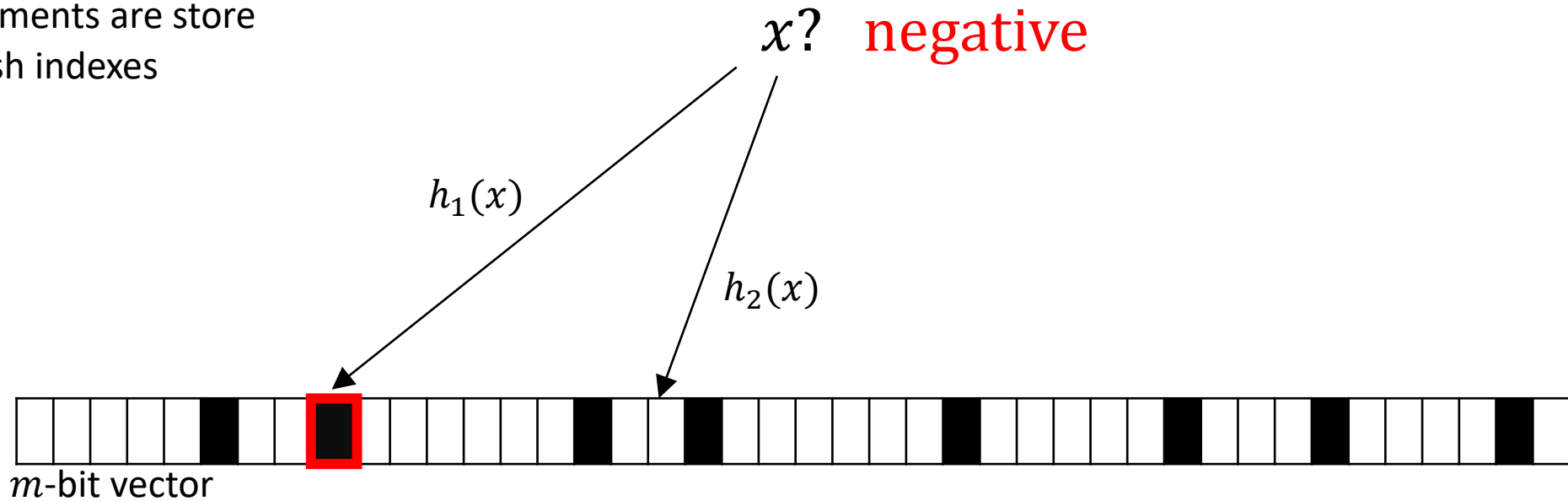
m -bit vector
 n elements are store
 k hash indexes



$$probes_{empty} = 1 + \frac{1}{2} + \frac{1}{2^2} + \dots + \frac{1}{2^{k-1}} = \sum_{d=1}^k \frac{1}{2^{d-1}} = 2 - \frac{1}{2^{k-1}}$$

Bloom Filter

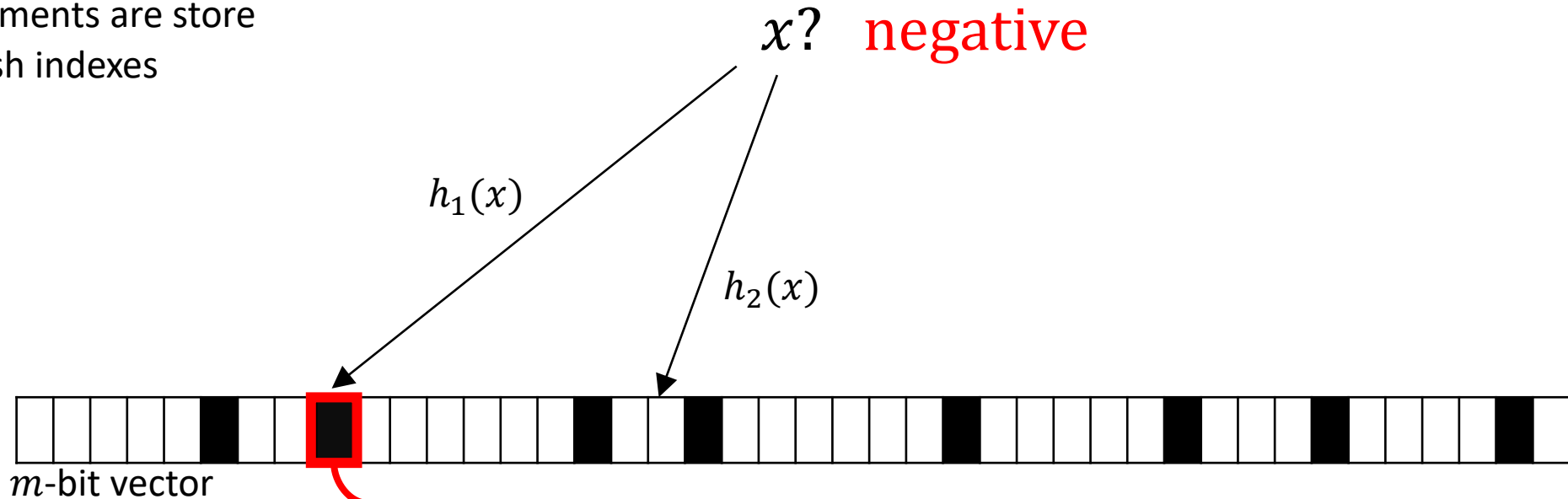
m -bit vector
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Bloom Filter

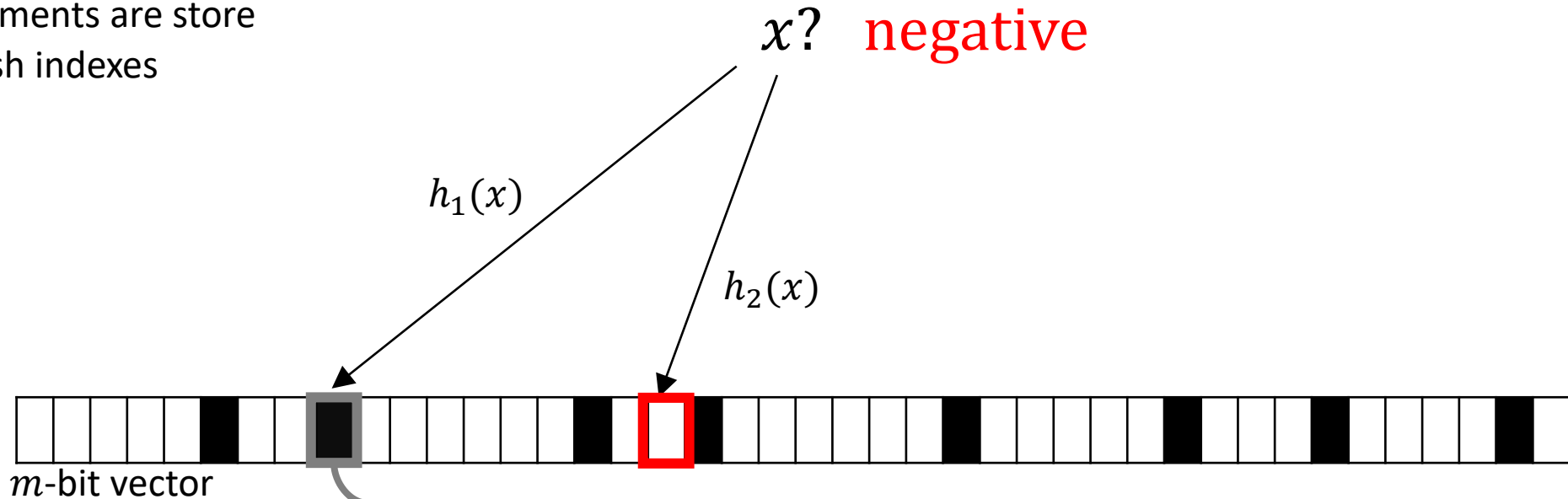
m -bit vector
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$$probes_{empty} = 1 + \frac{1}{2} + \frac{1}{2^2} + \dots + \frac{1}{2^{k-1}} = \sum_{d=1}^k \frac{1}{2^{d-1}} = 2 - \frac{1}{2^{k-1}}$$

Bloom Filter

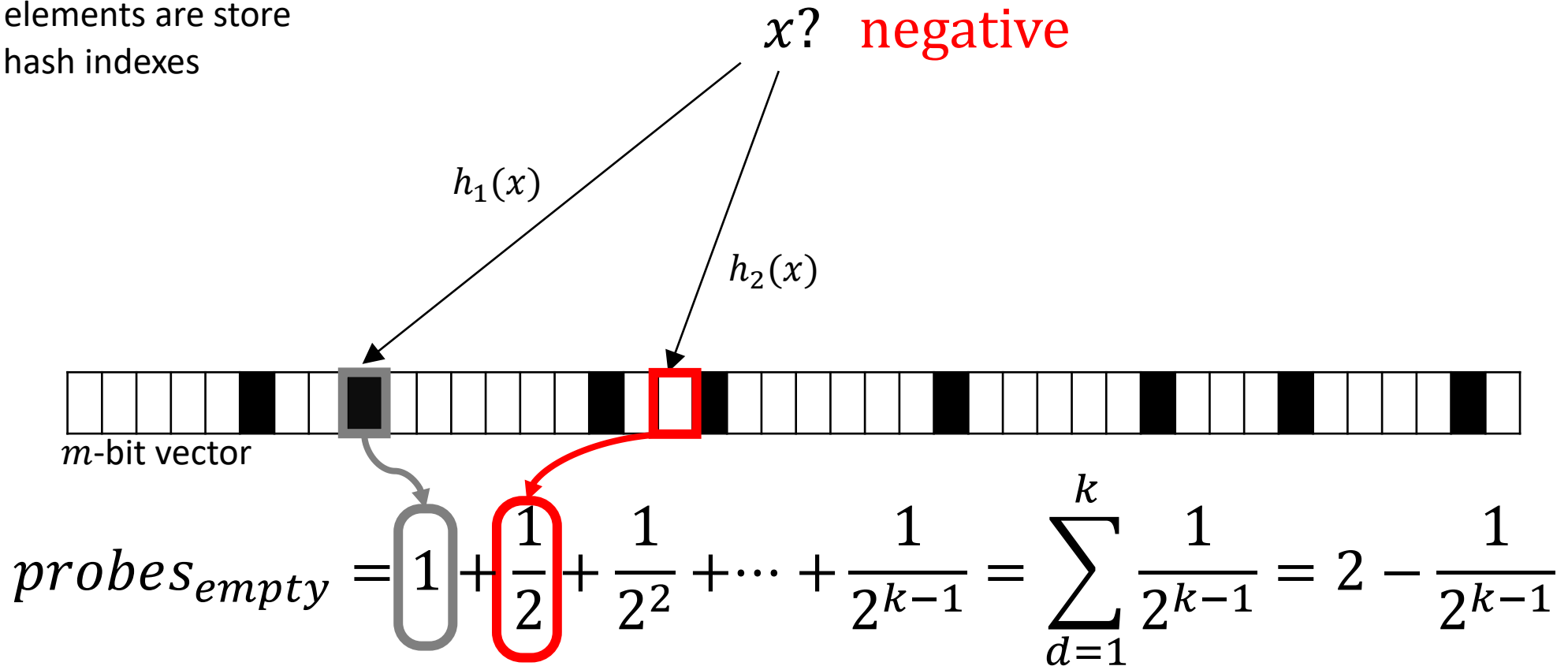
m -bit vector
 n elements are store
 k hash indexes



$$probes_{empty} = 1 + \frac{1}{2} + \frac{1}{2^2} + \dots + \frac{1}{2^{k-1}} = \sum_{d=1}^k \frac{1}{2^{d-1}} = 2 - \frac{1}{2^{k-1}}$$

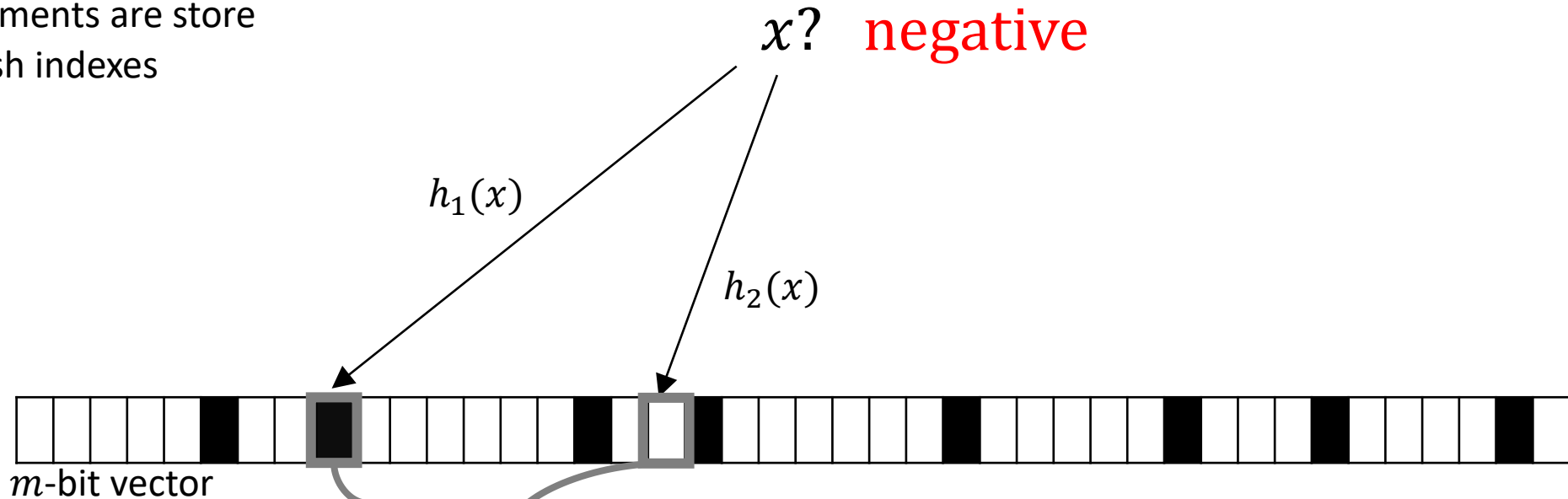
Bloom Filter

m -bit vector
 n elements are store
 k hash indexes



Bloom Filter

m -bit vector
 n elements are store
 k hash indexes

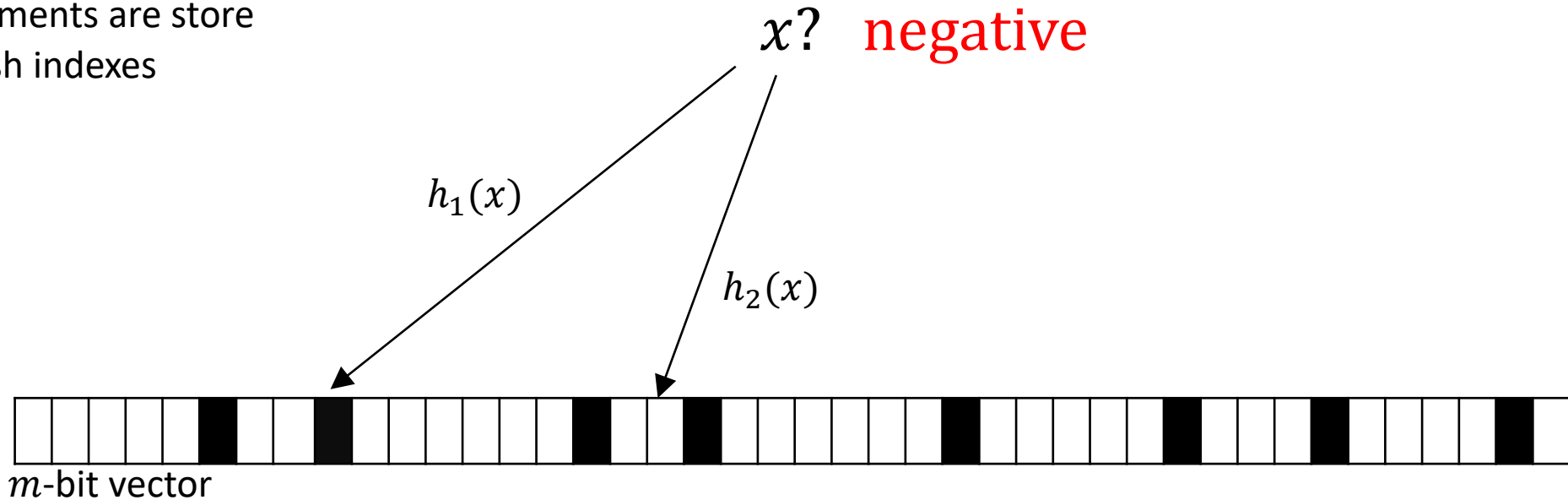


$$probes_{empty} = 1 + \frac{1}{2} + \frac{1}{2^2} + \dots + \frac{1}{2^{k-1}} = \sum_{d=1}^k \frac{1}{2^{d-1}} = 2 - \frac{1}{2^{k-1}}$$

for all k hash indexes

Bloom Filter

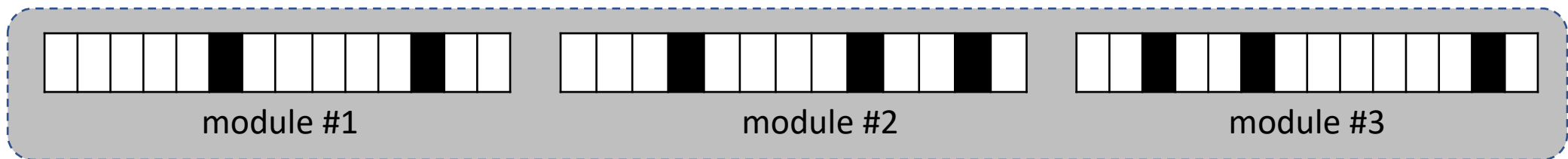
m -bit vector
 n elements are store
 k hash indexes



$$probes_{empty} = 1 + \frac{1}{2} + \frac{1}{2^2} + \dots + \frac{1}{2^{k-1}} = \sum_{d=1}^k \frac{1}{2^{d-1}} = 2 - \frac{1}{2^{k-1}}$$

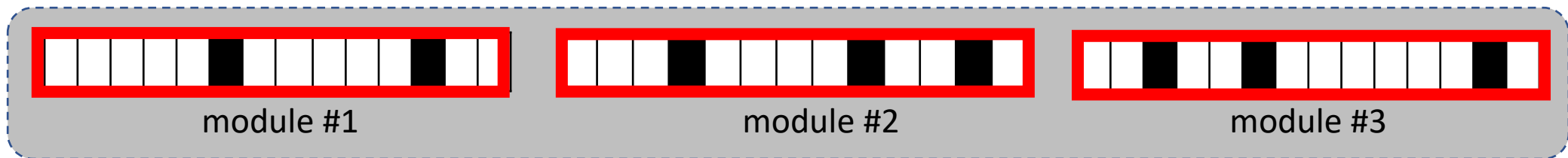
Modular Bloom Filter

m -bit vector
 n elements are store
 k hash indexes
 d modules



Modular Bloom Filter

m -bit vector
 n elements are store
 k hash indexes
 d modules

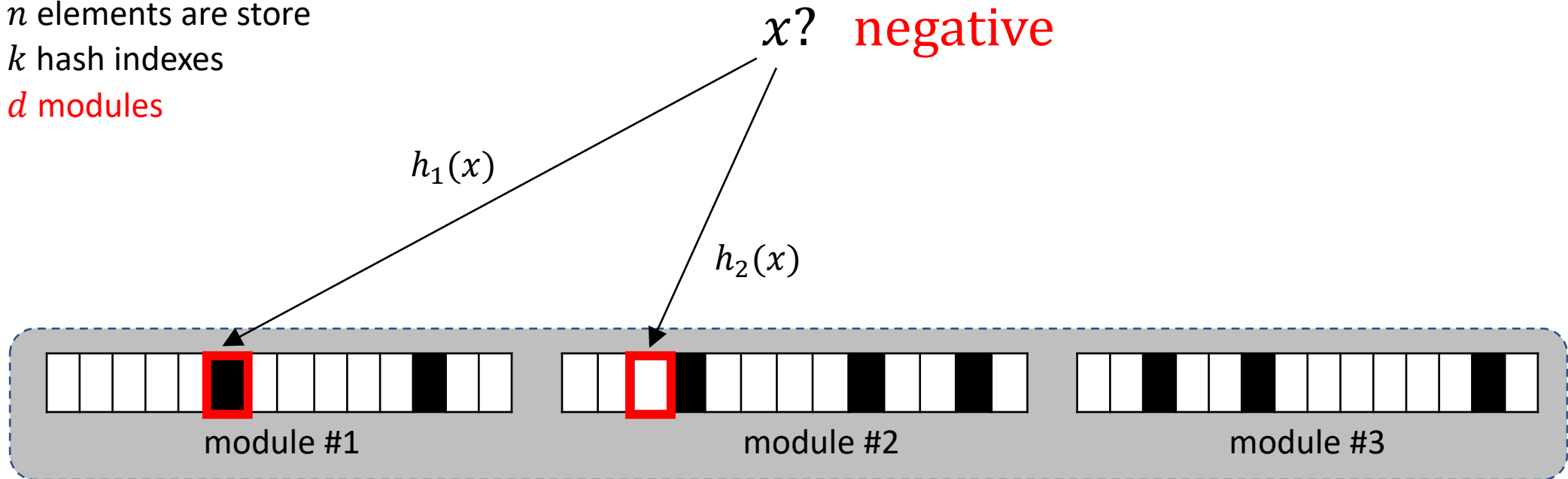


An MBF is a collection of D Bloom filters

- m_d -bit vector
- n elements
- k_d hash indexes

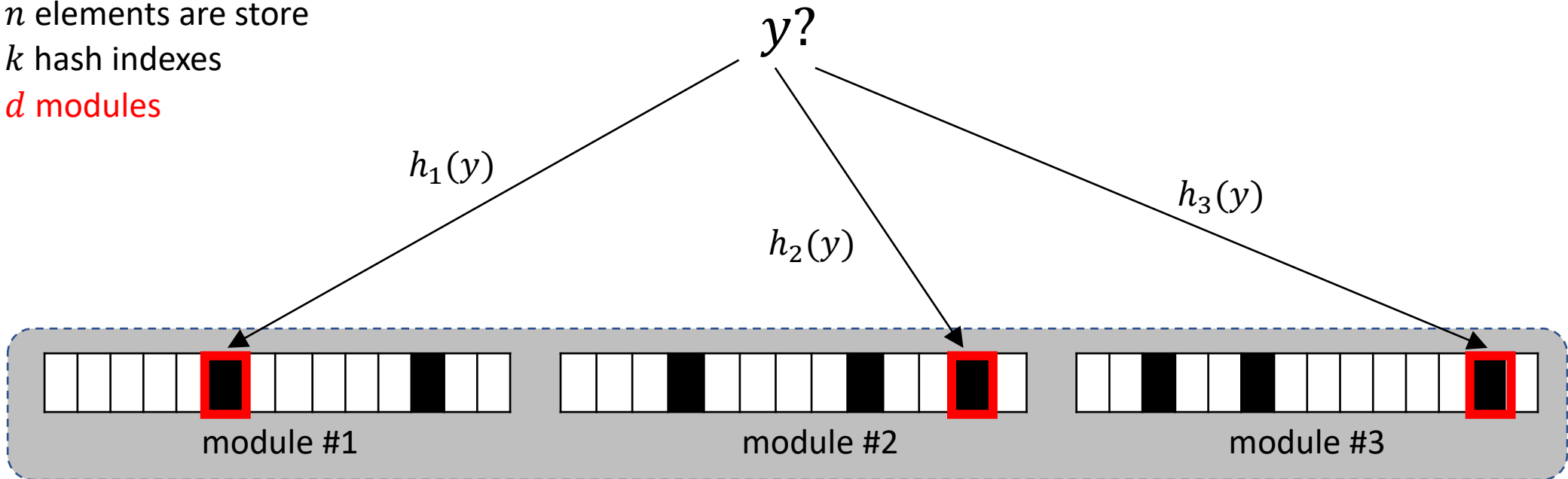
Modular Bloom Filter

m -bit vector
 n elements are store
 k hash indexes
 d modules



Modular Bloom Filter

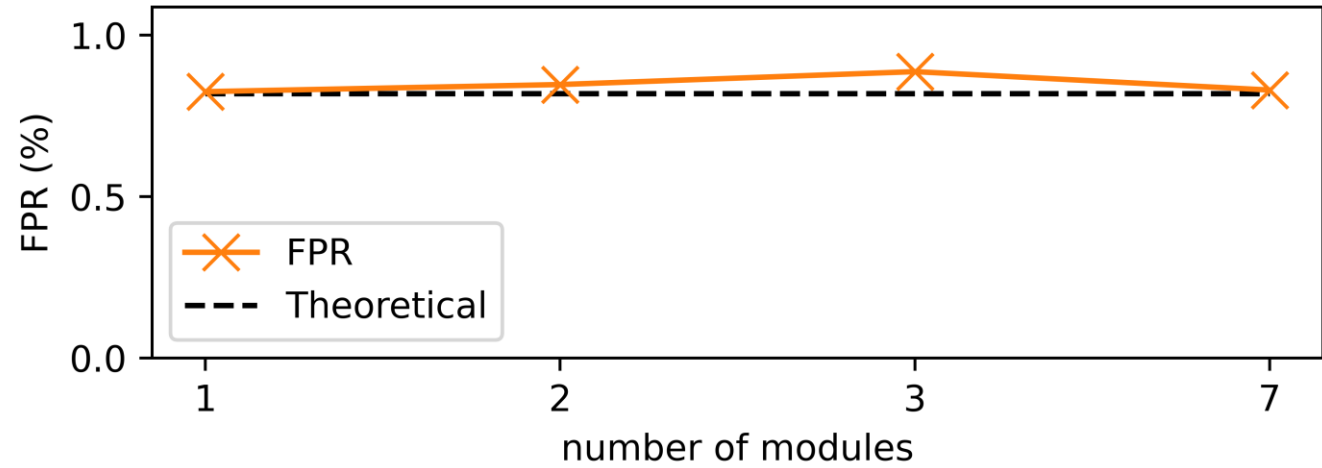
m -bit vector
 n elements are store
 k hash indexes
 d modules



Modular Bloom Filter

False positive rate

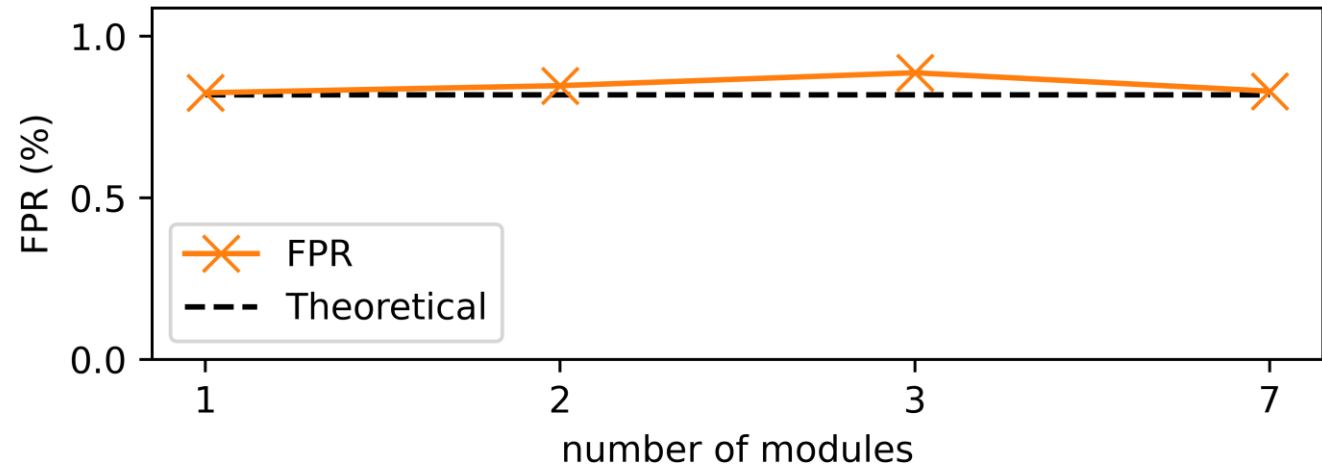
FPR close-to-theoretical



Modular Bloom Filter

False positive rate

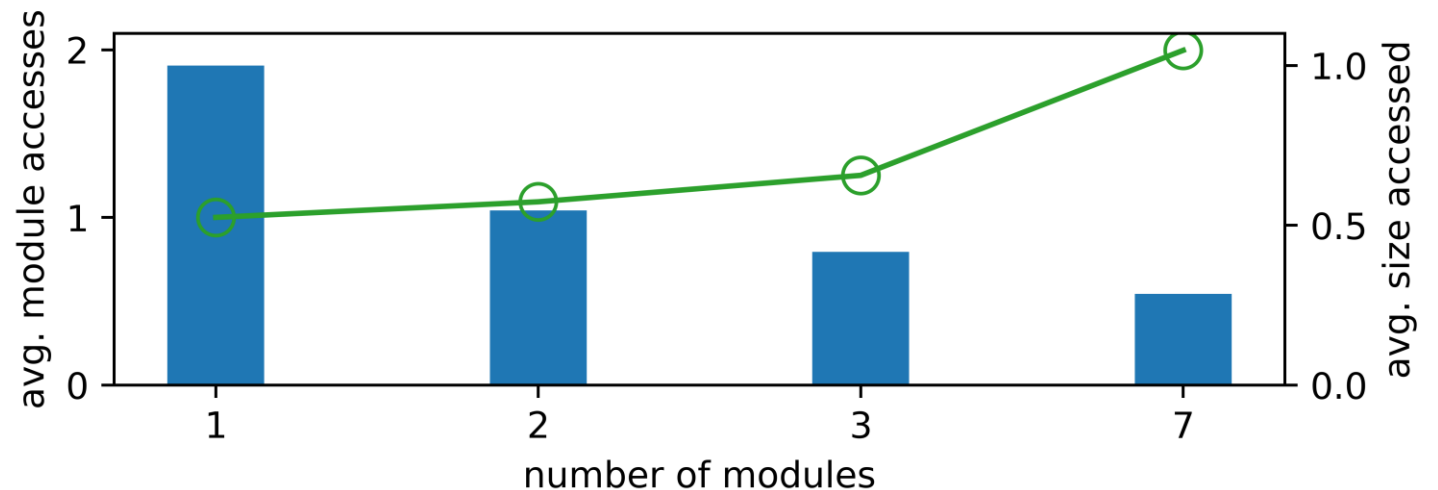
FPR close-to-theoretical



Avg. # of module accesses
vs.

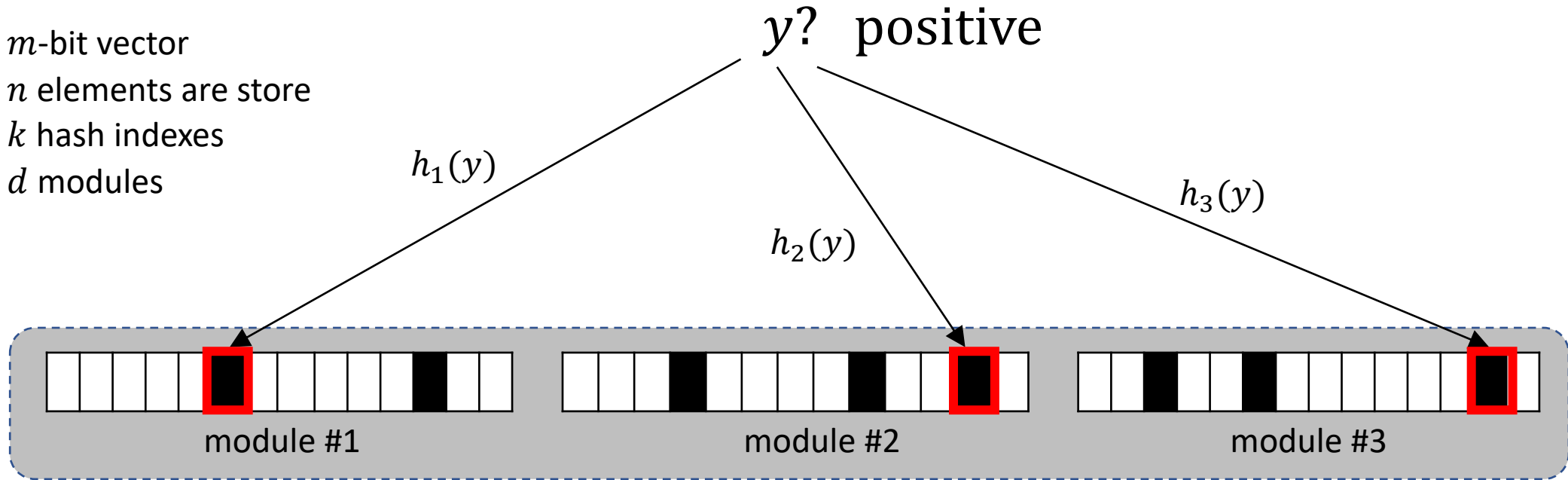
Avg. size accessed

Less memory requirement



Modular Bloom Filter

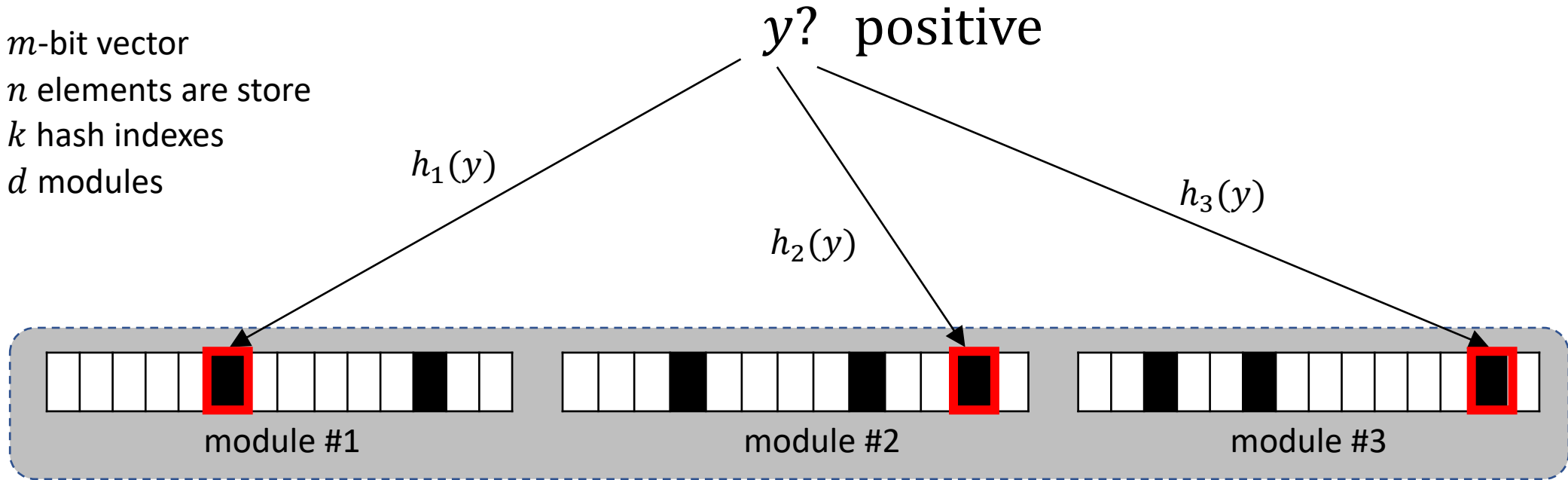
m -bit vector
 n elements are store
 k hash indexes
 d modules



MBFs are not useful for positive queries.

Modular Bloom Filter

m -bit vector
 n elements are store
 k hash indexes
 d modules



MBFs are not useful for positive queries.

What if we know something more about the queries?

Skipping Modules

Utility: a measure of the benefit of a filter or a module

$$u_{l,i,d} = \text{expIO}_{l,i,d} - \text{expIO}_{l,i,d-1}$$

The expected number of I/Os that can be reduced by using d -th module

Skipping Modules

Utility: a measure of the benefit of a filter or a module

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The expected number of I/Os that can be reduced by using d -th module

Expected number of I/Os

$$\text{expIO}_{l,i,d} = \underbrace{\beta_{l,i}}_{\text{access frequency}} \cdot \left(\underbrace{\alpha_{l,i}}_{\text{non-empty queries}} + \underbrace{(1 - \alpha_{l,i}) \cdot f_{sm}^d}_{\text{false positives from empty queries}} \right)$$

l -th level
 i -th SST file
 d -th module
 f_{sm} : FPR of a single module

Skipping Modules

Skipping Modules based on their utilities

Skipping Modules

Skipping Modules based on their utilities

$$u_{l,i,d} = \text{expIO}(l,i,d) - \text{expIO}(l,i,d-1)$$

if $u_{l,i,d} < \text{threshold}_d$ **then**
 return *true*

else

 result = QueryModule(key, $\text{module}_{l,i,d}$)

Modular Bloom filter

&

Skipping Algorithm

&

Sharing Hashing

+

LSM-tree

Modular Bloom filter
&
Skipping Algorithm + LSM-tree
&
Sharing Hashing

Sharing Hashing with Modular Bloom filters (SHaMBa)

Experimental Evaluation

Experiment Settings

- LSM-tree tuning

Term	Value	Explanation
E	64	entry size (B)
K	32	key size (B)
B	64	block size (#entries)
P	1024	buffer size/file size (#blocks)
T	4	size ratio
b	10	bits per key for filters

- Size of blocks

Term	Value	Explanation
S_D	4	data block size (KB)
S_I	32	index block size (KB)
S_F	80	filter block size (KB)

Approaches Tested

- Tuning knobs of SHaMBa

Term	Value
number of modules	1, 2 , 3, or 7
Size of each module	equal
skipping algorithm	none, partial (\mathcal{P}), or full (\mathcal{F})

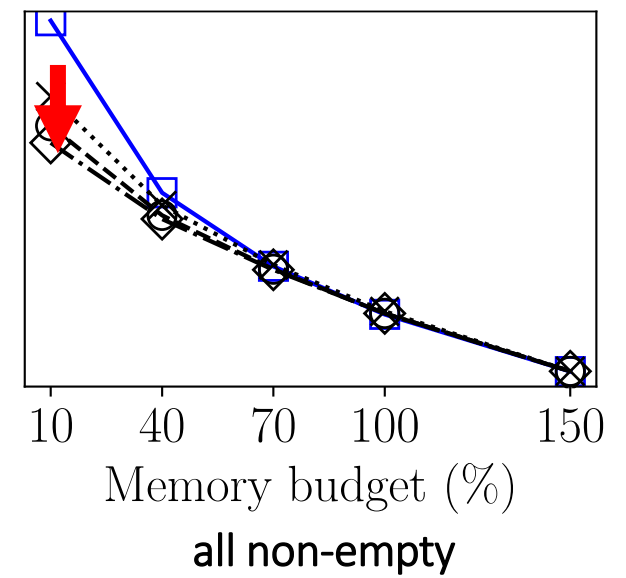
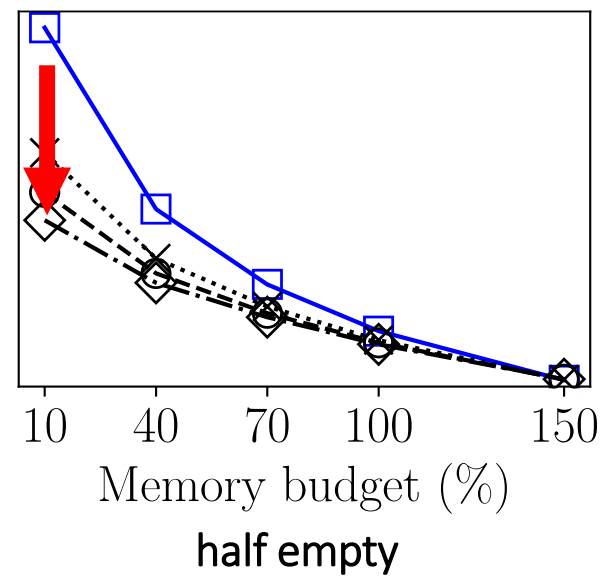
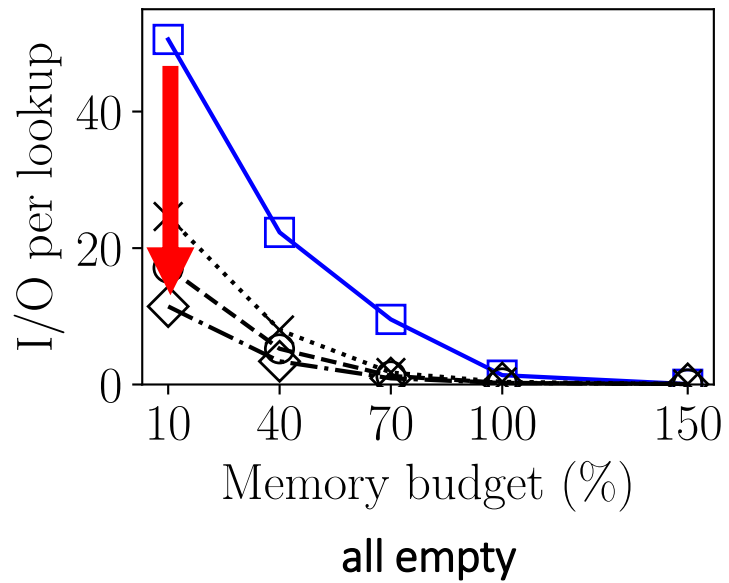
- Approaches Tested

- *state-of-the-art*
- *SHaMBa-eq*
- *SHaMBa-eq- \mathcal{P}*
- *SHaMBa-eq- \mathcal{F}*

Impact of number of modules

Workload: Uniform, Entry size: 64B, #Entries: 30K
Tuning: **no skipping algorithm**, equal sized modules

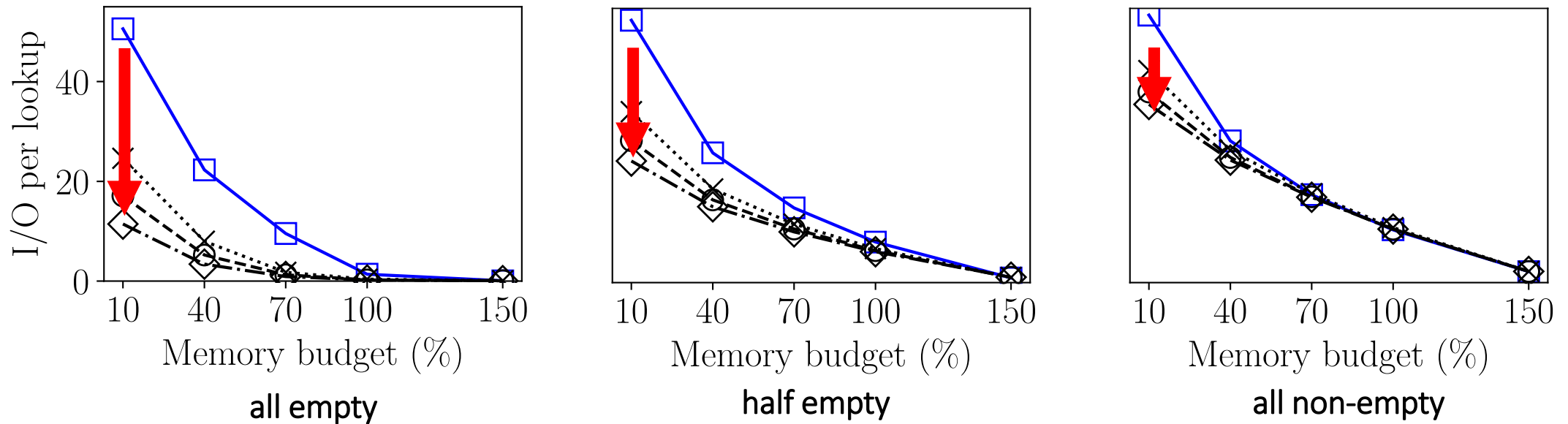
—□— state-of-art ···×··· 2 modules -⊖- 3 modules -◇- 7 modules



Impact of number of modules

Workload: Uniform, Entry size: 64B, #Entries: 30K
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—□— state-of-art ···×··· 2 modules -⊖- 3 modules -◇- 7 modules

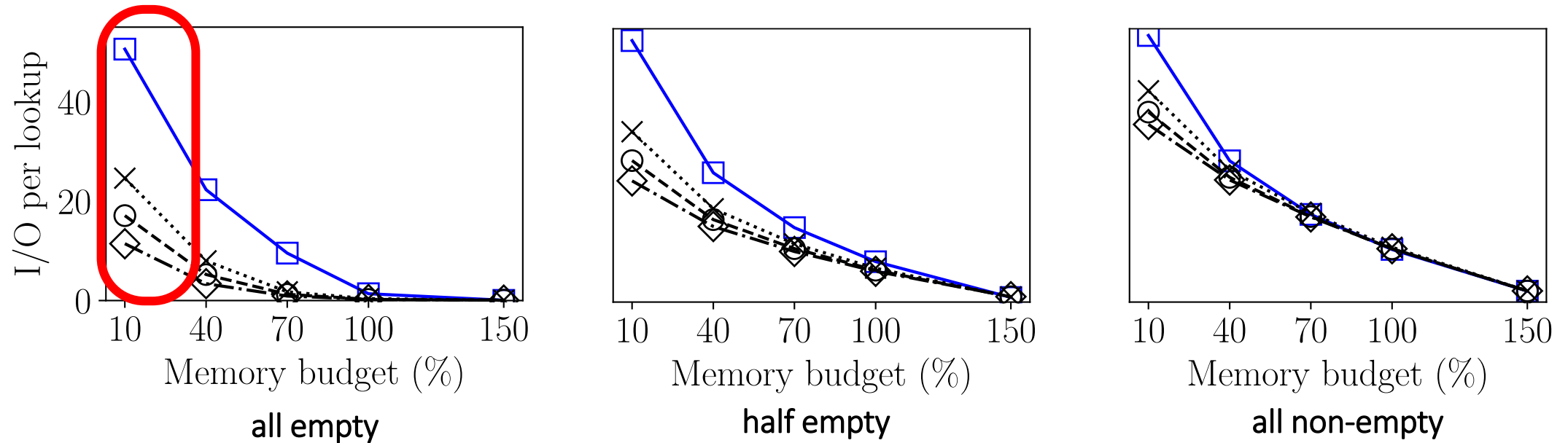


SHaMBa enhances the lookup performance for empty queries

Impact of number of modules

Workload: Uniform, Entry size: 64B, #Entries: 30K
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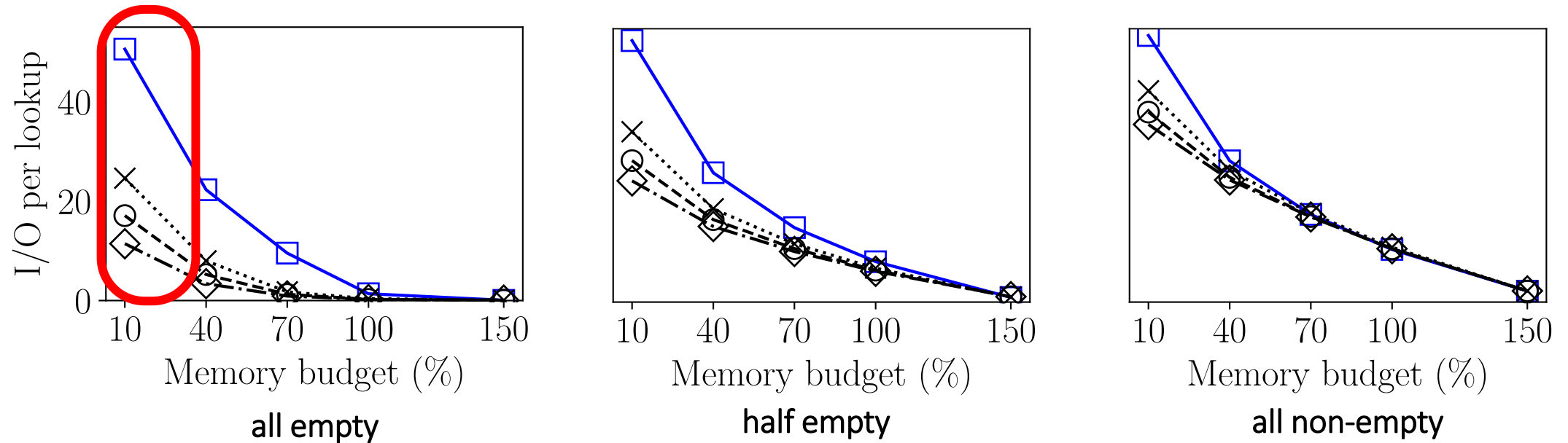
—□— state-of-art ···×··· 2 modules -⊖- 3 modules -◇- 7 modules



Impact of number of modules

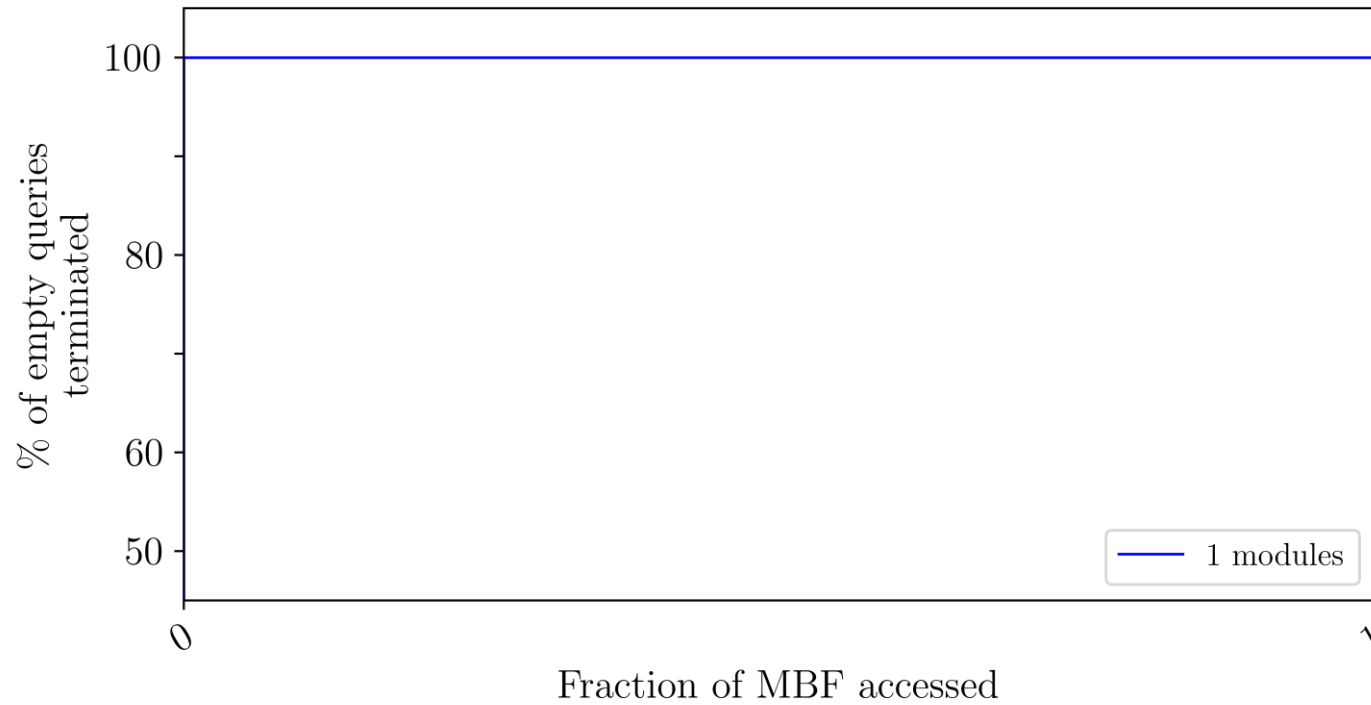
Workload: Uniform, Entry size: 64B, #Entries: 30K
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—□— state-of-art ···×··· 2 modules -⊖- 3 modules -◇- 7 modules

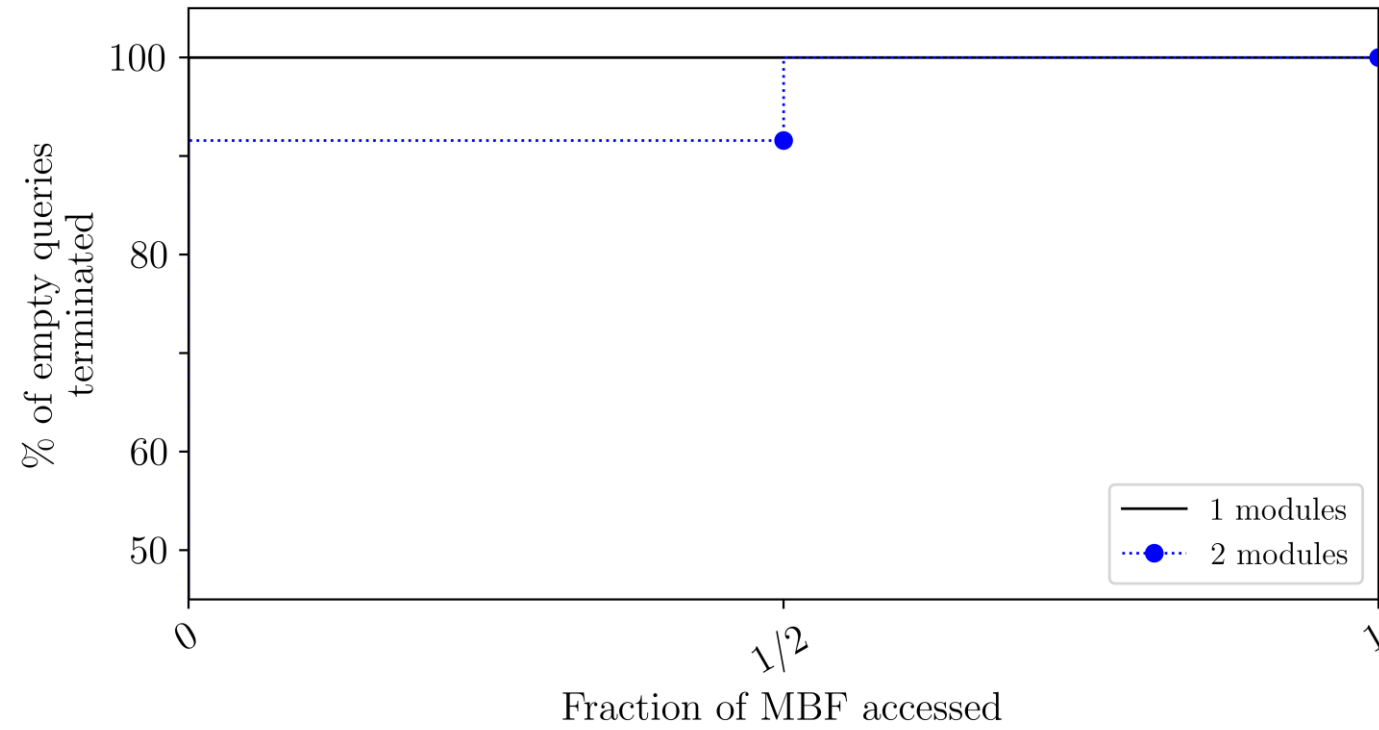


SHaMBa performs best with smaller modules

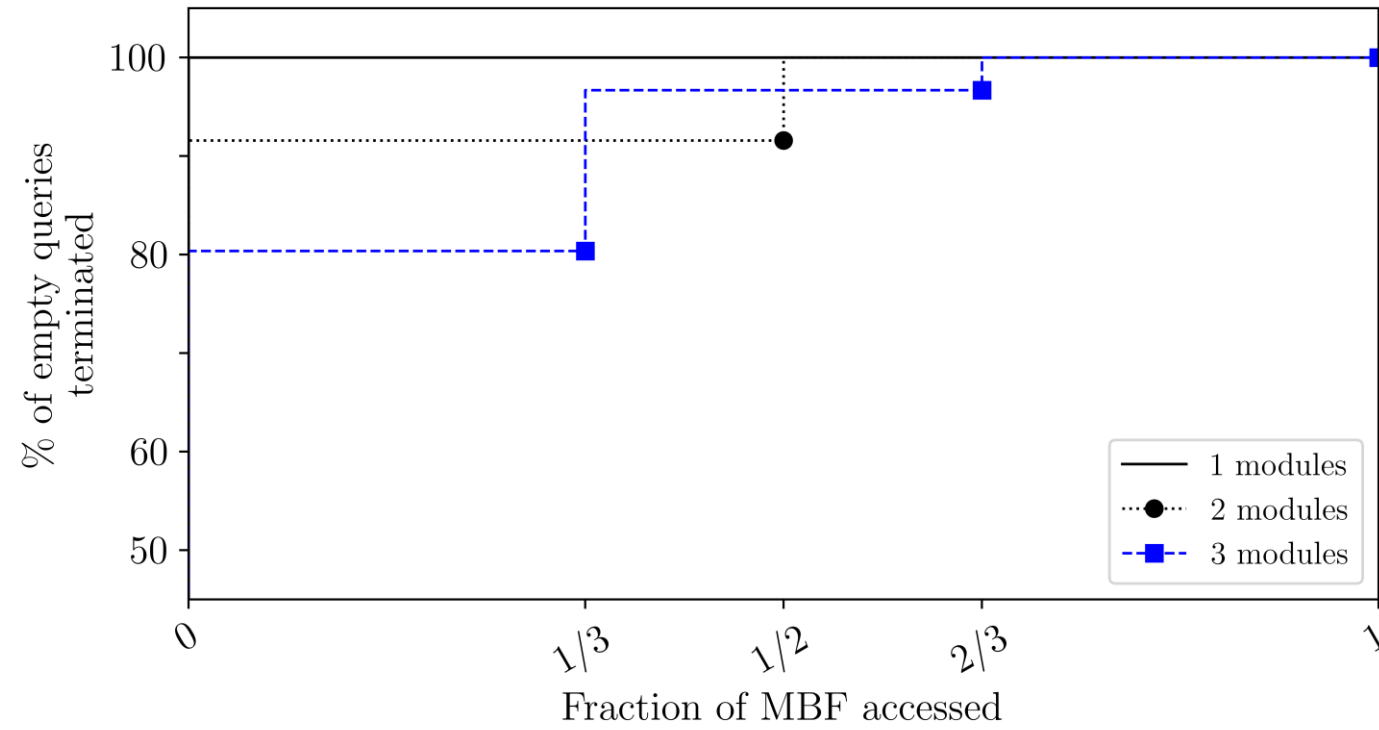
Impact of number of modules



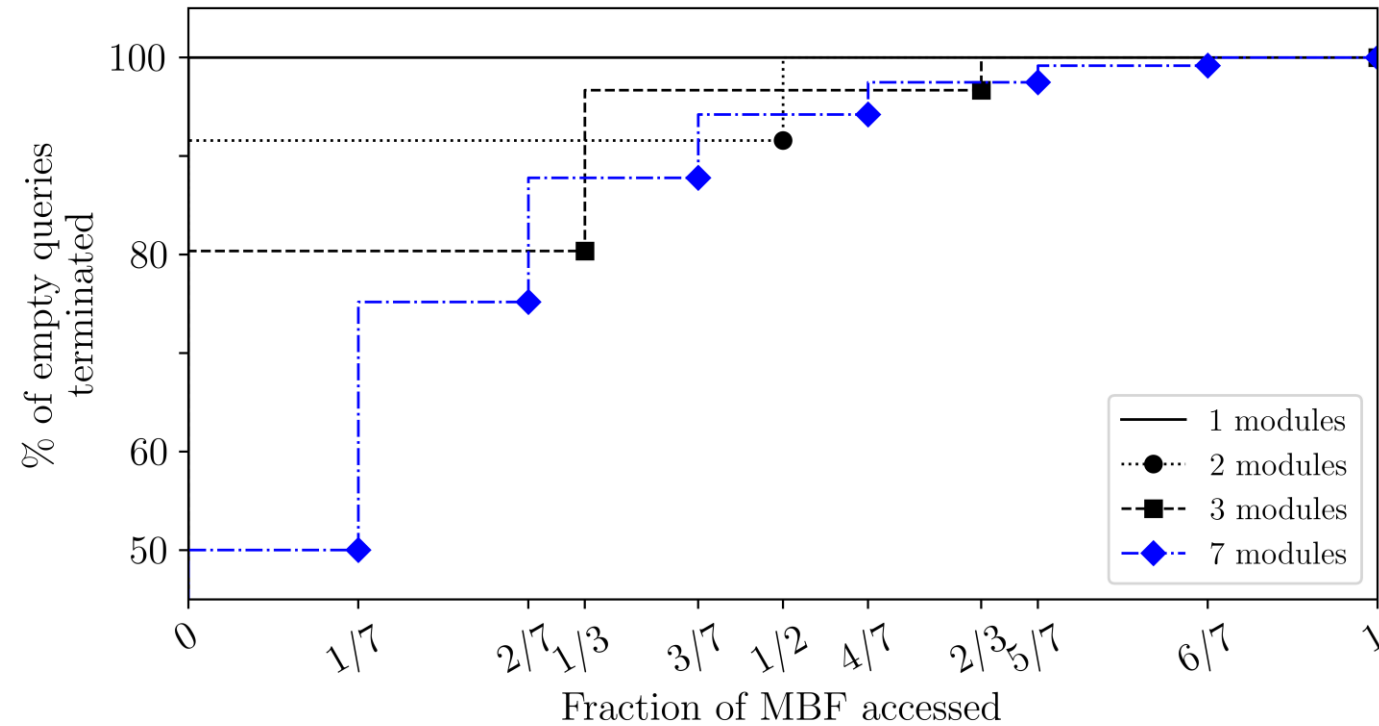
Impact of number of modules



Impact of number of modules



Impact of number of modules

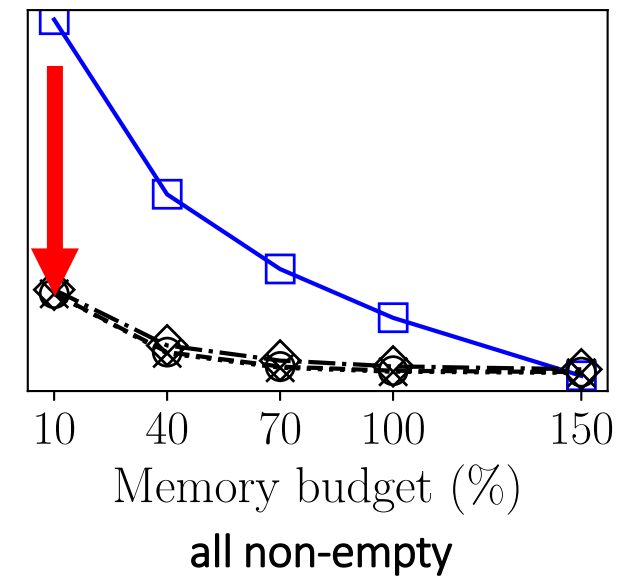
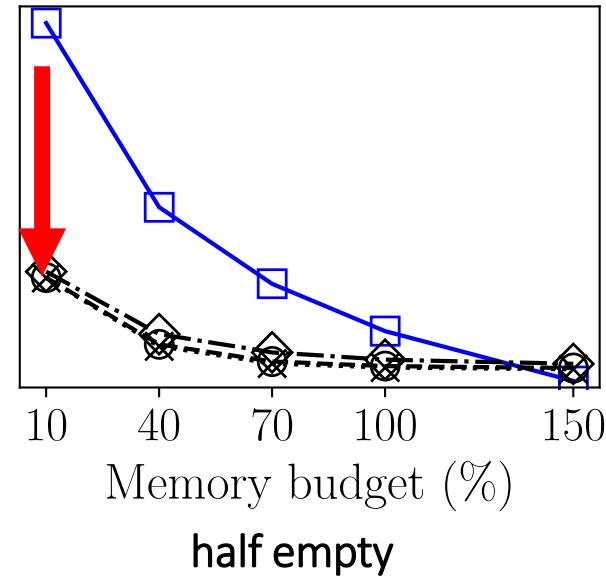
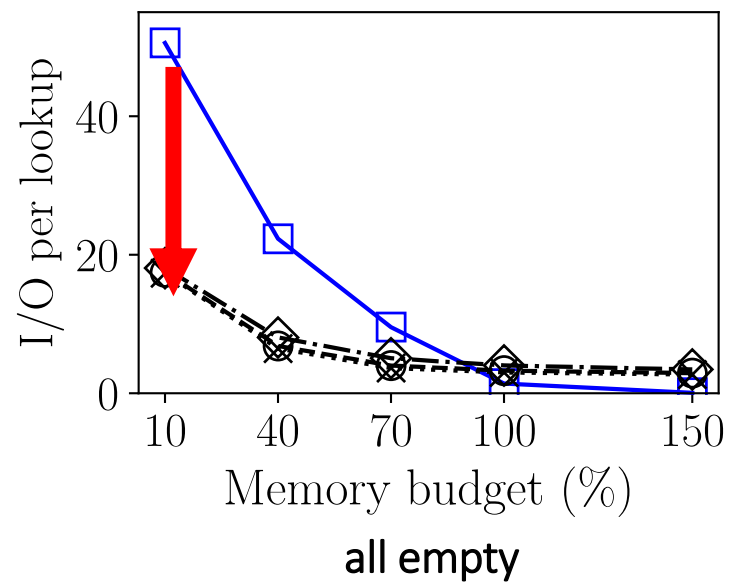


More queries can be terminated earlier with less memory.

Impact of number of modules

Workload: Uniform, Entry size: 64B, #Entries: 30K
Tuning: **full skipping algorithm**, equal sized modules

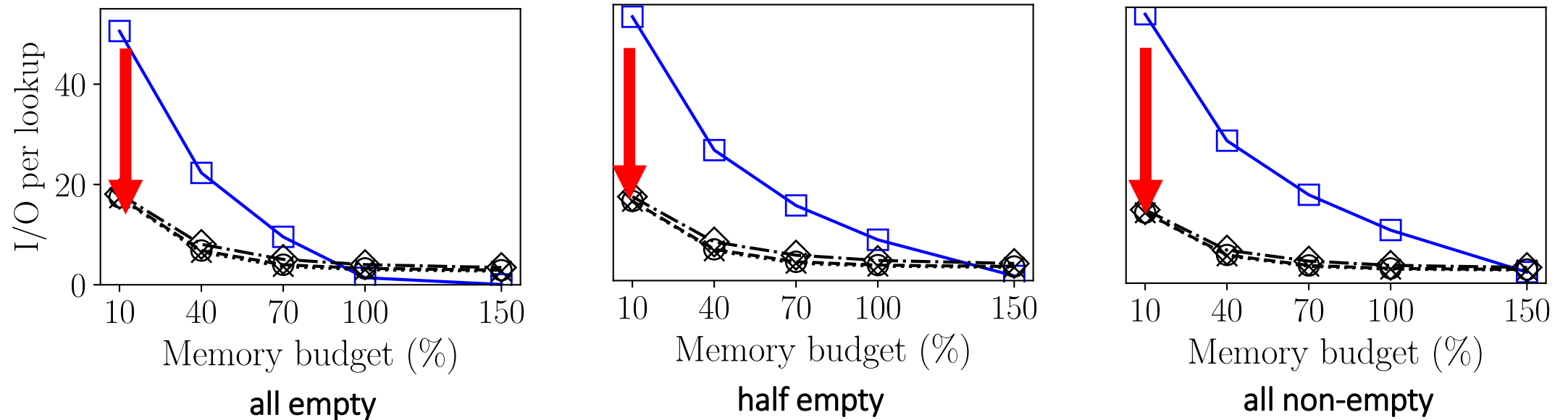
—□— state-of-art ···×··· 2 modules -⊖- 3 modules -◇- 7 modules



Impact of number of modules

Workload: Uniform, Entry size: 64B, #Entries: 30K
Tuning: **full skipping algorithm**, equal sized modules

—□— state-of-art ···×··· 2 modules -⊖- 3 modules -◇- 7 modules



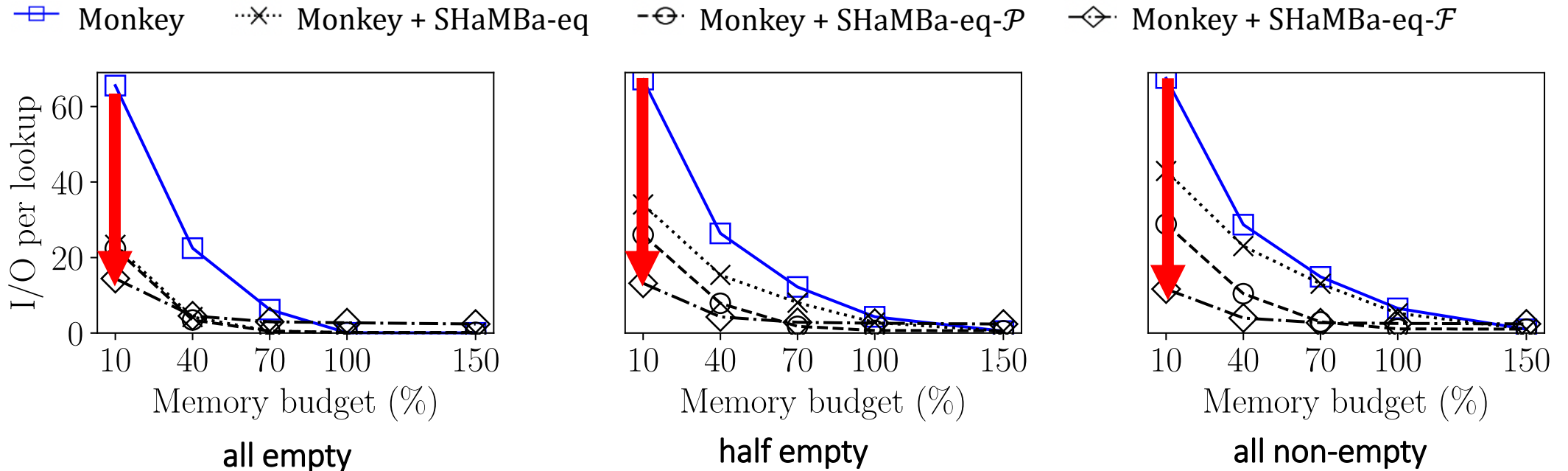
Skipping modules effectively skips unnecessary filters/modules.

SHaMBa with Monkey

Workload: Uniform, Entry size: 64B, #Entries: 30K
Tuning: 2 equal sized modules

Monkey allocates more bits per element in the shallower levels to aggressively reduce their false positives

Monkey: Optimal Navigable Key-Value Store, ACM SIGMOD 2017

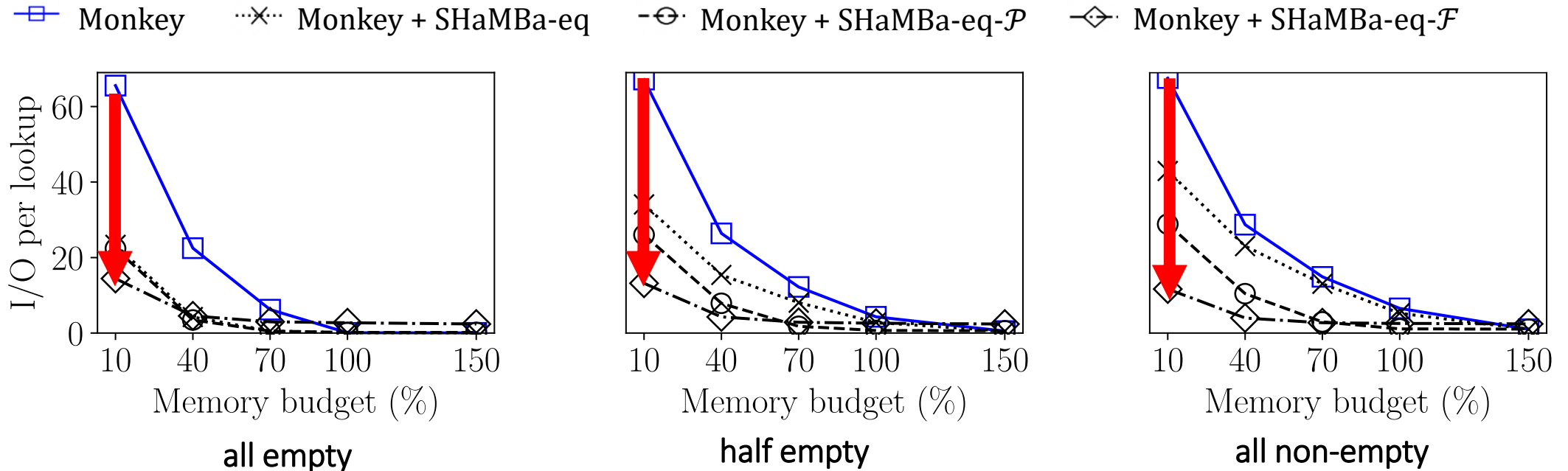


SHaMBa with Monkey

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Monkey: Optimal Navigable Key-Value Store, ACM SIGMOD 2017



SHaMBa further improves performance of Monkey

Conclusion

□ SHaMBa

- a novel LSM-based key-value engine
- specifically addresses performance loss due to memory pressure
- the same average number of I/Os, with 1/3 of the memory by the state of the art

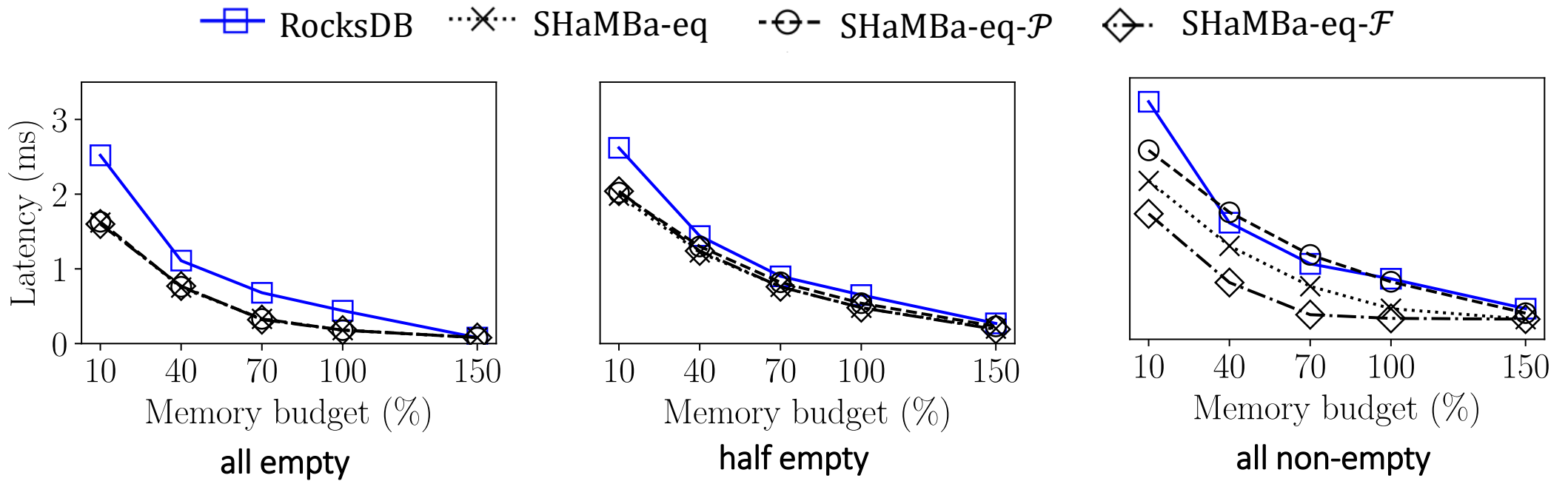
□ Modular Bloom filters (MBFs)

- a BF variant that consists of multiple module
- enable smooth navigation of the memory vs. performance trade-off

Q&A

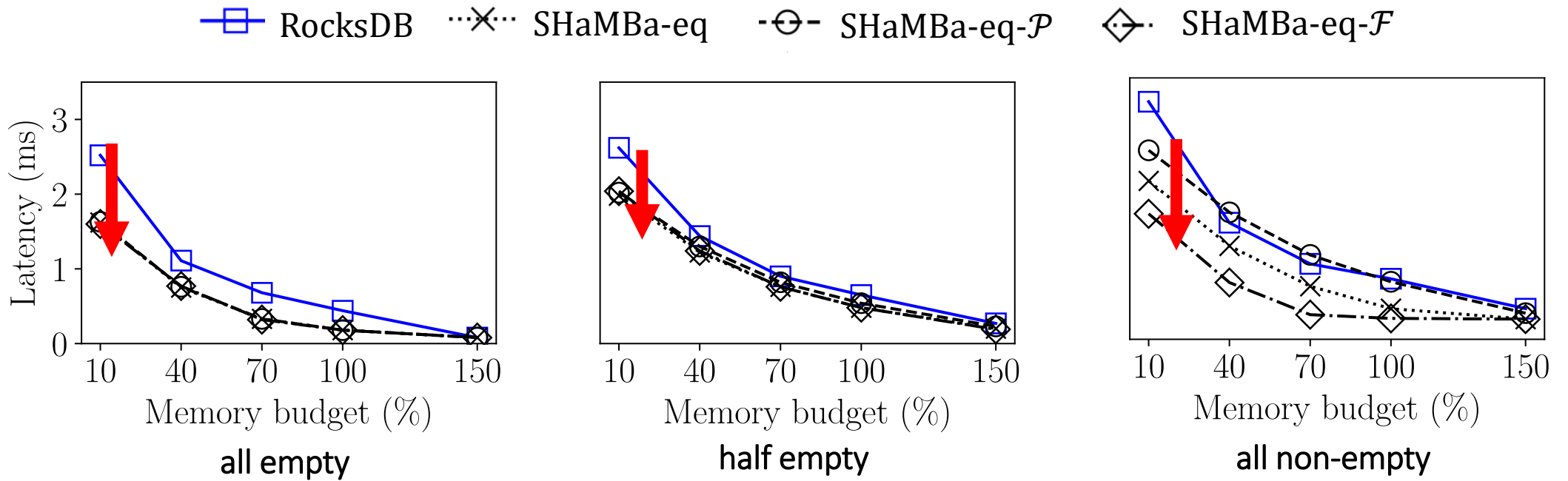
SHaMBa with RocksDB

Workload: Uniform, Entry size: 64B, #Entries: 30K
Tuning: 2 equal sized modules, RocksDB version 6.19.3



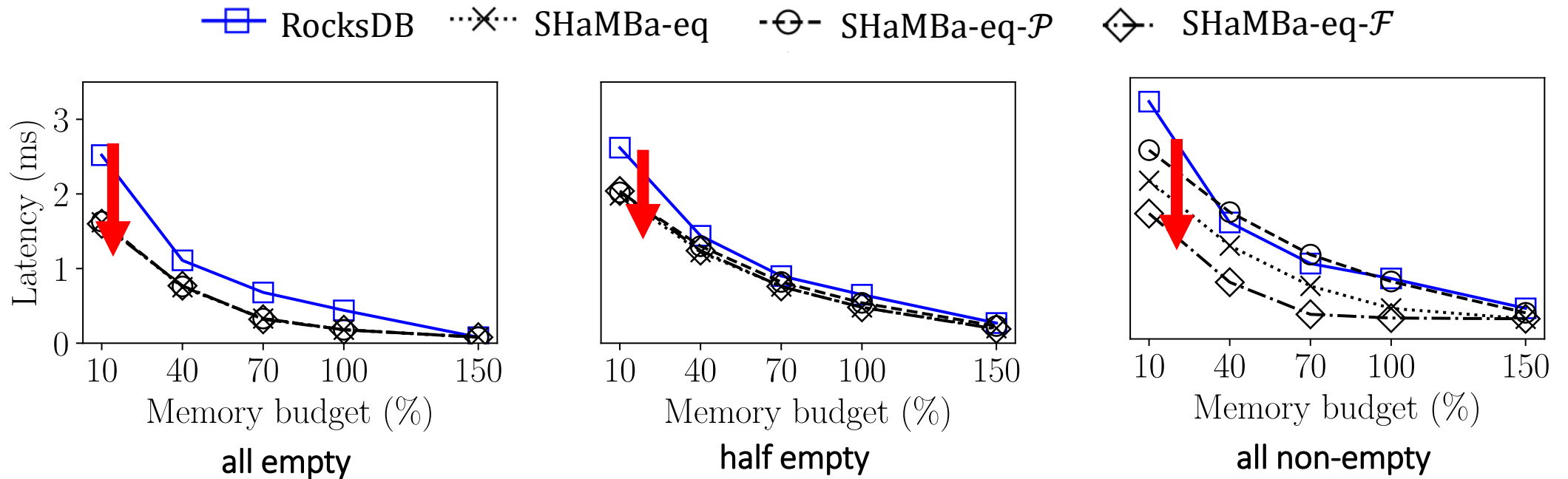
SHaMBa with RocksDB

Workload: Uniform, Entry size: 64B, #Entries: 30K
Tuning: 2 equal sized modules, RocksDB version 6.19.3



SHaMBa with RocksDB

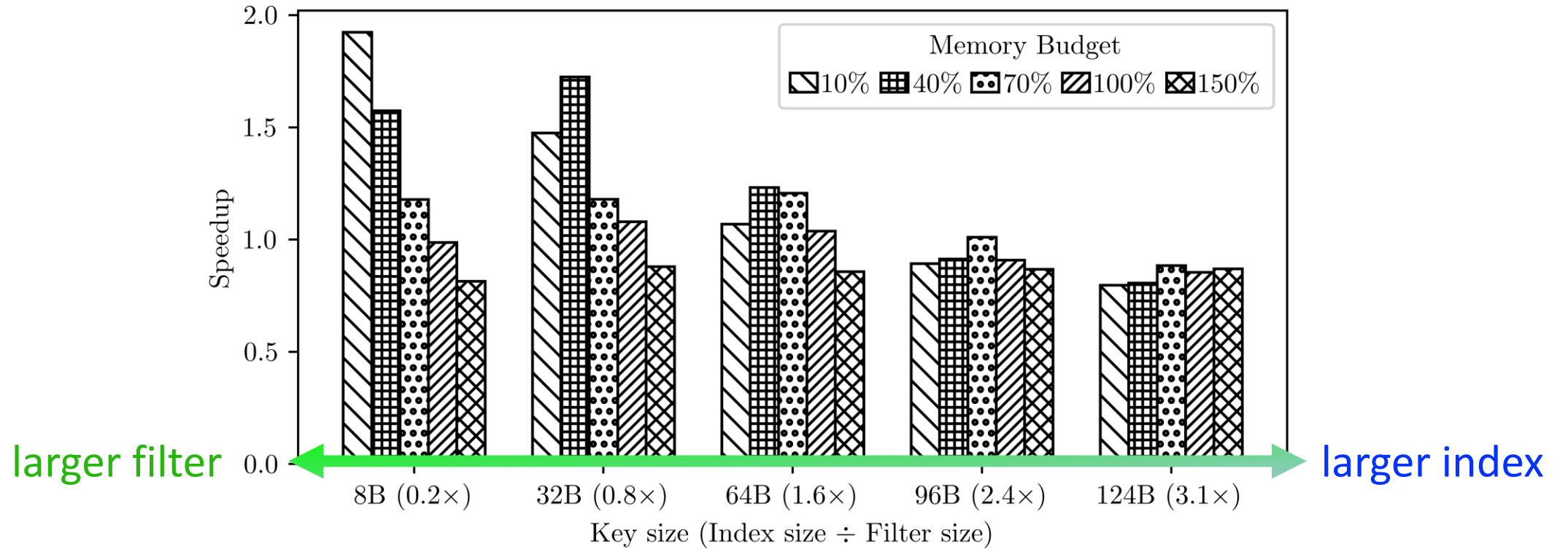
Workload: Uniform, Entry size: 64B, #Entries: 30K
Tuning: 2 equal sized modules, RocksDB version 6.19.3



SHaMBa-eq accelerates point lookups

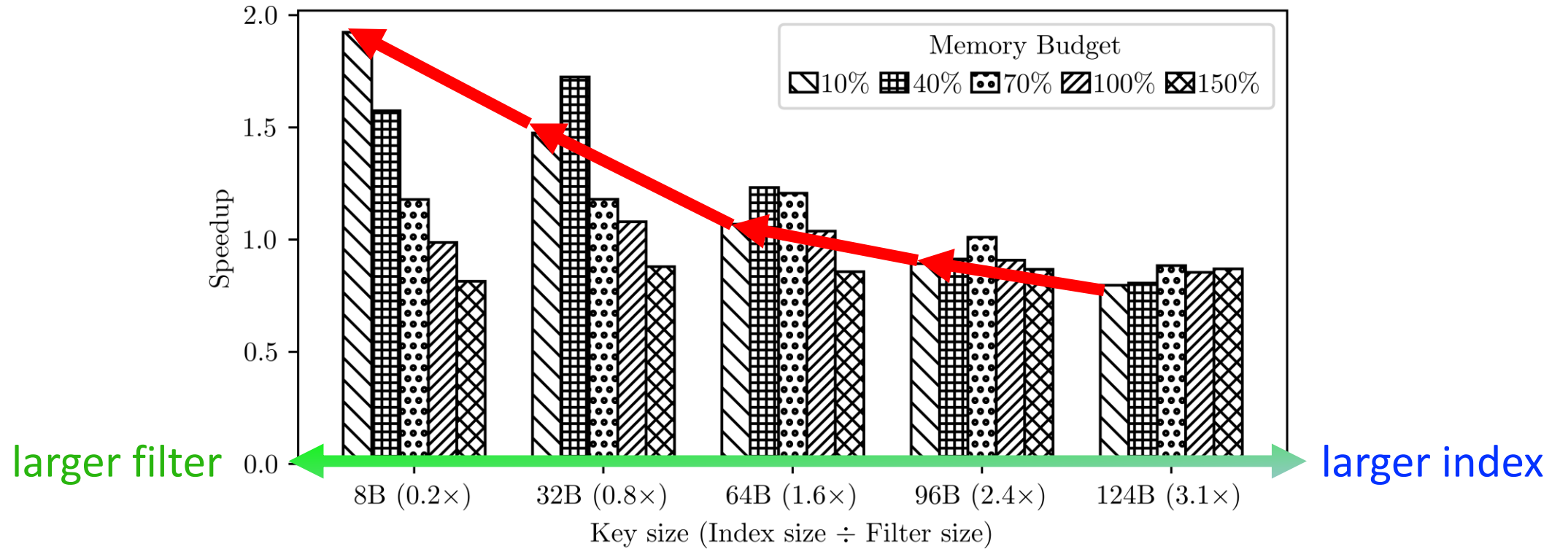
SHaMBa with larger index

Workload: Uniform (all empty), **Entry size: 128B**, #Entries: 30K
Tuning: 2 equal sized modules, RocksDB version 6.19.3



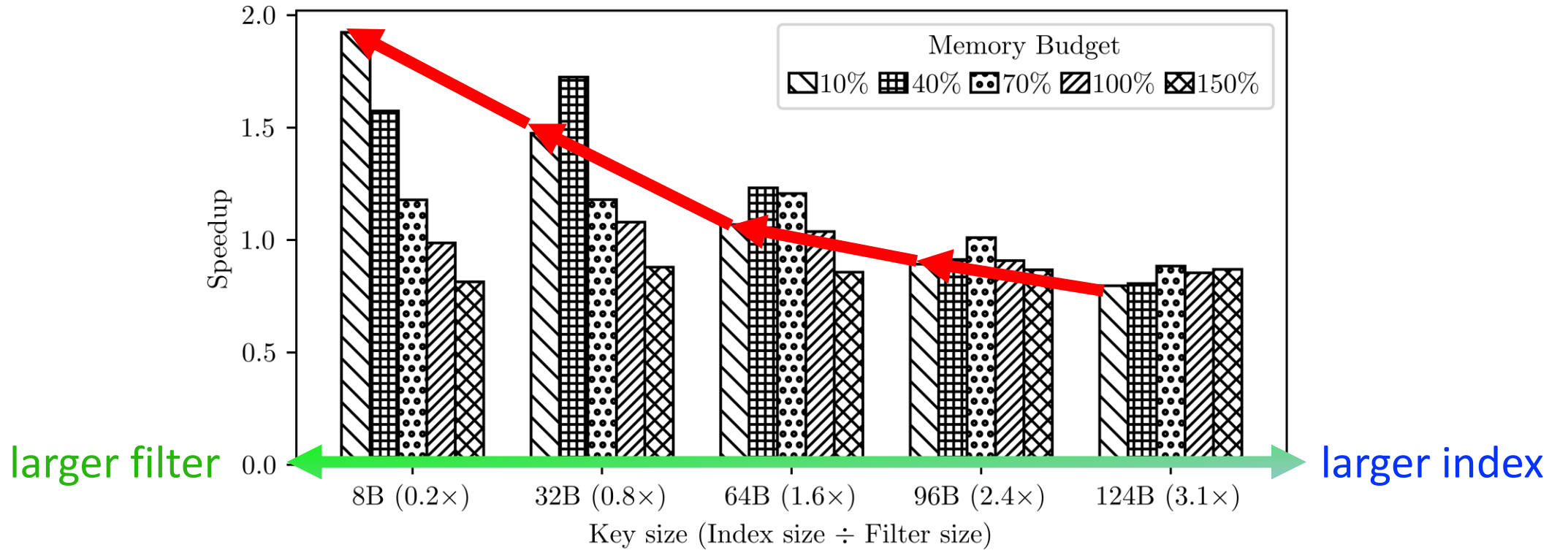
SHaMBa with larger index

Workload: Uniform (all empty), **Entry size: 128B**, #Entries: 30K
Tuning: 2 equal sized modules, RocksDB version 6.19.3



SHaMBa with larger index

Workload: Uniform (all empty), **Entry size: 128B**, #Entries: 30K
Tuning: 2 equal sized modules, RocksDB version 6.19.3



SHaMBa performs best when filters are larger than indexes