CS460: Intro to Database Systems

Class 7: *Decomposition & Schema Normalization*

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https://bu-disc.github.io/CS460/

Review: Database Design

Requirements Analysis

user needs; what must database do?

Conceptual Design

high level description (often done w/ ER model)

Logical Design

translate ER into DBMS data model

Schema Refinement

consistency, normalization

Physical Design

indexes, disk layout

Why schema refinement

what is a bad schema?

a schema with redundancy!

why?

redundant storage & insert/update/delete anomalies

how to fix it?

normalize the schema by decomposing

normal forms: BCNF, 3NF, …

Motivating Example

SSN	Name	Salary	Telephone
987-00-8761	John	65K	857-555-1234
987-00-8761	John	65K	857-555-8800
123-00-9876	Anna	80K	617-555-9876
787-00-4321	John	25K	617-555-3761

SSN $\rightarrow$ Name, Salary

Motivating Example

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987-00-8761	857-555-1234
987-00-8761	857-555-8800
123-00-9876	617-555-9876
787-00-4321	617-555-3761

Motivating Example 2

name	category	color	price	department
iPhone	smartphone	black	600	phones
Lenovo Yoga	laptop	grey	800	computers
unifi	networking	white	150	computers
unifi	cables	white	10	stationary
OnePlus	smartphone	silver	450	phones

name, category → price, color

category → department

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OnePlus	smartphone	silver	450	phones

name, category → price, color

name	category	color	price
iPhone	smartphone	black	600
Lenovo Yoga	laptop	grey	800
unifi	networking	white	150
unifi	cables	white	10
OnePlus	smartphone	silver	450

category → department

category	department
laptop	computers
networking	computers
cables	stationary
smartphone	phones

Reminder: Reasoning for FDs

Assume a relation R with attributes A, B, C

(1) reflexivity	e.g., AB → B
(2) augmentation	e.g., if A → B then AC → BC
(3) transitivity	e.g., if A → B and B → C then A → C
(4) union	e.g., if A → B and A → C then A → BC
(5) decomposition	e.g., if A → BC then A → B and A → C

FD closure of F, *F+*: is the set of all FDs that are implied by F

attr. closure of X: the set of all attributes that are determined by X

minimal cover: subset ***S*** of ***F+*** such that ***S+*** **=*F+***

“chopping the relation into pieces using FDs”

DECOMPOSITION

Decomposition

Formally

we decompose **R**(A_1, …, A_n) by creating:

R_1(B_1, …, B_m)

R_2(C_1, …, C_k)

where $\{B_1, \ldots, B_m\} \cup \{C_1, \ldots, C_k\} = \{A_1, \ldots, A_n\}$

the instance of ***R_1*** is the projection of **R** onto *B_1, …, B_m*

the instance of ***R_2*** is the projection of **R** onto *C_1, …, C_k*

"Good" Decomposition

(1) minimize redundancy

(2) avoid information loss (***lossless-join***)

(3) preserve FDs (***dependency preserving***)

(4) ensure good query performance

Information Loss

SSN	Name	Salary	Telephone
987-00-8761	John	65K	857-555-1234
987-00-8761	John	65K	857-555-8800
123-00-9876	Anna	80K	617-555-9876
787-00-4321	John	25K	617-555-3761

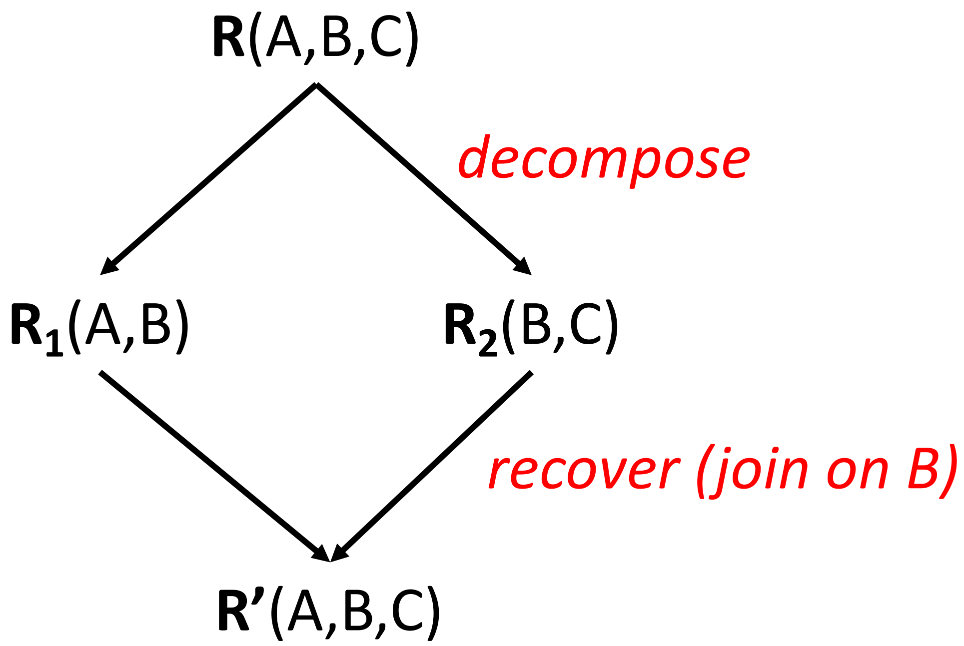
Decompose into:
R_1(SSN, Name, Salary)
R_2(Name, Telephone)

SSN	Name	Salary
987-00-8761	John	65K
123-00-9876	Anna	80K
787-00-4321	John	25K

Name	Telephone
John	857-555-1234
John	857-555-8800
Anna	617-555-9876
John	617-555-3761

can we reconstruct R?

Lossless Decomposition



the decomposition is *lossless-join* if
for any initial instance ***R, R*** = ***R'***

Lossless Criterion

given:

- a relation **R**(A)
- a set *F* of FDs
- a decomposition of **R** into $\mathbf{R_1}(A_1)$ and $\mathbf{R_2}(A_2)$

the decomposition is *lossless-join* **if and only if**

at least one of the following FDs is in F^+ (closure of F):

(1) $A_1 \cap A_2 \rightarrow A_1$

(2) $A_1 \cap A_2 \rightarrow A_2$

Example

Relation **R**(A, B, C, D)

FD $A \rightarrow BC$

what is the F^+?

$A \rightarrow A \quad A \rightarrow B \quad A \rightarrow C$

$A \rightarrow BC \quad A \rightarrow AB \quad A \rightarrow AC$

$A \rightarrow ABC$

lossy

decomposition into $\mathbf{R_1}$(A, B, C) and $\mathbf{R_2}$(D)

$A_1 \cap A_2$ empty set

lossless-join

decomposition into $\mathbf{R_1}$(A, B, C) and $\mathbf{R_2}$(A, D)

$A_1 \cap A_2 = A$ and $A_1 = A,B,C$

$A \rightarrow ABC$ *is in* F^+

Dependency Preserving

given **R** and a set of FDs *F*, we decompose **R** into $\mathbf{R_1}$ and $\mathbf{R_2}$. Suppose:

$\mathbf{R_1}$ has a set of FDs F_1

$\mathbf{R_2}$ has a set of FDs F_2

F_1 and F_2 are computed from F

it is <u>dependency preserving</u> if by enforcing F_1 over $\mathbf{R_1}$ and F_2 over $\mathbf{R_2}$, we can enforce *F* over **R**

(Good) Example

Person (SSN, name, age, canDrink)

SSN → *name, age*

age → *canDrink*

*what is a **<u>dependency preserving</u>** decomposition?*

$\mathbf{R_1}$(SSN, name, age) and $\mathbf{R_2}$(age, canDrink)

SSN → *name, age*   *age* → *canDrink*

Is it also lossless-join?

Yes! $A_1 \cap A_2$ = age and A_2 = age, canDrink

age → age, canDrink *is in* F^+

(Bad) Example

R (A, B, C)

$A \rightarrow B$

$BC \rightarrow A$

A	B
a_1	b_0
a_2	b_0

A	C
a_1	c_0
a_2	c_0

not dependency preserving

A	B	C
a_1	b_0	c_0
a_2	b_0	c_0

the table violates

$BC \rightarrow A$

R_1(A, B) and **R_2**(A, C)

$A \rightarrow B$

no FDs!

Normal Forms

How “good” is a schema design?
follows normal forms

flat tables
atomic values

1NF
2NF
3NF
BCNF
4NF
…

more
restrictive

Normal Forms

How “good” is a schema design?
follows normal forms

flat tables
atomic values

1NF
2NF
3NF
BCNF
4NF
...

more restrictive

Boyce-Codd Normal Form (BCNF)

given a relation **R**($A_1,\ldots,A_n$),
a set of FDs *F*, and $X \subseteq \{A_1,\ldots,A_n\}$
R is in BCNF if $\forall\ X \rightarrow A$ one of the two holds:

- $A \in X$ (that is, it is a trivial FD)
- X is a superkey

in other words: $\forall$ ***non-trivial FD*** *X → A*, ***X is a superkey in R***

BCNF - Example

SSN	Name	Salary	Telephone
987-00-8761	John	65K	857-555-1234
987-00-8761	John	65K	857-555-8800
123-00-9876	Anna	80K	617-555-9876
787-00-4321	John	25K	617-555-3761

SSN → Name, Salary

key: {SSN, Telephone}

FD is not trivial!

so, is SSN a superkey?

*no! it is **not** in **BCNF***

BCNF - Example 2

SSN	Name	Salary
987-00-8761	John	65K
123-00-9876	Anna	80K
787-00-4321	John	25K

SSN → Name, Salary

key: {SSN}

FD is not trivial!

so, is SSN a superkey?

yes! it is in ***BCNF***

BCNF - Example 3

SSN	Telephone
987-00-8761	857-555-1234
987-00-8761	857-555-8800
123-00-9876	617-555-9876
787-00-4321	617-555-3761

key: {SSN, Telephone} *the relation is in **BCNF***

why?

no FDs

*Is it possible a binary relation to not be in **BCNF**?*

Binary Relations always BCNF

R (A,B)

excluding all trivial FDs, there are three cases:

(1) **R** has no FD

(2) **R** has one FD, either $A \rightarrow B$ *or* $B \rightarrow A$, *or,*

(3) **R** has two FDs, $A \rightarrow B$ *and* $B \rightarrow A$

(1) trivially in BCNF

(2) in either LHS is the key (hence, superkey)

(3) both, A and B candidate keys

BCNF Decomposition Algorithm

(1) find a FD that violates BCNF:

$A_1, \ldots, A_n \rightarrow B_1, \ldots, B_m$

(2) decompose **R** to **R_1** and **R_2**

R_1 $(A_1, \ldots, A_n, B_1, \ldots, B_m)$

R_2 $(A_1, \ldots, A_n,$ all other attributes of **R**)

(3) repeat until no BCNF violations are left
(in new tables as well)

Our favorite example!

SSN	Name	Salary	Telephone
987-00-8761	John	65K	857-555-1234
987-00-8761	John	65K	857-555-8800
123-00-9876	Anna	80K	617-555-9876
787-00-4321	John	25K	617-555-3761

SSN → *Name, Salary* violates BCNF

A_1 = SSN, B_1 = *Name*, B_2 = *Salary*

Split in two relations:

R_1(SSN, Name, Salary)

R_2(SSN, Telephone)

Our favorite example!

SSN	Name	Salary	Telephone
987-00-8761	John	65K	857-555-1234
987-00-8761	John	65K	857-555-8800
123-00-9876	Anna	80K	617-555-9876
787-00-4321	John	25K	617-555-3761

SSN	Name	Salary
987-00-8761	John	65K
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787-00-4321	John	25K

SSN	Telephone
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787-00-4321	617-555-3761

BCNF Decomposition Properties

removes [certain types of] redundancy

is **lossless-join**

is <u>not always</u> dependency preserving

BCNF – Lossless Join

Example

R (A, B, C) and FD: $A \rightarrow B$

superkey(s) of the relation?

$\{A, C\}^+$, $\{A, B, C\}^+ = \{A, B, C\}$

$A \rightarrow B$ *violates* BCNF (A is not a superkey)

so, the BCNF decomposition is :

$\mathbf{R_1}$(A, B) and $\mathbf{R_2}$(A, C)

we can reconstruct it!

BCNF – **not** dependency preserving

Example

R (A, B, C), FDs: $A \rightarrow B$ and $BC \rightarrow A$

superkey(s) of the relation?

$\{A, C\}^+$, $\{B, C\}^+$, $\{A, B, C\}^+ = \{A, B, C\}$

$BC \rightarrow A$ is ok, but $A \rightarrow B$ violates BCNF

so, the BCNF decomposition is :

$\mathbf{R_1}$ (A, B) and $\mathbf{R_2}$ (A, C)

$A \rightarrow B$ is preserved in $\mathbf{R_1}$

$BC \rightarrow A$ is not preserved!

BCNF Decomposition Examples

Books (author, gender, booktitle, genre, price)

author → gender

booktitle → genre, price

candidate key(s)?

{author, booktitle} is the only one

Is it in BCNF? 

No, because LHS of both FD are not a superkey!

BCNF Decomposition Examples

Books (author, gender, booktitle, genre, price)

author → gender

booktitle → genre, price

Splitting using: *author → gender* 

AuthorInfo (author, gender)

FD author → gender (in BCNF!)

Book2 (author, booktitle, genre, price)

FD booktitle → genre, price

is booktitle a superkey? 

No! {booktitle, author} is.

So not in BCNF!

BCNF Decomposition Examples

Books (author, gender, booktitle, genre, price)

author → gender

booktitle → genre, price

AuthorInfo (author, gender)

Further splitting with *booktitle → genre, price*

~~**Book2** (author, booktitle, genre, price)~~

BookInfo (booktitle, genre, price)

FD booktitle → genre, price in BCNF!

is booktitle a superkey?

Yes!

BookAuthor (booktitle, author) binary is in BCNF!

what if not dependency preserving?

in some cases BCNF decomposition is not dependency preserving

how to address this?

relax the normalization requirements

Third Normal Form (3NF)

given a relation **R** $(A_1,\dots,A_n)$,
a set of FDs F, and $X \subseteq \{A_1,\dots,A_n\}$
R is in 3NF if $\forall\, X \rightarrow A$ one of the three holds:

- $A \in X$ (that is, it is a trivial FD)
- X is a superkey
- A is part of some candidate key for **R**

is a relation in 3NF also in BCNF?

No, but a relation in BCNF is always in 3NF!

Third Normal Form (3NF)

Example

R (A, B, C), FDs $C \rightarrow A$ and $AB \rightarrow C$
is in 3NF but not in BCNF. Why?

superkeys?
{A, B}, {B, C}, and {A, B, C}

candidate keys?

{A, B} and {B, C}

Compromise: aim for BCNF but settle for 3NF
lossless-join & dependency preserving possible

3NF Algorithm

(1) apply BCNF until all relations are in 3NF

(2) compute a minimal cover *F'* of *F*

(3) for each non-preserved FD X $\rightarrow$ A in *F'*
add a new relation **R** (X, A)

3NF algorithm example

Assume **R** (A, B, C, D)

$A \rightarrow D$

$AB \rightarrow C$

$AD \rightarrow C$

$B \rightarrow C$

$D \rightarrow AB$

superkeys?

{A} {D} {A, B} {A, D}, …

not {B}

Step 1: find a BCNF decomposition

R_1 (B, C)

R_2 (A, B, D)

3NF algorithm example

Assume **R** (A, B, C, D)

$A \rightarrow D$

~~$AB \rightarrow C$~~ *can be expressed via: AB ➝ AC which gives AB ➝ A and AB ➝ C*

~~$AD \rightarrow C$~~ *can be expressed via: D ➝ AB, which gives D ➝ A and D ➝ B & B ➝ C*

$B \rightarrow C$

$D \rightarrow AB$ *which is simplified to D ➝ A and D ➝ B*

Step 2: find a minimal cover

$A \rightarrow D$

$B \rightarrow C$

$D \rightarrow A$

$D \rightarrow B$

3NF algorithm example

Assume **R** (A, B, C, D)

$A \rightarrow D$

$AB \rightarrow C$

$AD \rightarrow C$

$B \rightarrow C$

$D \rightarrow AB$

Step 3: add a new relation for not preserved FDs

$A \rightarrow D$

$B \rightarrow C$

$D \rightarrow A$

$D \rightarrow B$

R_1 (B, C)

R_2 (A, B, D)

all FD are preserved!

both are in BCNF!

Is Normalization Always Good?

Example 1: suppose A and B are always used together, but normalization puts them in different tables (e.g., hours_worked and hourly_rate)

decomposition might produce unacceptable performance loss

Example 2: data warehouses
huge historical DBs, rarely updated after creation
joins expensive or impractical
[we want “flat” tables, a.k.a, denormalized]

Example

R (C, S, J, D, P, Q, V)

$C \rightarrow SJDPQV$

$JP \rightarrow C$

$SD \rightarrow P$

$J \rightarrow S$

Step 1:

$\mathbf{R_1}$ (S, D, P)

$\mathbf{R_2}$ (C, S, J, D, Q, V)

superkeys?

{C}, {J, P}, {D, J}, …

not {S, D}

Example

R (C, S, J, D, P, Q, V)

C $\rightarrow$ SJDPQV

JP $\rightarrow$ C

SD $\rightarrow$ P

J $\rightarrow$ S

Step 1b:

$\mathbf{R_1}$ (S, D, P)

~~$\mathbf{R_2}$ (C, S, J, D, Q, V)~~

$\mathbf{R_{2'}}$ (J, S)

$\mathbf{R_3}$ (C, J, D, Q, V)

superkeys of $\mathbf{R_2}$ (C, S, J, D, Q, V) ?

{C}, … not {J}

Example

R (C, S, J, D, P, Q, V)

$C \rightarrow SJDPQV$

$JP \rightarrow C$

$SD \rightarrow P$

$J \rightarrow S$

Step 2: Minimal Cover

$C \rightarrow J$, $C \rightarrow D$, $C \rightarrow Q$, $C \rightarrow V$

$JP \rightarrow C$

$SD \rightarrow P$

$J \rightarrow S$

R_1 (S, D, P)

R_2, (J, S)

R_3 (C, J, D, Q, V)

R_4 (J, P, C)

are they all preserved?

No!

Step 3: need to add **R_4** (J, P, C)

Example

R (C, S, J, D, P, Q, V)

$C \rightarrow SJDPQV$

$JP \rightarrow C$

$SD \rightarrow P$

$J \rightarrow S$

Step 2: Minimal Cover

$C \rightarrow J$, $C \rightarrow D$, $C \rightarrow Q$, $C \rightarrow V$

$JP \rightarrow C$

$SD \rightarrow P$

$J \rightarrow S$

$\mathbf{R_1}$ (S, D, P)

$\mathbf{R_2}$, (J, S)

$\mathbf{R_3}$ (C, J, D, Q, V)

$\mathbf{R_4}$ (J, P, C)

are they all preserved?

No!

Step 3: need to add $\mathbf{R_4}$ (J, P, C)

did we just introduce redundancy?

Lesson!

theory of normalization is a guide

cannot always give a “perfect” solution

redundancy

alternatives

query performance

Summary

fix bad schemas (redundancy) by decomposition

lossless-join

dependency preserving

Desired normal forms

BCNF: only superkey FDs

3NF: superkey FDs + dependencies to prime attributes in RHS

Next: SQL